

FY 1987

DEPARTMENT OF TRANSPORTATION

FEDERAL HIGHWAY ADMINISTRATION

Title 23 U.S.C. ("Highways") and other supporting legislation provide authority for the various programs of the Federal Highway Administration designed to improve highways throughout the Nation. Proposed legislation will provide authorizations from 1987 through 1990 in general, and make several significant changes to the Federal-aid highways program.

In summary, the 1987 budget contemplates \$12,811.5 million in budget authority and \$13,384.5 million in outlays. The following table compares 1987 program level (obligations) with those of prior years.

(In millions of dollars)

| Account: | 1985 actual | 1986 estimate | 1987 estimate |
|--|-----------------|-----------------|-----------------|
| Federal-aid highways..... | 14,055.4 | 13,356.8 | 12,770.0 |
| Interstate construction..... | (3,889.0) | (3,732.3) | (.....) |
| Interstate 4R..... | (2,354.2) | (2,201.1) | (7,793.0) |
| Primary..... | (2,168.0) | (1,818.3) | (.....) |
| Interstate/primary..... | | | |
| Interstate substitutions..... | (869.4) | (574.2) | (500.0) |
| Secondary system..... | (533.5) | (478.5) | (.....) |
| Urbanized system..... | (700.6) | (646.0) | (.....) |
| Bridge construction..... | (1,573.4) | (1,674.7) | (1,250.0) |
| Safety construction..... | (339.1) | (335.0) | (365.0) |
| Motor carrier safety grants..... | | | (50.0) |
| Block grant..... | | | (2,200.0) |
| Other..... | (1,628.2) | (1,896.7) | (612.0) |
| Motor carrier safety..... | 12.9 | 14.4 | 19.5 |
| Railroad-highway crossings demonstration projects..... | 4.4 | 8.2 | 9.1 |
| Access highways to lakes..... | 9.2 | 12.2 | |
| Waste isolation pilot projects..... | 12.1 | 11.0 | |
| Expressway gap closing..... | | 8.6 | |
| Miscellaneous appropriations..... | 12.2 | 87.1 | |
| Highway-related safety grants..... | 10.0 | 9.6 | 10.0 |
| Baltimore-Washington Parkway..... | | 2.9 | |
| Trust fund share..... | 8.8 | 16.6 | 18.3 |
| Highway safety research and development..... | 7.6 | 8.1 | 7.0 |
| Motor carrier safety grants..... | 12.1 | 16.3 | (.....) |
| Miscellaneous trust funds..... | 6.9 | 5.2 | 5.0 |
| Miscellaneous highway trust funds..... | .3 | 3.6 | |
| Right-of-way revolving fund..... | 36.8 | | |
| Total program level..... | 14,188.7 | 13,544.1 | 12,838.9 |

Federal Funds

General and special funds:

[MOTOR CARRIER SAFETY]

[For necessary expenses to carry out the motor carrier safety functions of the Secretary as authorized by the Department of Transportation Act (80 Stat. 939-940), \$13,900,000, of which \$953,000 shall remain available until expended, and not to exceed \$1,601,000 shall be available for "Limitation on general operating expenses".] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (In thousands of dollars)

| Identification code 69-0552-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|-------------------------------------|-------------|-----------|-----------|
| Program by activities: | | | |
| 00.01 Operations..... | 10,748 | 11,345 | |
| 00.02 Research and development..... | 519 | 1,604 | |
| 00.03 Demonstration program..... | | 470 | |

| | | | |
|---|--------|--------|--------|
| 00.04 Administration..... | 1,601 | 1,601 | |
| 10.00 Total obligations..... | 12,868 | 15,020 | |
| Financing: | | | |
| 17.00 Recovery of prior year obligations..... | -90 | | |
| 21.40 Unobligated balance available, start of year..... | -381 | 1,120 | |
| 24.40 Unobligated balance available, end of year..... | 1,120 | | |
| 25.00 Unobligated balance lapsing..... | 385 | | |
| 40.00 Budget authority (appropriation)..... | 13,902 | 13,900 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 12,868 | 15,020 | |
| 72.40 Obligated balance, start of year..... | 2,469 | 2,438 | 3,008 |
| 74.40 Obligated balance, end of year..... | -2,438 | -3,008 | -1,346 |
| 77.00 Adjustments in expired accounts..... | 27 | | |
| 78.00 Adjustments in unexpired accounts..... | -90 | | |
| 90.00 Outlays..... | 12,837 | 14,450 | 1,662 |

Note:—Excludes \$19,515 thousand in 1987 for activities transferred to Motor carrier safety (trust fund). Comparable amounts for 1985 (\$13,902 thousand) and 1986 (\$13,900 thousand) are included above.

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | 13,902 | 13,900 | |
| Outlays..... | 12,837 | 14,530 | 1,662 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -598 | |
| Outlays..... | | -537 | -61 |
| Total: | | | |
| Budget authority..... | 13,902 | 13,302 | |
| Outlays..... | 12,837 | 13,913 | 1,601 |

The motor carrier safety mission is to reduce the risk of accidents in truck and bus highway transportation by regulation of motor carriers engaged in interstate commerce through safety management audits and roadside examinations. The program also seeks to simplify the Federal Motor Carrier Safety Regulations, increase regulatory compliance, and support commercial motor vehicle related safety research. It is proposed that the motor carrier safety program be financed from the Highway Trust Fund starting in 1987.

Object Classification (in thousands of dollars)

| Identification code 69-0552-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent..... | 7,784 | 8,610 | |
| 11.3 Other than full-time permanent..... | 166 | 132 | |
| 11.5 Other personnel compensation..... | 129 | 34 | |
| 11.9 Total personnel compensation..... | 8,079 | 8,776 | |
| 12.1 Personnel benefits: Civilian..... | 973 | 977 | |
| 21.0 Travel and transportation of persons..... | 883 | 839 | |
| 22.0 Transportation of things..... | 4 | 5 | |
| 23.3 Communications, utilities, and miscellaneous charges..... | 35 | 20 | |
| 24.0 Printing and reproduction..... | 1 | 1 | |
| 25.0 Other services..... | 2,806 | 4,245 | |
| 26.0 Supplies and materials..... | 42 | 68 | |
| 31.0 Equipment..... | 45 | 89 | |
| 99.9 Total obligations..... | 12,868 | 15,020 | |

General and special funds—Continued

[MOTOR CARRIER SAFETY]—Continued

Personnel Summary

| | | |
|---|-----|-----|
| Total number of full-time permanent positions..... | 271 | 373 |
| Total compensable workyears: Full-time equivalent employment..... | 248 | 305 |

Reduction Pursuant to Public Law 99-177

| Program and Financing (in thousands of dollars) | | | |
|---|-------------|-----------|-----------|
| Identification code | 1985 actual | 1986 est. | 1987 est. |
| Program by activities: | | | |
| 10.00 Total obligations..... | —598 | | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | 598 | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | —598 | | |
| 72.40 Obligated balance, start of year..... | | —61 | |
| 74.40 Obligated balance, end of year..... | | 61 | |
| 90.00 Outlays..... | —537 | —61 | |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

[RAILROAD-HIGHWAY CROSSINGS DEMONSTRATION PROJECTS]

[For necessary expenses of certain railroad-highway crossings demonstration projects as authorized by section 163 of the Federal Aid Highway Act of 1973, as amended, to remain available until expended, \$16,000,000, of which \$10,666,667 shall be derived from the Highway Trust Fund: *Provided*, That the unobligated balance of funds appropriated in Public Law 93-98 for Wheeling, West Virginia, is hereby made available for allocation to carry out highway projects on the Federal aid system in Wheeling, West Virginia at full Federal expense.] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

| Program and Financing (in thousands of dollars) | | | |
|---|-------------|-----------|-----------|
| Identification code | 1985 actual | 1986 est. | 1987 est. |
| Program by activities: | | | |
| 00.01 Direct program..... | 4,400 | 8,400 | 9,148 |
| 00.02 Reimbursable program..... | 8,800 | 16,600 | 18,276 |
| 10.00 Total obligations (object class 41.0)..... | 13,200 | 25,000 | 27,424 |
| Financing: | | | |
| 13.00 Offsetting collections from: Trust funds..... | —8,800 | —16,600 | —18,276 |
| 21.40 Unobligated balance available, start of year..... | —6,735 | —12,215 | —9,148 |
| 24.40 Unobligated balance available, end of year..... | 12,215 | 9,148 | |
| 40.00 Budget authority (appropriation)..... | 9,880 | 5,333 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 4,400 | 8,400 | 9,148 |
| 72.40 Obligated balance, start of year..... | 9,624 | 10,135 | 12,801 |
| 74.40 Obligated balance, end of year..... | —10,135 | —12,801 | —14,391 |
| 90.00 Outlays..... | 3,890 | 5,734 | 7,558 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| [In thousands of dollars] | | | |
| Enacted/requested: | | | |
| Budget authority..... | 9,880 | 5,333 | |
| Outlays..... | 3,890 | 5,734 | 7,558 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | —229 | |

| | | |
|-----------------------|-------|-------|
| Outlays..... | —34 | —85 |
| Total: | | |
| Budget authority..... | 9,880 | 5,104 |
| Outlays..... | 3,890 | 5,700 |
| | 7,473 | |

This program provides for congressionally-mandated projects in 18 cities. The 1986 appropriations act provided a total of \$16.6 million (\$5.3 million general funds and \$10.7 million trust funds) for this program. It is anticipated that these funds and unobligated balances will be obligated during 1986 and 1987. Trust fund amounts for railroad-highway crossings demonstration projects are included in the account, "Trust Fund Share of Other Highway Programs".

No additional appropriation is requested for this program.

Reduction Pursuant to Public Law 99-177

| Program and Financing (in thousands of dollars) | | | |
|---|-------------|-----------|-----------|
| Identification code | 1985 actual | 1986 est. | 1987 est. |
| Program by activities: | | | |
| 10.00 Total obligations..... | —229 | | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | 229 | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | —229 | | |
| 72.40 Obligated balance, start of year..... | | —195 | |
| 74.40 Obligated balance, end of year..... | | 195 | 110 |
| 90.00 Outlays..... | —34 | —85 | |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

[ACCESS HIGHWAYS TO PUBLIC RECREATION AREAS ON CERTAIN LAKES]

[INCLUDING TRANSFER OF FUNDS]

[For necessary expenses of certain access highway projects as authorized by section 155, title 23, United States Code, to remain available until expended, \$10,000,000, of which \$5,000,000 shall be derived from unobligated balances of "Research, training, and human resources".] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

| Program and Financing (in thousands of dollars) | | | |
|---|-------------|-----------|-----------|
| Identification code | 1985 actual | 1986 est. | 1987 est. |
| Program by activities: | | | |
| 10.00 Total obligations (object class 41.0)..... | 9,246 | 12,438 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year..... | —6,684 | —2,438 | |
| 22.40 Unobligated balance transferred, net..... | | —5,000 | |
| 24.40 Unobligated balance available, end of year..... | 2,438 | | |
| 40.00 Budget authority (appropriation)..... | 5,000 | 5,000 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 9,246 | 12,438 | |
| 72.40 Obligated balance, start of year..... | 14,165 | 19,277 | 21,517 |
| 74.40 Obligated balance, end of year..... | —19,277 | —21,517 | —11,754 |
| 90.00 Outlays..... | 4,133 | 10,199 | 9,763 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | 1985 actual | 1986 estimate | 1987 estimate |
|---------------------------|-------------|---------------|---------------|
| [In thousands of dollars] | | | |
| Enacted/requested: | | | |
| Budget authority..... | 5,000 | 5,000 | |

| | | | |
|------------------------------------|-------|--------|-------|
| Outlays..... | 4,133 | 10,199 | 9,763 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -215 | |
| Outlays..... | | -39 | -73 |
| Total: | | | |
| Budget authority..... | 5,000 | 4,785 | |
| Outlays..... | 4,133 | 10,160 | 9,690 |

The Federal-Aid Highway Amendments of 1974 authorized a program to construct or reconstruct access highways to public recreation areas on lakes.

No additional appropriation is requested for this program.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-0503-6-1-401 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | | -215 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -215 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -215 | |
| 72.40 Obligated balance, start of year..... | | | -176 |
| 74.40 Obligated balance, end of year..... | | 176 | 103 |
| 90.00 Outlays..... | | -39 | -73 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

[WASTE ISOLATION PILOT PROJECT ROADS]

For necessary expenses in connection with the upgrading of certain highways for the transportation of nuclear waste generated during defense-related activities, not otherwise provided for, \$7,000,000, to remain available until expended. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code 69-0562-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations (object class 41.0)..... | 12,123 | 11,277 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year..... | | -4,277 | |
| 24.40 Unobligated balance available, end of year.. | 4,277 | | |
| 40.00 Budget authority (appropriation)..... | 16,400 | 7,000 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 12,123 | 11,277 | |
| 72.40 Obligated balance, beginning of year..... | 5,768 | 17,655 | 19,615 |
| 74.40 Obligated balance, end of year..... | -17,655 | -19,615 | -10,710 |
| 90.00 Outlays..... | 235 | 9,318 | 8,905 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | 16,400 | 7,000 | |
| Outlays..... | 235 | 9,318 | 8,905 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -301 | |

| | | | |
|-----------------------|--------|-------|-------|
| Outlays..... | | -56 | -100 |
| Total: | | | |
| Budget authority..... | 16,400 | 6,699 | |
| Outlays..... | 235 | 9,262 | 8,805 |

This appropriation contains \$7.0 million in 1986 for highway activities connected with the Waste Isolation Pilot Project in New Mexico. Certain highways in that State are being upgraded for the transportation of nuclear waste generated during defense-related activities. No additional appropriation is requested for this program.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-0562-6-1-401 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | | -301 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -301 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -301 | |
| 72.40 Obligated balance, beginning of year..... | | | -245 |
| 74.40 Obligated balance, end of year..... | | 245 | 145 |
| 90.00 Outlays..... | | -56 | -100 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

[EXPRESSWAY GAP CLOSING DEMONSTRATION PROJECT]

For necessary expenses to carry out a highway construction project along State Route 113 in north-central California that demonstrates methods of reducing motor vehicle congestion and increasing employment, there is authorized to be appropriated \$23,500,000, to remain available until expended, of which \$9,000,000 is hereby appropriated: Provided, That such funds shall be exempt from any limitation on obligations for Federal-aid highways and highway safety construction programs. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code 69-0563-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations (object class 41.0)..... | | 9,000 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | 9,000 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligation incurred, net..... | | 9,000 | |
| 72.40 Obligated balance, beginning of year..... | | | 7,200 |
| 74.40 Obligated balance, end of year..... | | -7,200 | -4,320 |
| 90.00 Outlays..... | | 1,800 | 2,880 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | | 9,000 | |
| Outlays..... | | 1,800 | 2,880 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -387 | |
| Outlays..... | | -71 | -130 |
| Total: | | | |
| Budget authority..... | | 8,613 | |
| Outlays..... | | 1,729 | 2,750 |

General and special funds—Continued

[EXPRESSWAY GAP CLOSING DEMONSTRATION PROJECT]—Continued

This appropriation provided \$9.0 million in 1986 for a highway construction project to close an expressway gap on California Route 143 between the cities of Davis and Woodland. No additional appropriation is requested for this program.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-0563-6-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | 387 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | 387 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligation incurred, net | | 387 | |
| 72.40 Obligation balance, beginning of year | | | 316 |
| 74.40 Obligation balance, end of year | | 316 | 186 |
| 90.00 Outlays | | 71 | 130 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

MISCELLANEOUS APPROPRIATIONS

[HIGHWAY BEAUTIFICATION]

[Funds appropriated and obligated to carry out sections 131 and 136 of title 23, United States Code, which have been deobligated subsequent to enactment of this Act shall remain available until expended.]

[AIRPORT-HIGHWAY DEMONSTRATION PROJECT]

[(TRANSFER OF FUNDS)]

[For necessary expenses to carry out a highway project to depress a highway in Shawnee, Oklahoma, that demonstrates methods of improving air service to a small community by extension of a runway over a depressed road, to remain available until expended, \$1,350,000 to be derived from unobligated balances of "Research, training, and human resources".]

[RAIL LINE CONSOLIDATION PROJECT]

[(TRANSFER OF FUNDS)]

[For necessary expenses to carry out a project to consolidate two rail lines on a common alignment in the vicinity of Orange, Texas, that demonstrates methods by which a rail line consolidation project will reduce motor vehicle traffic congestion and increase employment, to remain available until expended, \$4,000,000 to be derived from unobligated balances of "Research, training, and human resources".]

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-9911-0-1-401 | | | |
| Program by activities: | | | |
| 00.01 Rural highway public administration | 7,554 | 55,870 | |
| 00.02 Highway widening demonstration | 3,579 | 9,031 | |
| 00.03 Rail crossings demonstration (Northeast Corridor) | 145 | | |
| 00.04 Territorial highways | 563 | 231 | |
| 00.05 Highway beautification | | 2,967 | |
| 00.06 Mianus Bridge assistance | | 220 | |
| 00.10 Inter-American highway | 3 | | |
| 00.11 Auto-pedestrian separation demonstration | 100 | 1,650 | |
| 00.12 Highway safety separation demonstration | 241 | 11,759 | |
| 00.13 Rail line consolidation project | | 4,000 | |
| 00.14 Airport-highway demonstration | | 1,350 | |
| 10.00 Total obligations (object class 41.0) | 12,187 | 87,078 | |

Financing:

| | | | |
|--|---------|---------|--|
| 17.00 Recovery of prior year obligations | -2,426 | | |
| 21.40 Unobligated balance available, start of year | -79,984 | -81,732 | |
| 22.40 Unobligated balance transferred net | | -5,350 | |
| 24.40 Unobligated balance available, end of year | 81,732 | | |
| 25.00 Unobligated balance lapsing | 2,241 | 4 | |
| 40.00 Budget authority (appropriation) | 13,750 | | |

Relation of obligations to outlays:

| | | | |
|---|---------|---------|---------|
| 71.00 Obligations incurred, net | 12,187 | 87,078 | |
| 72.40 Obligated balance, start of year | 507,292 | 265,259 | 228,763 |
| 74.40 Obligated balance, end of year | 265,259 | 228,763 | 121,278 |
| 77.00 Adjustments in expired accounts | -7,808 | | |
| 78.00 Adjustments in unexpired accounts | -2,426 | | |
| 90.00 Outlays | 243,985 | 123,575 | 107,485 |

Distribution of budget authority by account:

| | | | |
|--|--------|--|--|
| Auto-pedestrian demonstrations | 1,750 | | |
| Highway safety separation demonstrations | 12,000 | | |

Distribution of outlays by account:

| | | | |
|---|---------|--------|--------|
| Interstate transfer grants | 224,200 | 88,538 | 75,902 |
| Highway crossing Federal project | 353 | 58 | 43 |
| Mianus bridge assistance | 279 | 36 | 27 |
| Highway widening demonstrations | 6,801 | 6,882 | 6,697 |
| Rural highway public transportation | 102 | 28 | 21 |
| Alaska Highway | 214 | 152 | 153 |
| Territorial highways | 1,032 | 2,629 | 2,475 |
| Bikeway demonstrations | 68 | 92 | 69 |
| Highway beautification | 3,256 | 6,176 | 4,669 |
| Rail crossing demonstration projects | 40 | 265 | 199 |
| Highway related safety grants | 11 | 5 | 3 |
| Off system rail highway demonstration | 4,362 | 6,562 | 4,922 |
| Safer off system roads | 2,676 | 6,387 | 4,791 |
| Off system roads | 419 | 855 | 641 |
| Bicycle program | 120 | 155 | 116 |
| Public lands | | 35 | 26 |
| Inter-American highway | 3 | 35 | 26 |
| Darien Gap Highway | | 815 | 611 |
| Highway safety separation demonstration | 49 | 2,429 | 3,821 |
| Rail line consolidation project | | 800 | 1,280 |
| Airport-highway demonstration | | 270 | 432 |
| Auto-pedestrian demonstration | | 370 | 558 |

This consolidated schedule displays programs that no longer require appropriations and shows the obligation and outlay of amounts made available in prior years.

Trust Funds

FEDERAL-AID HIGHWAYS (LIQUIDATION OF CONTRACT AUTHORIZATION) (TRUST FUND)

For carrying out the provisions of title 23, United States Code, which are attributable to Federal-aid highways, including the National Scenic and Recreational Highway as authorized by 23 U.S.C. 148, not otherwise provided, including reimbursements for sums expended pursuant to the provisions of 23 U.S.C. 308, **[\$13,836,000,000]** \$13,036,000,000 or so much thereof as may be available in and derived from the Highway Trust Fund, to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the implementation or execution of programs the obligations for which are in excess of **[\$12,750,000,000]** \$12,420,000,000 for Federal-aid highways and highway safety construction programs for fiscal year **[1986]**, 1987, except that this limitation shall not apply to obligations for emergency relief under section 125 of title 23, United States Code, or obligations under section 157 of title 23, United States Code **[projects covered under section 147 of the Surface Transportation Assistance Act of 1978, section 9 of the Federal-Aid Highway Act of 1981, subsections 131 (b) and (g) of Public Law 97-424, section 118 of the National Visitors Center Facilities Act of 1968, or section 320 of title 23, United States Code]**. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190; additional authorizing legislation to be proposed.)

| Program and Financing (in thousands of dollars) | | | | |
|---|---|-------------|-------------|-------------|
| Identification code | 20-8102-0-7-401 | 1985 actual | 1986 est. | 1987 est. |
| Program by activities: | | | | |
| Direct program: | | | | |
| 00.01 | Interstate construction..... | 3,888,969 | 3,900,000 | |
| 00.02 | Interstate 4R..... | 2,354,177 | 2,300,000 | |
| 00.03 | Primary system..... | 2,167,950 | 1,900,000 | |
| 00.04 | Interstate/primary..... | | | 7,793,000 |
| 00.05 | Interstate substitutions..... | 869,436 | 600,000 | 500,000 |
| 00.06 | Secondary system..... | 533,514 | 500,000 | |
| 00.07 | Urban system..... | 700,589 | 675,000 | |
| 00.08 | Bridge program..... | 1,573,422 | 1,750,000 | 1,250,000 |
| 00.09 | Safety construction programs.... | 339,056 | 350,000 | 365,000 |
| 00.10 | Motor carrier safety grants..... | | | 50,000 |
| 00.11 | Block grants..... | | | 2,200,000 |
| 00.12 | Administration and research..... | 191,663 | 201,522 | 199,091 |
| 00.13 | Other..... | 1,436,578 | 1,728,478 | 412,909 |
| 00.91 | Total direct program..... | 14,055,354 | 13,905,000 | 12,770,000 |
| 01.01 | Reimbursable program..... | 20,543 | 35,000 | 35,000 |
| 10.00 | Total obligations..... | 14,075,897 | 13,940,000 | 12,805,000 |
| Financing: | | | | |
| Offsetting collections from: | | | | |
| 11.00 | Federal funds..... | -8,627 | -14,700 | -14,700 |
| 13.00 | Trust funds..... | -83 | -140 | -140 |
| 14.00 | Non-Federal sources..... | -11,833 | -20,160 | -20,160 |
| 21.49 | Unobligated balance available, start of year..... | -6,454,178 | -7,304,243 | -8,743,406 |
| 24.49 | Unobligated balance available, end of year..... | 7,304,243 | 8,743,406 | 8,743,406 |
| 25.00 | Unobligated balance lapsing..... | 2,623 | | |
| 39.00 | Budget authority..... | 14,908,042 | 15,344,163 | 12,770,000 |
| Budget authority: | | | | |
| Current authority: | | | | |
| 40.00 | Appropriation..... | 12,800,000 | 13,836,000 | 13,036,000 |
| 40.49 | Portion applied to liquidate contract authority..... | -12,800,000 | -13,836,000 | -13,036,000 |
| 43.00 | Appropriation (adjusted)..... | | | |
| 49.10 | Contract authority..... | | | 8,670,000 |
| Permanent authority: | | | | |
| 69.10 | Contract authority (Public Law 94-280, 97-134, 97-369)..... | 14,908,042 | 15,344,163 | 4,100,000 |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net..... | 14,055,354 | 13,905,000 | 12,770,000 |
| Obligated balance, start of year: | | | | |
| 72.40 | Appropriation..... | 1,419,937 | 1,635,614 | 1,711,814 |
| 72.49 | Contract authority..... | 18,332,886 | 19,586,741 | 19,655,741 |
| Obligated balance, end of year: | | | | |
| 74.40 | Appropriation..... | -1,635,614 | -1,711,814 | -1,271,414 |
| 74.49 | Contract authority..... | -19,586,741 | -19,655,741 | -19,389,741 |
| 77.00 | Adjustments in expired accounts.... | -1,500 | | |
| 90.00 | Outlays..... | 12,584,323 | 13,759,800 | 13,476,400 |

Note.—Includes \$50,000 thousand in 1987 for activities transferred from Motor Carrier Safety Grants (trust fund). Comparable amounts for 1985 (\$14,000 thousand) and 1986 (\$17,000 thousand) are excluded from amounts above.

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| (In thousands of dollars) | | | |
|------------------------------------|-------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Enacted/requested: | | | |
| Budget authority..... | 14,908,042 | 15,344,163 | 12,770,000 |
| Outlays..... | 12,584,323 | 13,759,800 | 13,476,400 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -659,799 | |
| Outlays..... | | -94,708 | -292,622 |
| Total: | | | |
| Budget authority..... | 14,908,042 | 14,684,364 | 12,770,000 |
| Outlays..... | 12,584,323 | 13,665,092 | 13,183,778 |

| Status of Direct Loans (in thousands of dollars) | | | | |
|---|--|-------------|-------------|-------------|
| Identification code | 20-8102-0-7-401 | 1985 actual | 1986 est. | 1987 est. |
| Position with respect to appropriations act limitation on obligations: | | | | |
| 1111 | Limitation on direct loans to the public..... | | | |
| Obligations exempt from limitation: | | | | |
| 1131 | Direct loans to the public..... | 26,603 | 135 | 1,040 |
| 1150 | Total direct loan obligations.. | 26,603 | 135 | 1,040 |
| Cumulative balance of direct loans outstanding: | | | | |
| 1210 | Outstanding, start of year..... | 69,056 | 74,885 | 75,448 |
| 1231 | Disbursements: Direct loan disbursements..... | 5,829 | 563 | 1,040 |
| 1290 | Outstanding, end of year..... | 74,885 | 75,448 | 76,488 |
| Status of Unfunded Contract Authority (in thousands of dollars) | | | | |
| | Unfunded balance, start of year..... | 24,787,064 | 26,890,985 | 27,739,349 |
| | Contract authority..... | 14,908,042 | 15,344,163 | 12,770,000 |
| | Unfunded balance lapsing..... | -2,623 | | |
| | Adjustment in expired accounts..... | 1,500 | | |
| | Reduction pursuant to P.L. 99-177..... | | -659,799 | |
| | Appropriation from highway trust fund to liquidate contract authority..... | -12,800,000 | -13,836,000 | -13,036,000 |
| | Unfunded balance, end of year..... | 26,890,985 | 27,739,349 | 27,473,349 |

Grants are made available to States under the following programs for construction and improvements on the National System of Interstate and Defense Highways and for urban and rural transportation programs. To avoid the proliferation of programs exempt from routine funding controls, a Federal-aid highways obligation limitation of \$12.420 billion, covering all Federal-aid programs except emergency relief and minimum allocation is proposed.

Interstate construction.—Interstate construction funds are incorporated into the Interstate/primary program in 1987. States will decide whether to use the funds for construction or preservation of the existing network. States can be expected to construct high priority Interstate segments, not yet built, especially gaps between major urbanized areas.

Interstate/Primary.—Preserves the Nation's investment in the Interstate highway system through a program of resurfacing, restoring, rehabilitation, and reconstruction. Also provides grants to States for highway construction, reconstruction and related planning for the primary highway system.

Interstate substitutions.—Provides grants to States and localities which withdraw previously approved Interstate segments and apply authorized funds to substitute projects.

Secondary/Urban.—Provides grants to States for highway construction, rehabilitation, reconstruction, and related planning and research on the secondary and urban systems in rural and small urban areas. In addition, funds can be used for capital expenditures for buses and other public transportation facilities. These programs are incorporated into the Block Grant program in 1987.

Bridge program.—Provides grants to States to replace or rehabilitate bridges on the primary system with defi-

FEDERAL-AID HIGHWAYS (LIQUIDATION OF CONTRACT AUTHORIZATION)
(TRUST FUND)—Continued

ciencies that are hazardous to the safety of motorists. Funds for bridges off the primary system are included in the block grant.

Safety Construction programs.—Provides grants to States for safety improvements to the highway systems, including hazard elimination, pavement marking, and rail highway crossings.

Motor Carrier Safety Grants.—These grants are used to enforce Federal and compatible State standards applicable to commercial motor vehicle safety. For 1987 and thereafter, it is proposed that \$50 million be set aside for this purpose prior to making apportionments. States will also have authority to set-aside additional apportioned funds to meet their respective needs.

Block Grant.—Provides grants to States for any improvements now eligible under Title 23 on any public road and for mass transportation capital grant projects. This program is proposed to be authorized in lieu of the current secondary, urban, nonprimary and bridge programs.

Administration and research.—Provides for executive and general administrative and research expenses of Federal-aid highways. These funds are expended under the limitation on general operating expenses.

Other.—Provides for emergency relief, minimum apportionment, Federal lands, and other miscellaneous programs.

The improvements in the Federal-aid highway program highlighted above are designed to focus Federal involvement and funding generally on the programs of highest Federal interest (e.g., Interstate and primary), and to increase flexibility to the States to use Federal-aid program funds to address their particular needs and priorities.

Object Classification (in thousands of dollars)

Identification code 20-8102-0-7-401 1985 actual 1986 est. 1987 est.

FEDERAL HIGHWAY ADMINISTRATION

Direct obligations:

| | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|------------|------------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 6,438 | 5,944 | 6,081 |
| 11.3 Other than full-time permanent | 1,072 | 1,026 | 1,064 |
| 11.5 Other personnel compensation | 672 | 533 | 470 |
| 11.9 Total personnel compensation | 8,182 | 7,502 | 7,615 |
| 12.1 Personnel benefits: Civilian | 971 | 909 | 935 |
| 21.0 Travel and transportation of persons | 2,585 | 2,437 | 2,309 |
| 22.0 Transportation of things | 709 | 610 | 564 |
| 23.2 Rental payments to others | 836 | 713 | 631 |
| 24.0 Printing and reproduction | 127 | 135 | 149 |
| 25.0 Other services | 3,715 | 4,007 | 5,339 |
| 26.0 Supplies and materials | 281 | 229 | 243 |
| 31.0 Equipment | 49 | 53 | 59 |
| 32.0 Lands and structures | 114,982 | 130,700 | 143,400 |
| 33.0 Investments and loans | 26,603 | 135 | 1,040 |
| 41.0 Grants, subsidies, and contributions | 13,558,436 | 13,427,217 | 12,277,725 |
| 93.0 Limitation on general operating expenses (see separate schedule) | 191,663 | 201,522 | 199,091 |
| 99.0 Subtotal direct obligations, Federal Highway Administration | 13,909,139 | 13,776,170 | 12,639,100 |
| 99.0 Reimbursable obligations, Federal Highway Administration | 20,543 | 35,000 | 35,000 |

ALLOCATION ACCOUNTS

| | | | |
|--|------------|------------|------------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 11,720 | 12,079 | 12,019 |
| 11.3 Other than full-time permanent | 7,733 | 8,260 | 8,235 |
| 11.5 Other personnel compensation | 2,003 | 2,187 | 2,187 |
| 11.8 Special personal services payments | 23 | 13 | 13 |
| 11.9 Total personnel compensation | 21,479 | 22,539 | 22,454 |
| 12.1 Personnel benefits: Civilian | 2,120 | 1,866 | 1,859 |
| 21.0 Travel and transportation of persons | 2,712 | 2,430 | 2,324 |
| 22.0 Transportation of things | 2,109 | 1,840 | 1,738 |
| 23.1 Standard level user charges | 4 | 4 | 4 |
| 23.2 Rental payments to others | 1,986 | 1,459 | 1,155 |
| 24.0 Printing and reproduction | 203 | 145 | 126 |
| 25.0 Other services | 25,297 | 17,154 | 12,729 |
| 26.0 Supplies and materials | 5,606 | 4,350 | 3,589 |
| 31.0 Equipment | 1,231 | 1,260 | 1,330 |
| 32.0 Lands and structures | 83,419 | 75,766 | 83,592 |
| 41.0 Grants, subsidies, and contributions | 49 | 21 | 24 |
| 99.0 Subtotal obligations, allocation accounts | 146,215 | 128,830 | 130,900 |
| 99.9 Total obligations | 14,075,897 | 13,940,000 | 12,805,000 |

Obligations are distributed as follows:

| | | | |
|--|------------|------------|------------|
| Federal Highway Administration | 13,929,682 | 13,801,170 | 12,674,100 |
| Urban Mass Transportation Administration | 6,554 | 10,000 | 10,000 |
| Army: Corps of Engineers | — | 235 | — |
| Agriculture: Forest Service | 22,867 | 13,300 | 6,000 |
| Interior: | | | |
| Bureau of Indian Affairs | 100,162 | 98,200 | 97,900 |
| National Park Service | 16,777 | 17,300 | 17,000 |
| Bureau of Land Management | 90 | 30 | — |

Personnel Summary

FEDERAL HIGHWAY ADMINISTRATION

| | | | |
|--|-----|-----|-----|
| Direct: | | | |
| Total number of full-time permanent positions | 243 | 225 | 230 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 271 | 272 | 282 |
| Full-time equivalent of overtime and holiday hours | 25 | 20 | 17 |
| Reimbursable: | | | |
| Total number of full-time permanent positions | 92 | 104 | 100 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 128 | 117 | 112 |
| Full-time equivalent of overtime and holiday hours | 3 | 6 | 6 |

ALLOCATION ACCOUNTS

| | | | |
|--|-----|-----|-----|
| Total number of full-time permanent positions | 579 | 575 | 573 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 852 | 839 | 831 |
| Full-time equivalent of overtime and holiday hours | 9 | 8 | 0 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

Identification code 20-8102-6-7-401 1985 actual 1986 est. 1987 est.

| | | | |
|--|---|---------|---------|
| Program by activities: | | | |
| 10.00 Total obligations | — | 548,250 | — |
| Financing: | | | |
| 21.49 Unobligated balance available, start of year: Contract authority | — | — | 111,549 |
| 24.49 Unobligated balance available, end of year: Contract authority | — | 111,549 | 111,549 |
| 49.10 Budget authority (contract authority) | — | 659,799 | — |

| | | | |
|-------------------------------------|-----------------------------------|----------|----------|
| Relation of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net | -548,250 | |
| | Obligated balance, start of year: | | |
| 72.40 | Appropriation | | 94,708 |
| 72.49 | Contract authority | -548,250 | |
| | Obligated balance, end of year: | | |
| 74.40 | Appropriation | -94,708 | -387,330 |
| 74.49 | Contract authority | 548,250 | 548,250 |
| 90.00 | Outlays | -94,708 | -292,622 |

| | | | | |
|-------------------------------------|--|----------|----------|----------|
| Relation of obligations to outlays: | | | | |
| | Obligations incurred, net | 197,900 | 212,756 | 206,191 |
| | Obligated balance, start of year | 186,427 | 206,301 | 252,308 |
| | Obligated balance, end of year | -206,301 | -252,308 | -277,854 |
| | Outlays from limitations | 178,026 | 166,749 | 180,645 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

LIMITATION ON GENERAL OPERATING EXPENSES

Necessary expenses for administration, operation, and research of the Federal Highway Administration, not to exceed **[\$208,761,000]** \$206,191,000, shall be paid, in accordance with law, from appropriations made available by this Act to the Federal Highway Administration together with advances and reimbursements received by the Federal Highway Administration: *Provided*, That not to exceed **[\$48,415,000]** \$41,038,000 of the amount provided herein shall remain available until expended: *Provided further*, That all unobligated amounts made available under this head in prior fiscal years for the establishment and implementation of a demonstration bonding program for economically and socially disadvantaged businesses shall remain available for such purposes until expended: *Provided further*, That, notwithstanding any other provision of law, there may be credited to this account funds received from States, counties, municipalities, other public authorities and private sources, for training expenses incurred for non-federal employees: *Provided further*, That none of the funds provided in this Act shall be used for the approval of, or to pay the salary of any person who approves projects to construct a landfill in the Hudson River as part of an Interstate System highway in New York City]. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

This limitation provides for the salaries and expenses of the Federal Highway Administration. Resources are allocated from the following programs: Federal-aid highways, highway-related safety grants, and highway safety research and development.

Program direction and coordination.—Provides overall direction and coordination of the highway transportation program, including program review of agency operations; provides legal services for all programs; plans and directs public affairs programs; and develops and recommends policies and standards, and ensures compliance with the civil rights and equal opportunity procedures by the Federal Highway Administration and by recipients of grants-in-aid administered by the Federal Highway Administration.

General program support.—Formulates policy, multiyear and long-range plans and goals for highway programs, and data and analyses for current and long-range programming; conducts direct and contract research and development relating to traffic operations, new construction techniques, and the social and environmental aspects of highways; provides administrative support services for all elements of the Federal Highway Administration; and provides on-the-job training for employees of the Federal Highway Administration and training opportunities for other highway-related personnel. As part of an Administration initiative to shift Federal activities to the private sector, it is proposed that operation of the Turner-Fairbank Highway Research Center be carried out by contract with a non-Federal entity.

Highway programs.—Provides engineering guidance to Federal and State agencies and to foreign governments, and conducts a program to encourage use of modern traffic engineering procedures to increase the vehicle-carrying capacity of existing highways and urban streets; assists States, other Federal agencies, and certain foreign countries in right-of-way acquisition and develops procedures for highway location selection and consideration of environmental impacts; and finances construction skill training programs for disadvantaged workers hired by contractors on federally aided highway projects.

Field operations.—Provides staff advisory and support services in field offices of the Federal Highway Administration; and provides program and engineering supervision of the several highway programs through 9 regional and 52 division offices.

| Program and Financing (in thousands of dollars) | | | |
|--|----------------|----------------|----------------|
| | 1985 actual | 1986 est. | 1987 est. |
| Program by activities: | | | |
| Program direction and coordination: | | | |
| Executive direction | 852 | 846 | 872 |
| Program review | | 206 | 213 |
| Legal services | 1,974 | 1,961 | 2,017 |
| Public affairs | 146 | 145 | 149 |
| Civil rights | 896 | 890 | 916 |
| General program support: | | | |
| Policy | 13,002 | 13,953 | 13,083 |
| Research, development, and technology | 28,415 | 32,970 | 22,000 |
| Administrative support | 41,230 | 39,551 | 51,112 |
| National Highway Institute | 2,331 | 1,416 | 1,416 |
| Career development programs | 3,120 | 3,087 | 3,198 |
| Highway programs: | | | |
| Engineering and program development | 11,176 | 10,911 | 11,116 |
| Right-of-way and environment | 3,272 | 3,255 | 3,338 |
| Safety and traffic operations | 3,794 | 3,703 | 3,467 |
| Motor carriers | | 393 | 412 |
| Construction skill training and minority business enterprise | 11,965 | 16,431 | 12,500 |
| Demonstration bonding program | | 5,000 | |
| Field operations | 78,835 | 78,038 | 80,382 |
| Total obligations | 201,008 | 212,756 | 206,191 |
| Financing: | | | |
| Offsetting collections from Federal funds | -3,107 | | |
| Unobligated balance available, start of year | -3,943 | -8,995 | |
| Unobligated balance transferred, net | 1,500 | | |
| Unobligated balance available, end of year | 8,995 | | |
| Limitation | 204,452 | 203,761 | 206,191 |

Object Classification (in thousands of dollars)

| Identification code 20-8102-0-7-401 | | 1985 actual | 1986 est. | 1987 est. |
|-------------------------------------|---|----------------|----------------|---------------|
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 99,849 | 100,335 | 94,254 |
| 11.3 | Other than full-time permanent | 2,414 | 2,879 | 2,879 |
| 11.5 | Other personnel compensation | 1,591 | 906 | 1,706 |
| 11.9 | Total personnel compensation | 103,854 | 104,120 | 98,839 |
| 12.1 | Personnel benefits: Civilian | 13,819 | 13,139 | 13,774 |

LIMITATION ON GENERAL OPERATING EXPENSES—Continued

Object Classification (in thousands of dollars)—Continued

| Identification code | 20-8102-0-7-401 | 1985 actual | 1986 est. | 1987 est. |
|---------------------|--|-------------|-----------|-----------|
| 13.0 | Benefits for former personnel | 2 | 50 | 50 |
| 21.0 | Travel and transportation of persons | 5,059 | 4,684 | 4,881 |
| 22.0 | Transportation of things | 790 | 653 | 680 |
| 23.1 | Standard level user charges | 9,895 | 9,761 | 10,941 |
| 23.2 | Rental payments to others | 5,576 | 5,165 | 5,382 |
| 24.0 | Printing and reproduction | 1,189 | 1,209 | 1,209 |
| 25.0 | Other services | 57,577 | 71,928 | 68,303 |
| 26.0 | Supplies and materials | 1,015 | 957 | 997 |
| 31.0 | Equipment | 2,225 | 1,089 | 1,135 |
| 42.0 | Insurance claims and indemnities | 7 | | |
| 93.0 | Administrative expenses included in schedule for fund as a whole | -201,008 | -212,756 | 206,191 |
| 99.0 | Total obligations | | | |

| Personnel Summary | | | | |
|--|-------|-------|-------|--|
| Total number of full-time permanent positions | 2,673 | 2,629 | 2,463 | |
| Total compensable workyears | | | | |
| Full-time equivalent employment | 2,774 | 2,779 | 2,591 | |
| Full-time equivalent of overtime and holiday hours | 13 | 14 | 14 | |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| Total obligations | -8,762 | | |
| Financing: | | | |
| Limitation | -8,762 | | |
| Relation of obligations to outlays: | | | |
| Obligations incurred, net | -8,762 | | |
| Obligated balance, start of year | | | 438 |
| Obligated balance, end of year | | 438 | |
| Outlays from limitations | -8,324 | | 438 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

HIGHWAY-RELATED SAFETY GRANTS (LIQUIDATION OF CONTRACT AUTHORIZATION) (HIGHWAY TRUST FUND)

For payment of obligations incurred in carrying out the provisions of title 23, United States Code, section 402, administered by the Federal Highway Administration, to remain available until expended, \$9,000,000 \$12,000,000 to be derived from the Highway Trust Fund. Provided, That not to exceed \$100,000 of the amount appropriated herein shall be available for "Limitation on general operating expenses". Provided further, That none of the funds in this Act shall be available for the planning or execution of programs the obligations for which are in excess of \$10,000,000 in fiscal year 1986 1987 for "Highway-related safety grants". (Department of Transportation, and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190; additional authorizing legislation to be proposed.)

Program and Financing (in thousands of dollars)

| Identification code | 69-8019-0-7-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-----------------|-------------|-----------|-----------|
| Program by activities: | | | | |
| 00.01 State and community grants | 9,900 | 9,900 | 9,900 | |
| 00.02 Administration of grant programs | 100 | 100 | 100 | |
| 10.00 Total obligations | 10,000 | 10,000 | 10,000 | |

Financing: BUDGET AUTHORITY (value of obligations to be financed)

| Identification code | 40.00 | 40.49 | 43.00 | 49.10 | 69.10 | 71.00 | 72.40 | 72.49 | 74.40 | 74.49 | 90.00 |
|--|--------|--------|--------|-------|--------|--------|-------|-------|-------|-------|-------|
| Budget authority: | | | | | | | | | | | |
| Current: | | | | | | | | | | | |
| Appropriation | 5,000 | 9,000 | 12,000 | | | | | | | | |
| Portion applied to liquidate contract authority | 5,000 | 9,000 | 12,000 | | | | | | | | |
| Appropriation (adjusted) | | | | | | | | | | | |
| Contract authority | | | | | 10,000 | 10,000 | | | | | |
| Permanent: | | | | | | | | | | | |
| Contract authority (90 Stat. 451, 92 Stat. 2727) | | | | | 10,000 | 10,000 | | | | | |
| Relation of obligations to outlays: | | | | | | | | | | | |
| Obligations incurred, net | 10,000 | 10,000 | 10,000 | | | | | | | | |
| Obligated balance, start of year | | | | | | | | | | | |
| Appropriation | 11,224 | 4,677 | 3,886 | | | | | | | | |
| Contract authority | 9,802 | 14,802 | 15,802 | | | | | | | | |
| Obligated balance, end of year | | | | | | | | | | | |
| Appropriation | 4,677 | 3,886 | 4,842 | | | | | | | | |
| Contract authority | 14,802 | 15,802 | 13,802 | | | | | | | | |
| Outlays | 11,548 | 9,791 | 11,044 | | | | | | | | |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 10,000 | 10,000 | 10,000 |
| Outlays | 11,548 | 9,791 | 11,044 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | -430 | | |
| Outlays | -86 | | 138 |
| Total: | | | |
| Budget authority | 10,000 | 9,570 | 10,000 |
| Outlays | 11,548 | 9,705 | 10,906 |

Status of Unfunded Contract Authority (in thousands of dollars)

| Identification code | 69-8014-0-7-401 | 1985 actual | 1986 est. | 1987 est. |
|---|-----------------|-------------|-----------|-----------|
| Unfunded balance, start of year | | 9,802 | 14,802 | 15,372 |
| Contract authority | | 10,000 | 10,000 | 10,000 |
| Reduction pursuant to P.L. 99-177 | | | 430 | |
| Appropriation to liquidate contract authority | | 5,000 | 9,000 | 12,000 |
| Unfunded balance, end of year | | 14,802 | 15,372 | 13,372 |

The Highway Safety Act of 1970 authorized grants to States and communities for implementing and maintaining highway-related safety standards.

The 1982 Surface Transportation Assistance Act provided a \$10 million program level for 1986, which the budget proposes to continue in 1987.

Object Classification (in thousands of dollars)

| Identification code | 69-8019-0-7-401 | 1985 actual | 1986 est. | 1987 est. |
|---------------------|--------------------------------------|-------------|-----------|-----------|
| 25.0 | Other services | 100 | 100 | 100 |
| 41.0 | Grants, subsidies, and contributions | 9,900 | 9,900 | 9,900 |
| 99.9 | Total obligations | 10,000 | 10,000 | 10,000 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 69-8019-6-7-401 | 1985 actual | 1986 est. | 1987 est. |
|-------------------------------|-----------------|-------------|-----------|-----------|
| Program by activities: | | | | |
| Total obligations | | | | 430 |

| Financing: | | | |
|-------------------------------------|---|-----|------|
| 49.10 | Budget authority (contract authority) (Public Law 98-78) | | -430 |
| Relation of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net | | -430 |
| Obligated balance, start of year: | | | |
| 72.40 | Appropriation | 86 | |
| 72.49 | Contract authority | | -430 |
| Obligated balance, end of year: | | | |
| 74.40 | Appropriation | -86 | -224 |
| 74.49 | Contract authority | 430 | 430 |
| 90.00 | Outlays | -86 | -138 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177.)

[BALTIMORE-WASHINGTON PARKWAY (HIGHWAY TRUST FUND)]

[For necessary expenses, not otherwise provided, to carry out the provisions of the Federal-Aid Highway Act of 1970, for the Baltimore-Washington Parkway, to remain available until expended, \$3,000,000 to be derived from the Highway Trust Fund and to be withdrawn therefrom at such times and in such amounts as may be necessary: *Provided*, That, notwithstanding subsection (b) of section 146 of the Federal-Aid Highway Act of 1970 and any agreement entered into under such subsection, the Secretary of the Interior shall not be required to convey to the State of Maryland any portion of the Baltimore-Washington Parkway located in the State of Maryland, and the State of Maryland shall not be required to accept conveyance of any such portion: *Provided further*, That funds authorized by such section may be expended without regard to any requirement of such an agreement that such portion of the Baltimore-Washington Parkway be conveyed to the State of Maryland.] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

| Program and Financing (in thousands of dollars) | | | |
|---|---------------------------------------|-------------|---------------------|
| Identification code | 69-8014-0-7-401 | 1985 actual | 1986 est. 1987 est. |
| Program by activities: | | | |
| 10.00 | Total obligations (object class 41.0) | | 3,000 |
| Financing: | | | |
| 40.00 | Budget authority (appropriation) | | 3,000 |
| Relation of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net | | 3,000 |
| 72.40 | Obligated balance, start of year | 545 | 545 2,727 |
| 74.40 | Obligated balance, end of year | -545 | -2,727 -1,604 |
| 90.00 | Outlays | | 818 1,124 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | [In thousands of dollars] | | |
|------------------------------------|---------------------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Enacted/requested: | | | |
| Budget authority | | 3,000 | |
| Outlays | | 818 | 1,124 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -129 | |
| Outlays | | -24 | -43 |
| Total: | | | |
| Budget authority | | 2,871 | |
| Outlays | | 794 | 1,081 |

This appropriation provided \$3 million to provide for construction activity on the Baltimore-Washington Parkway, as authorized in the Federal-Aid Highway Act of 1970. No additional appropriation is requested for this program.

Reduction Pursuant to Public Law 99-177

| Program and Financing (in thousands of dollars) | | | |
|---|----------------------------------|-------------|---------------------|
| Identification code | 69-8014-6-7-401 | 1985 actual | 1986 est. 1987 est. |
| Program by activities: | | | |
| 10.00 | Total obligations | | -129 |
| Financing: | | | |
| 40.00 | Budget authority (appropriation) | | -129 |
| Relation of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net | | -129 |
| 72.40 | Obligated balance, start of year | | -105 |
| 74.40 | Obligated balance, end of year | 105 | 62 |
| 90.00 | Outlays | -24 | -43 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

TRUST FUND SHARE OF OTHER HIGHWAY PROGRAMS

| Program and Financing (in thousands of dollars) | | | |
|--|---|-------------|---------------------|
| Identification code | 69-8009-0-7-401 | 1985 actual | 1986 est. 1987 est. |
| Program by activities: | | | |
| 00.01 | Railroad-highway crossings demonstration projects | 8,800 | 16,800 18,276 |
| 10.00 | Total obligations (object class 25.0) | 8,800 | 16,800 18,276 |
| Financing: | | | |
| 17.00 | Recovery of prior year obligations | -444 | |
| 21.40 | Unobligated balance available, start of year | -13,379 | -24,784 -18,276 |
| 24.40 | Unobligated balance available, end of year | 24,784 | 18,276 |
| 25.00 | Unobligated balance lapsing | | 575 |
| 40.00 | Budget authority (appropriation) | 19,760 | 10,667 |
| Relation of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net | 8,800 | 16,600 18,276 |
| 72.40 | Obligated balance, start of year | 24,874 | 22,888 27,013 |
| 74.40 | Obligated balance, end of year | -22,888 | -27,013 -29,455 |
| 77.00 | Adjustments in expired accounts | -43 | |
| 78.00 | Adjustments in unexpired accounts | -444 | |
| 90.00 | Outlays | 10,298 | 12,475 15,834 |
| Distribution of budget authority by account: Railroad-highway crossings demonstration projects | | | |
| | | 19,760 | 10,667 |
| Distribution of outlays by account: | | | |
| | Railroad-highway crossings demonstration projects | 10,137 | 12,132 15,576 |
| | Rail crossings—demonstration projects | 19 | 135 101 |
| | Rural highway public transportation demonstration program | -4 | 37 28 |
| | Bicycle program | 146 | 172 129 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | [In thousands of dollars] | | |
|------------------------------------|---------------------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Enacted/requested: | | | |
| Budget authority | 19,760 | 10,667 | |
| Outlays | 10,298 | 12,475 | 15,834 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -459 | |
| Outlays | | -69 | -390 |
| Total: | | | |
| Budget authority | 19,760 | 10,208 | |
| Outlays | 10,298 | 12,406 | 15,444 |

This account provides the trust fund share of certain general fund accounts that are funded with both gener-

TRUST FUND SHARE OF OTHER HIGHWAY PROGRAMS—Continued

al funds and trust funds. Those accounts are listed in the distribution tables shown above. The language appropriating the amounts reflected in this account and the narrative statements on program and performance appear with the general fund accounts.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8009-6-7-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | -459 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -459 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -459 | |
| 72.40 Obligated balance, start of year | | | -390 |
| 74.40 Obligated balance, end of year | | 390 | |
| 90.00 Outlays | | -69 | -390 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

HIGHWAY SAFETY RESEARCH AND DEVELOPMENT (HIGHWAY TRUST FUND)

For necessary expenses in carrying out provisions of sections 307(a) and 403 of title 23, United States Code, to be derived from the Highway Trust Fund and to remain available until expended, **[\$8,500,000]; \$7,000,000.** (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190; additional authorizing legislation to be proposed.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8017-0-7-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations (object class 25.0) | 7,644 | 9,533 | 7,000 |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | -1,676 | -1,033 | |
| 22.40 Unobligated balance transferred, net | 1,500 | | |
| 24.40 Unobligated balance available, end of year | 1,033 | | |
| 40.00 Budget authority (appropriation) | 8,500 | 8,500 | 7,000 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 7,644 | 9,533 | 7,000 |
| 72.40 Obligated balance, start of year | 17,947 | 15,841 | 17,131 |
| 74.40 Obligated balance, end of year | -15,841 | -17,131 | -14,928 |
| 90.00 Outlays | 9,750 | 8,243 | 9,203 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 8,500 | 8,500 | 7,000 |
| Outlays | 9,750 | 8,243 | 9,203 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -366 | |
| Outlays | | -68 | -122 |
| Total: | | | |
| Budget authority | 8,500 | 8,134 | 7,000 |
| Outlays | 9,750 | 8,175 | 9,081 |

This appropriation seeks to identify, correct, and evaluate those critical elements that contribute to loss

of life, disabling injuries, and property damage on American highways. The emphasis of research is to provide workable and timely solutions to a set of critical safety problems. This program attempts to meet the increasing demands for solutions to urgent safety problems, such as traffic management in construction and maintenance zones and new longer range initiatives in safety hardware, driver aids, and system performance measurement.

Funds appropriated for this account are transferred to the general operating expenses limitation, where the program is administered in conjunction with other research and development programs of the Federal Highway Administration.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8017-6-7-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | -366 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -366 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -366 | |
| 72.40 Obligated balance, start of year | | | -298 |
| 74.40 Obligated balance, end of year | | 298 | 176 |
| 90.00 Outlays | | -68 | -122 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

[MOTOR CARRIER SAFETY GRANTS (HIGHWAY TRUST FUND)]

For necessary expenses to carry out provisions of section 402 of Public Law 97-424, \$17,000,000, to be derived from the Highway Trust Fund and to remain available until September 30, 1989. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8027-0-7-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations (object class 41.0) | 12,111 | 19,757 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | -868 | -2,757 | |
| 24.40 Unobligated balance available, end of year | 2,757 | | |
| 40.00 Budget authority (appropriation) | 14,000 | 17,000 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 12,111 | 19,757 | |
| 72.40 Obligated balance, start of year | 5,460 | 8,499 | 987 |
| 74.40 Obligated balance, end of year | -8,499 | -987 | |
| 90.00 Outlays | 9,072 | 27,269 | 987 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 14,000 | 17,000 | |
| Outlays | 9,072 | 27,269 | 987 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -731 | |
| Outlays | | -676 | -55 |

| | | | |
|------------------------|--------|--------|-------|
| Total: | | | |
| Budget authority | 14,000 | 16,269 | |
| Outlays | 9,072 | 26,593 | 932 |

Note.—Excludes \$50,000 thousand in 1987 for activities transferred to Federal-aid highways (trust fund). Comparable amounts for 1985 (\$14,000 thousand) and 1986 (\$17,000 thousand) are included above.

The Motor Carrier Safety Grant program, authorized by the 1982 Surface Transportation Assistance Act, provides grants to States to enforce Federal and compatible State standards applicable to commercial motor vehicle safety. States become eligible for funds by adopting Federal truck safety standards and maintaining minimum levels of program funding. Uniform safety standards both enhance the coordination of enforcement activities and simplify the safety requirements of interstate trucking parties.

Federal grants for enforcement of commercial motor vehicle safety standards may not be used to maintain efforts already undertaken by State governments. The purpose of the grant program is to raise the level of enforcement, not to substitute Federal for State and local dollars. It is proposed that separate program funding be eliminated for this program and that the motor carrier safety grants program be merged with the Federal-aid Highways program starting in fiscal year 1987, funded as a \$50 million set-aside prior to apportionments. States will have authority to set aside additional apportioned funds to meet their respective needs.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-8027-6-7-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations | | -731 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -731 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -731 | |
| 72.40 Obligated balance, start of year | | | -55 |
| 74.40 Obligated balance, end of year | | 55 | |
| 90.00 Outlays | | -676 | -55 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

MOTOR CARRIER SAFETY (HIGHWAY TRUST FUND)

For necessary expenses to carry out the motor carrier safety functions of the Secretary as authorized by the Department of Transportation Act (80 Stat. 939-940), \$19,515,000, to be derived from the Highway Trust Fund, of which \$1,900,000 shall remain available until expended. (Additional authorizing legislation to be proposed.)

Program and Financing (in thousands of dollars)

| Identification code 69-8056-0-7-401 | 1985 actual | 1986 est. | 1987 est. |
|--------------------------------------|-------------|-----------|-----------|
| Program by activities: | | | |
| 00.01 Operations | | | 17,615 |
| 00.02 Research and development | | | 1,900 |
| 10.00 Total obligations | | | 19,515 |
| Financing: | | | |
| 40.00 Budget authority | | | 19,515 |

Relation of obligations to outlays:

| | |
|--|--------|
| 71.00 Obligations incurred, net | 19,515 |
| 74.40 Obligated balance, end of year | -2,401 |
| 90.00 Outlays | 17,114 |

Note.—Includes \$19,515 thousand in 1987 for activities previously funded from Motor Carrier Safety (general fund). Comparable amounts for 1985 (\$13,902 thousand) and for 1986 (\$13,900 thousand) are included elsewhere.

The motor carrier safety mission is to reduce the risk of accidents in truck and bus highway transportation by regulation of motor carriers engaged in interstate commerce through safety management audits and roadside examinations. The program also seeks to simplify the Federal Motor Carrier Safety Regulations, increase regulatory compliance and support commercial motor vehicle related safety research. By the end of 1987, the Department plans to double the number of motor carrier safety specialists and to focus their efforts on motor carrier audits, and education and monitoring of problem carriers. States will continue to have the primary responsibility for roadside inspection, with increased support from Federal motor carrier safety grants. It is proposed that the motor carrier safety program be financed from the Highway Trust Fund starting in fiscal year 1987.

Object Classification (in thousands of dollars)

| Identification code 69-8056-0-7-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent | | | 12,907 |
| 11.3 Other than full-time permanent | | | 132 |
| 11.5 Other personnel compensation | | | 174 |
| 11.9 Total personnel compensation | | | 13,213 |
| 12.1 Personnel benefits: Civilian | | | 1,713 |
| 21.0 Travel and transportation of persons | | | 1,583 |
| 22.0 Transportation of things | | | 116 |
| 23.3 Communication, utilities, and miscellaneous charges | | | 21 |
| 24.0 Printing and reproduction | | | 1 |
| 25.0 Other services | | | 2,706 |
| 26.0 Supplies and materials | | | 70 |
| 31.0 Equipment | | | 92 |
| 99.9 Total obligations | | | 19,515 |

Personnel Summary

| | |
|--|-----|
| Total number of permanent positions | 433 |
| Total compensable workyears: Full-time equivalent employment | 401 |

HIGHWAY TRUST FUND

Amounts Available for Appropriation (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|------------|------------|
| Unexpended balance brought forward | 11,821,542 | 12,886,025 | 12,660,107 |
| Balance in expenditure accounts | -101,985 | -143,091 | -126,179 |
| Appropriated balance | -1,630,340 | -1,802,433 | -2,018,000 |
| Unappropriated balance, start of year | 10,089,217 | 10,940,501 | 10,515,928 |
| Receipts | 14,327,631 | 14,423,300 | 15,079,800 |
| Total available for appropriation | 24,416,848 | 25,363,801 | 25,595,728 |

HIGHWAY TRUST FUND—Continued

Amounts Available for Appropriation (in thousands of dollars)—Continued

| | 1985 actual | 1986 est. | 1987 est. |
|---|-------------------|-------------------|-------------------|
| Appropriations: | | | |
| Federal Highway Administration: | | | |
| Federal-aid highways (liquidation of contract authorization) | 12,800,000 | 13,836,000 | 13,036,000 |
| Highway-related safety grants (liquidation of contract authorization) | 5,000 | 9,000 | 12,000 |
| Trust fund share of other highway programs: | | | |
| Appropriation | 19,760 | 10,667 | |
| Highway safety research and development | 8,500 | 8,500 | 7,000 |
| Highway safety research and development (transfer to NHTSA) | -1,500 | | |
| Motor carrier safety grants | 14,000 | 17,000 | |
| Motor carrier safety | | | 19,515 |
| Intermodal urban demonstration project | 2,750 | | |
| Baltimore-Washington Parkway | | 3,000 | |
| Carpool and vanpool (transfer to NHTSA) | | -500 | |
| National Highway Traffic Safety Administration: | | | |
| Trust fund share of operations and research | 23,831 | 29,894 | 29,040 |
| Transfer from FHWA | 1,500 | 500 | |
| Transfer from UMTA | | 5,000 | |
| Rescission | | -1,500 | |
| Highway traffic safety grants: | | | |
| Liquidation of contract authorization | 125,000 | 149,000 | 118,000 |
| Highway traffic safety grants (liquidation of contract authorization transfer from FHWA) | | | |
| Urban Mass Transportation Administration: | | | |
| Discretionary grants (liquidation of contract authorizations) | 450,000 | 775,000 | 950,000 |
| Transportation block grant (liquidation of contract authorization) | | | 264,625 |
| Other agencies: | | | |
| Cumberland Gap highway, NPS (liquidation of contract authority) | 28,000 | 10,300 | |
| Reduction pursuant to P.L. 99-177 | | -3,413 | |
| Total appropriations | 13,476,841 | 14,848,448 | 14,436,180 |
| Adjustments in expired accounts, unexpired accounts and lapses, return to unappropriated receipts | 494 | 575 | |
| Unexpended balance, end of year | 12,886,025 | 12,660,107 | 13,268,210 |
| Balance in expenditure accounts | -143,091 | -126,179 | -92,898 |
| Appropriated balance | -1,802,433 | -2,018,000 | -2,013,619 |
| Unappropriated balance, end of year | 10,940,501 | 10,515,928 | 11,161,693 |

The Highway Revenue Act of 1956, as amended, provides for the transfer from the General fund to the Highway trust fund of revenue from the motor fuel tax and certain other taxes paid by highway users. The Secretary of the Treasury estimates the amounts to be so transferred. In turn, appropriations are authorized from this fund to meet expenditures for Federal-aid highways and other programs specified by law. In 1987, the Budget proposes to repeal the highway user fee exemptions for gasohol use and public and private bus operations.

The status of the fund is as follows:

STATUS OF HIGHWAY TRUST FUND

(In thousands of dollars)

| | | | |
|--|-------------------|-------------------|-------------------|
| Unexpended balance brought forward: | | | |
| U.S. securities (par) | 10,840,227 | 11,942,635 | 12,660,107 |
| Cash | 981,315 | 942,390 | |
| Balance of fund at start of year | 11,821,542 | 12,886,025 | 12,660,107 |
| Cash income during the year: Governmental receipts: | | | |
| From excise taxes: | | | |
| Gasoline tax | 8,952,502 | 8,802,000 | 9,435,000 |
| Truck, bus and trailer taxes | 1,395,706 | 1,197,000 | 1,190,000 |
| Tire, innertube, and tread rubber taxes | 222,009 | 250,000 | 239,000 |
| Diesel fuel taxes | 2,558,615 | 2,618,000 | 2,806,000 |
| Lubricating oil taxes | -90 | | |
| Use tax on certain vehicles | 378,592 | 406,000 | 430,000 |
| Truck parts and accessories tax | 965 | 1,000 | |
| Transfers to boat safety | | | |
| Transfer to aquatic resources fund | -66,882 | -72,000 | -72,000 |
| Refund of taxes | -426,464 | -180,000 | -214,000 |
| Intrabudgetary transactions: | | | |
| Interest on investments | 1,312,677 | 1,401,300 | 1,265,800 |
| Total annual income | 14,327,631 | 14,423,300 | 15,079,800 |
| (Mass transit account) | (1,419,966) | (1,352,500) | (1,556,000) |
| Cash outlays during the year: | | | |
| Federal Highway Administration appropriations: | | | |
| Federal-aid highways (liquidation of contract authorization) | 12,584,323 | 13,759,800 | 13,476,400 |
| Highway-related safety grants (liquidation of contract authorization) | 11,548 | 9,791 | 11,044 |
| Trust fund share of other highway programs | 10,298 | 12,475 | 15,834 |
| Highway safety research and development | 9,750 | 8,243 | 9,203 |
| Miscellaneous highway trust funds | 1,506 | 3,889 | 3,521 |
| Motor carrier safety grants | 9,072 | 27,269 | 987 |
| Motor carrier safety | | | 17,114 |
| Right-of-way revolving fund (liquidation of contract authorization) | -15,099 | | |
| Baltimore-Washington Parkway | | 818 | 1,124 |
| National Highway Traffic Safety Administration appropriations: | | | |
| Trust fund share of operations and research | 21,026 | 25,383 | 28,249 |
| Rescission | | | |
| Highway traffic safety grants (liquidation of contract authorization) | 120,137 | 133,400 | 133,075 |
| Urban Mass Transportation Administration: | | | |
| Transportation block grants, transit (liquidation of contract authorization) | | | 264,625 |
| Discretionary grants (liquidation of contract authorization) | 506,998 | 752,611 | 801,545 |
| Other agencies: | | | |
| Cumberland Gap, NPS | 3,588 | 19,300 | 14,600 |
| Reduction pursuant to P.L. 99-177 | | -103,761 | -305,624 |
| Total annual outlays | 13,263,148 | 14,649,218 | 14,471,697 |

| | | | |
|--|-------------|-------------|-------------|
| Unexpended balance carried forward: | | | |
| U.S. securities (par)..... | 11,943,635 | 12,660,107 | 13,268,210 |
| Cash..... | 942,390 | | |
| Balance of fund at end of year..... | 12,886,025 | 12,660,107 | 13,268,210 |
| Commitment against unexpended balances: | | | |
| Appropriated but not expended: | | | |
| Appropriations..... | -143,091 | -126,179 | -92,898 |
| Liquidation of contract authorization..... | -1,802,433 | -2,018,000 | -2,013,619 |
| Committed to future liquidating cash appropriations: | | | |
| Outstanding obligated balance of contract authority..... | -21,533,618 | -20,885,853 | -21,034,140 |
| Unobligated balance of contract authority..... | -8,102,358 | -9,319,181 | -8,865,516 |
| Total commitment against unexpended balances..... | -31,581,500 | -32,349,213 | -32,006,173 |
| Uncommitted balance, end of year..... | 18,965,475 | 19,686,961 | 18,737,963 |

| | | | |
|---|-------|-------|-------|
| Technical assistance, U.S. dollars advanced from foreign governments..... | 6,000 | 3,672 | 4,302 |
| Contributions for highway research programs..... | | 12 | 13 |
| Advances from State cooperating agencies..... | 602 | 292 | 305 |
| Distribution of outlays by account: | | | |
| Cooperative work, forest highways..... | -230 | 571 | 564 |
| Equipment, supplies, etc., for cooperating countries..... | | 309 | 231 |
| Technical assistance, U.S. dollars advanced from foreign governments..... | 6,709 | 4,139 | 4,389 |
| Contributions for highway research programs..... | -50 | 26 | 28 |
| Advances from State cooperating agencies..... | 161 | 327 | 373 |

Status of Unfunded Contract Authority (in thousands of dollars)

| | | | |
|--|-----|------|--|
| Unfunded balance, start of year..... | 846 | 818 | |
| Contract authority..... | 6 | | |
| Appropriation to liquidate contract authority..... | -34 | -818 | |
| Unfunded balance, end of year..... | 818 | | |

MISCELLANEOUS TRUST FUNDS

Program and Financing (in thousands of dollars)

| Identification code 69-9971-0-7-151 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 00.01 Cooperative work, forest highways..... | 986 | 376 | 361 |
| 00.02 Technical assistance, U.S. dollars advanced from foreign governments..... | 5,350 | 4,350 | 4,302 |
| 00.03 Contributions for highway research programs..... | 50 | 32 | 13 |
| 00.04 Advances from State cooperating agencies..... | 548 | 394 | 305 |
| 10.00 Total obligations..... | 6,934 | 5,153 | 4,981 |
| Financing: | | | |
| Unobligated balance available, start of year: | | | |
| 21.40 Appropriation..... | -1,352 | -1,832 | |
| 21.49 Contract authority..... | -245 | -217 | |
| Unobligated balance available, end of year: | | | |
| 24.40 Appropriation..... | 1,832 | | |
| 24.49 Contract authority..... | 217 | | |
| 25.00 Unobligated balance lapsing..... | | 1,218 | |
| 39.00 Budget authority..... | 7,386 | 4,322 | 4,981 |
| Budget authority: | | | |
| Permanent authority: | | | |
| 60.00 Appropriation..... | 7,414 | 5,140 | 4,981 |
| 60.49 Portion applied to liquidate contract authority..... | -34 | -818 | |
| 63.00 Appropriation (adjusted)..... | 7,380 | 4,322 | 4,981 |
| 69.10 Contract authority..... | 6 | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 6,934 | 5,153 | 4,981 |
| Obligated balance, start of year: | | | |
| 72.40 Appropriation..... | 915 | 1,260 | 1,642 |
| 72.49 Contract authority..... | 601 | 601 | |
| Obligated balance, end of year: | | | |
| 74.40 Appropriation..... | -1,260 | -1,642 | -1,038 |
| 74.49 Contract authority..... | -601 | | |
| 90.00 Outlays..... | 6,590 | 5,372 | 5,585 |
| Distribution of budget authority by account: | | | |
| Cooperative work, forest highways..... | 784 | 346 | 361 |

Miscellaneous Trust Funds contains the following programs financed out of the highway trust fund and reimbursed by the requesting parties.

Cooperative work, forest highways.—Contributions are received from States and counties in connection with cooperative engineering, survey, maintenance, and construction projects for forest highways.

Technical assistance, U.S. dollars advanced from foreign governments.—The Federal Highway Administration renders technical assistance and acts as agent for the purchase of equipment and materials for carrying out highway programs in foreign countries. Currently, these services are being rendered in Costa Rica, Saudi Arabia, and Kuwait.

Contributions for highway research programs.—In association with the General Services Administration and the Department of Defense, tests of highway equipment are conducted for the purpose of establishing performance standards upon which to base specifications for use by the Government in purchasing such equipment.

Advances from State cooperating agencies.—Funds are contributed by the State highway departments or local subdivisions thereof for construction and/or maintenance of roads or bridges. The work is performed under the supervision of the Federal Highway Administration.

Object Classification (in thousands of dollars)

| Identification code 69-9971-0-7-151 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent..... | 1,175 | 606 | 606 |
| 11.3 Other than full-time permanent..... | 689 | | |
| 11.5 Other personnel compensation..... | 486 | 251 | 251 |
| 11.9 Total personnel compensation..... | 2,350 | 857 | 857 |
| 12.1 Personnel benefits: Civilian..... | 270 | 88 | 88 |
| 21.0 Travel and transportation of persons..... | 261 | 253 | 240 |
| 22.0 Transportation of things..... | 98 | 95 | 90 |
| 23.3 Communications, utilities, and miscellaneous charges..... | 12 | 12 | 11 |
| 24.0 Printing and reproduction..... | 800 | 776 | 736 |
| 25.0 Other services..... | 2,669 | 2,564 | 2,478 |
| 26.0 Supplies and materials..... | 3 | 3 | 3 |
| 31.0 Equipment..... | 20 | 19 | 17 |
| 32.0 Lands and structures..... | 451 | 486 | 461 |
| 99.9 Total obligations..... | 6,934 | 5,153 | 4,981 |

MISCELLANEOUS TRUST FUNDS—Continued

| Personnel Summary | | | |
|---|----|----|----|
| Total number of full-time permanent positions..... | 38 | 15 | 15 |
| Total compensable workyears: Full-time equivalent employment..... | 31 | 16 | 16 |

MISCELLANEOUS HIGHWAY TRUST FUNDS

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 00.01 Overseas highway..... | | 935 | |
| 00.02 Carpool/vanpool projects..... | 41 | 154 | |
| 00.03 Intermodal urban demonstration..... | 282 | 2,458 | |
| 10.00 Total obligations (object class 41.0)..... | 324 | 3,550 | |
| Financing: | | | |
| 17.00 Recovery of prior year obligations..... | 210 | | |
| 21.40 Unobligated balance available, start of year..... | 1,421 | 4,056 | |
| 22.40 Unobligated balance transferred, net..... | | 500 | |
| 24.40 Unobligated balance available, end of year..... | | 4,056 | |
| 40.00 Budget authority (appropriation)..... | 2,750 | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 324 | 3,556 | |
| 72.40 Obligated balance, start of year..... | 9,359 | 7,945 | 7,612 |
| 74.40 Obligated balance, end of year..... | | 7,945 | 4,090 |
| 77.00 Adjustments in expired accounts..... | | -22 | |
| 78.00 Adjustments in unexpired accounts..... | | 210 | |
| 90.00 Outlays..... | 1,506 | 3,889 | 3,521 |
| Distribution of outlays by account: | | | |
| Project acceleration demonstration..... | 11 | 202 | 152 |
| Traffic control/signalization..... | 208 | 645 | 483 |
| Overseas highway..... | 345 | 1,703 | 1,436 |
| Intermodal urban demo..... | 45 | 988 | 1,161 |
| Carpool/vanpool projects..... | 898 | 351 | 290 |

This consolidated schedule displays programs that no longer require appropriations and shows the obligation and outlay of amounts made available in prior years.

RIGHT-OF-WAY REVOLVING FUND [(LIMITATION ON DIRECT LOANS)] (TRUST FUND)

During fiscal year [1986] 1987 and with the resources and authority available, gross obligations for the principal amount of direct loans shall not exceed \$50,000,000. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations (object class 33.0)..... | 101,445 | 50,000 | 50,000 |
| Financing: | | | |
| 14.00 Offsetting collections from: Non-Federal sources..... | -64,597 | -50,000 | -50,000 |
| 17.00 Recovery of prior year obligations..... | -88,853 | | |
| Unobligated balance available, start of year: | | | |
| 21.40 Appropriation..... | | -43,779 | -43,779 |
| 21.49 Contract authority..... | -31,773 | -40,000 | -40,000 |
| Unobligated balance available, end of year: | | | |
| 24.40 Appropriation..... | 43,779 | 43,779 | 43,779 |

| | | | |
|---|----------|----------|----------|
| 24.49 Contract authority..... | 40,000 | 40,000 | 40,000 |
| 39.00 Budget authority..... | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 36,848 | | |
| Obligated balance, start of year: | | | |
| 72.49 Contract authority..... | 8,227 | | |
| 72.98 Fund balance..... | 129,349 | 100,670 | 100,670 |
| 74.98 Obligated balance, end of year: Fund balance..... | -100,670 | -100,670 | -100,670 |
| 78.00 Adjustments in unexpired accounts..... | -88,853 | | |
| 90.00 Outlays..... | -15,099 | | |

Status of Direct Loans (in thousands of dollars)

| Position with respect to appropriations act limitation on obligations: | | | |
|--|---------|---------|---------|
| 1111 Limitation on direct loans to the public..... | 50,000 | 50,000 | 50,000 |
| 1150 Total direct loan obligations..... | 50,000 | 50,000 | 50,000 |
| Cumulative balance of direct loans outstanding: | | | |
| 1210 Outstanding, start of year..... | 130,651 | 115,552 | 115,552 |
| 1231 Disbursements: Direct loan disbursements..... | 49,498 | 50,000 | 50,000 |
| 1251 Repayments: Repayments and prepayments..... | -64,597 | -50,000 | -50,000 |
| 1290 Outstanding, end of year..... | 115,552 | 115,552 | 115,552 |

Status of Unfunded Contract Authority (in thousands of dollars)

| | | | |
|--|--------|--------|--------|
| Unfunded balance, start of year..... | 40,000 | 40,000 | 40,000 |
| Appropriation from the Highway Trust Fund to liquidate contract authority..... | | | |
| Unfunded balance, end of year..... | 40,000 | 40,000 | 40,000 |

The Federal-Aid Highway Act of 1968 authorized \$300 million for the establishment of a right-of-way revolving fund. This fund is utilized to make cash advances to the States for the purpose of purchasing right-of-way parcels in advance of highway construction and thereby preventing the inflation of land prices from significantly increasing construction costs. Although the loans are currently interest-free, an interest charge will be proposed in the reauthorization of the Surface Transportation Assistance Act.

The initial legislation for this program required the States to construct the highway and reimburse the revolving fund within seven years from the date of the advance. The 1973 Highway Act extended the required time limit on construction to 10 years and the 1976 Highway Act extended the time limit indefinitely, if deemed necessary by the Secretary.

In 1987, gross obligations will be limited to \$50 million, consistent with prior years' practice.

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| [in thousands of dollars] | | | |
|---|-------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Enacted/requested: | | | |
| Budget authority..... | | | |
| Outlays..... | -15,099 | | |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | | |
| Outlays..... | | -2,150 | |
| Total: | | | |
| Budget authority..... | | | |
| Outlays..... | -15,099 | -2,150 | |

Revenue and Expense (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Advances for acquisition of right-of-way program: | | | |
| Revenue..... | 64,597 | | |
| Expense..... | -49,498 | | |
| Net operating income..... | 15,099 | | |

Financial Condition (in thousands of dollars)

| | 1984 actual | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-------------|-----------|-----------|
| Assets: | | | | |
| Selected assets: | | | | |
| Drawing account with Treasury: | | | | |
| Advances (loans)..... | 130,651 | 115,552 | 115,552 | 115,552 |
| Due from Highway trust fund .. | 129,349 | 144,448 | 144,448 | 144,448 |
| Total assets..... | 260,000 | 260,000 | 260,000 | 260,000 |
| Liabilities: | | | | |
| Accounts payable..... | | | | |
| Government equity: | | | | |
| Selected equities: | | | | |
| Non-interest-bearing capital: | | | | |
| Undisbursed loan obligations ... | 137,576 | 100,670 | 100,670 | 100,670 |
| Unobligated balance | 31,773 | 83,779 | 83,779 | 83,779 |
| Contract authority | -40,000 | -40,000 | -40,000 | -40,000 |
| Invested capital..... | 130,651 | 115,552 | 115,552 | 115,552 |
| Total Government equity..... | 260,000 | 260,000 | 260,000 | 260,000 |
| Analysis of changes in Government equity: | | | | |
| Non-interest-bearing capital: | | | | |
| Start of year..... | 260,000 | 260,000 | 260,000 | 260,000 |
| Appropriation..... | | | | |
| Total Government equity, end of year | 260,000 | 260,000 | 260,000 | 260,000 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8402-6-8-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations..... | | -2,150 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | | | -2,150 |
| 24.40 Unobligated balance available, end of year..... | | 2,150 | 2,150 |
| 39.00 Budget authority..... | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -2,150 | |
| 90.00 Outlays..... | | -2,150 | |

Status of Direct Loans (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Position with respect to appropriations act limitation on obligations: | | | |
| 1111 Limitation on direct loans to the public | | -2,150 | |
| 1150 Total direct loan obligations..... | | -2,150 | |
| Cumulative balance of direct loans outstanding: | | | |
| 1210 Outstanding, start of year..... | | | -2,150 |
| 1231 Disbursements: Direct loan disbursements .. | | -2,150 | |
| 1290 Outstanding, end of year..... | | -2,150 | -2,150 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

The programs administered by the National Highway Traffic Safety Administration (NHTSA) are authorized by three separate laws: The National Traffic and Motor Vehicle Safety Act, the Highway Safety Act, and the Motor Vehicle Information and Cost Savings Act. The following table shows the funding for NHTSA programs and reflects 1986 reductions pursuant to P.L. 99-177, the Balanced Budget and Emergency Deficit Control Act of 1985.

| | [in thousands of dollars] | | |
|-------------------------------------|---------------------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Budget authority: | | | |
| Operations and research..... | 82,350 | 80,246 | 83,930 |
| Highway traffic safety grants..... | 176,500 | 126,324 | 110,000 |
| Total budget authority..... | 258,850 | 206,570 | 193,930 |
| Program level (obligations): | | | |
| Operations and research..... | 82,693 | 87,884 | 83,930 |
| Highway traffic safety grants..... | 135,580 | 134,222 | 124,400 |
| Miscellaneous safety programs..... | 817 | 108 | |
| Total program level..... | 219,090 | 222,214 | 208,330 |
| Outlays: | | | |
| Operations and research..... | 81,233 | 83,152 | 82,613 |
| Highway traffic safety grants..... | 120,137 | 130,695 | 130,638 |
| Miscellaneous safety programs..... | 1,494 | 1,500 | 1,500 |
| Total outlays..... | 202,864 | 215,347 | 214,751 |

Federal Funds

General and special funds:

OPERATIONS AND RESEARCH

[(INCLUDING TRANSFERS OF FUNDS)]

For expenses necessary to discharge the functions of the Secretary with respect to traffic and highway safety and functions under the Motor Vehicle Information and Cost Savings Act (Public Law 92-513, as amended), **[\$88,851,000]** **\$83,930,000**, of which **[\$5,000,000]** shall be derived from unobligated balances of "Research training, and human resources"; and of which **\$29,894,000]** **\$29,040,000** shall be derived from the Highway Trust Fund: *Provided*, That not to exceed **[\$36,296,000]** **\$36,327,000** shall remain available until expended, of which **[\$14,833,000]** **\$14,975,000** shall be derived from the Highway Trust Fund; *Provided further*, That, of the funds available under this head, \$10,000,000 shall be available to implement the recommendations of the 1985 National Academy of Sciences report on trauma research: *Provided further*, That for the purpose of carrying out a national program to encourage the use of automobile passive restraints as authorized by 23 U.S.C. 403, an additional \$500,000 is available to be derived from unobligated balances of "Carpool and vanpool projects". (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190; additional authorizing legislation to be proposed.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0650-0-1-401 | | | |
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 Rulemaking programs..... | 7,125 | 7,040 | 7,191 |
| 00.02 Enforcement programs..... | 11,736 | 11,400 | 11,623 |
| 00.03 Highway safety programs..... | 5,802 | 4,505 | 3,156 |
| 00.04 Research and analysis..... | 27,074 | 26,417 | 25,735 |
| 00.05 Office of the Administrator..... | 1,611 | 1,724 | 1,771 |
| 00.06 General administration..... | 5,591 | 5,009 | 5,414 |
| 00.91 Total direct programs..... | 58,650 | 56,095 | 54,890 |
| 01.01 Reimbursable program..... | 30,580 | 42,194 | 35,740 |
| 10.00 Total obligations..... | 89,230 | 98,289 | 90,630 |

General and special funds—Continued

OPERATIONS AND RESEARCH—Continued

[(INCLUDING TRANSFERS OF FUNDS)]—Continued

Program and Financing (in thousands of dollars)—Continued

| Identification code | 69-0650-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|-------------------------------------|--|---------------|---------------|---------------|
| Financing: | | | | |
| Offsetting collections from: | | | | |
| 11.00 | Federal funds | —957 | —900 | —900 |
| 13.00 | Trust funds | —28,943 | —40,394 | —33,940 |
| 14.00 | Non-Federal funds | —679 | —900 | —900 |
| 17.00 | Recovery of prior year obligations | —380 | | |
| 21.40 | Unobligated balance available, start of year | —2,858 | —8,138 | |
| 22.40 | Unobligated balance transferred, net | —6,000 | | |
| 23.40 | Unobligated balance rescinded (P.L. 99-88) | 808 | 6,000 | |
| 24.40 | Unobligated balance available, end of year | 8,138 | | |
| 25.00 | Unobligated balance lapsing | 161 | | |
| 40.00 | Budget authority (appropriation) | 58,519 | 53,957 | 54,890 |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net | 58,650 | 56,095 | 54,890 |
| 72.40 | Obligated balance, start of year | 28,249 | 25,868 | 22,018 |
| 74.40 | Obligated balance, end of year | —25,868 | —22,018 | —21,488 |
| 77.00 | Adjustments in expired accounts | —444 | | |
| 78.00 | Adjustments in unexpired accounts | —380 | | |
| 90.00 | Outlays | 60,207 | 59,945 | 55,420 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 58,519 | 53,957 | 54,890 |
| Outlays | 60,207 | 59,945 | 55,420 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | —2,320 | |
| Outlays | | —1,508 | —600 |
| Total: | | | |
| Budget authority | 58,519 | 51,637 | 54,890 |
| Outlays | 60,207 | 58,437 | 54,820 |

Operations and research programs are frozen to near the 1986 level except for an expansion in the National Occupant Protection Program.

Rulemaking programs.—Covers rulemaking activity relative to the promulgation of Federal motor vehicle safety standards for new and used motor vehicles, tires, and equipment; automotive fuel economy standards required by the Energy Policy and Conservation Act; international harmonization of standards; theft prevention; regulatory reform; and information on motor vehicle safety required by the Motor Vehicle Information and Cost Savings Act.

Enforcement programs.—Provides for the enforcement of programs described under the rulemaking activity, including manufacturer compliance with motor vehicle safety and automotive fuel economy standards, investigation of safety-related motor vehicle defects, and surveillance of odometer tampering.

Highway safety programs.—Provides for technical assistance to the States in the conduct of their highway safety programs; demonstration programs emphasizing alcohol countermeasures, safety belt and child safety seat usage, improved traffic law enforcement, emergency medical services, and enhanced traffic records sys-

tems to reduce highway fatalities and injuries; and operation and improvement of the national driver register. A National Occupant Protection Program will be underway in 1986 and 1987 to implement the Secretary of Transportation's decision on Federal Motor Vehicle Safety Standard 208, occupant protection. The program will promote the usage of safety belts and automatic protection.

Research and analysis.—Provides motor vehicle safety and highway safety research and development in support of all NHTSA programs, including the collection and analysis of data to identify safety problems, develop alternative solutions, and assess costs, benefits, and effectiveness. Research will continue to concentrate on improving vehicle crashworthiness, accident avoidance characteristics of cars, trucks and motorcycles, safety belt usage and alcohol countermeasures. The budget includes funds to continue a national accident data collection program to improve problem identification, regulatory reform, and program evaluation activities.

Office of the Administrator.—Provides for the overall executive direction, coordination and implementation of agency programs. Includes legal, public affairs, civil rights and executive secretariat support for programs of this administration.

General administration.—Provides for the planning, program evaluation, and basic administrative and supporting services for programs of this administration.

Object Classification (in thousands of dollars)

| Identification code | 69-0650-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|----------------------------|--|---------------|---------------|---------------|
| Direct obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 15,572 | 16,123 | 16,123 |
| 11.3 | Other than full-time permanent | 961 | 778 | 778 |
| 11.5 | Other personnel compensation | 186 | 119 | 171 |
| 11.9 | Total personnel compensation | 16,719 | 17,020 | 17,072 |
| 12.1 | Personnel benefits: Civilian | 1,769 | 1,878 | 1,878 |
| 13.0 | Benefits of former personnel | 50 | | |
| 21.0 | Travel and transportation of persons | 374 | 472 | 472 |
| 22.0 | Transportation of things | 47 | 119 | 134 |
| 23.1 | Standard level-user charges | 1,545 | 1,536 | 2,311 |
| 23.3 | Communications, utilities, and miscellaneous charges | 836 | 669 | 683 |
| 24.0 | Printing and reproduction | 823 | 717 | 773 |
| 25.0 | Other services | 33,925 | 32,019 | 29,736 |
| 26.0 | Supplies and materials | 289 | 256 | 286 |
| 31.0 | Equipment | 2,273 | 1,410 | 1,546 |
| 99.0 | Subtotal, direct obligations | 58,650 | 56,095 | 54,890 |
| 99.0 | Reimbursable obligations | 30,580 | 42,194 | 35,740 |
| 99.9 | Total obligations | 89,230 | 98,289 | 90,630 |

Personnel Summary

| | | | |
|--|-----|-----|-----|
| Direct: | | | |
| Total number of full-time permanent positions | 499 | 499 | 499 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 518 | 522 | 514 |
| Full-time equivalent of overtime and holiday hours | 1 | 1 | 1 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0650-6-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | -2,320 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -2,320 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -2,320 | |
| 72.40 Obligated balance, start of year | | | -812 |
| 74.40 Obligated balance, end of year | | 812 | 212 |
| 90.00 Outlays | | -1,508 | -600 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

MISCELLANEOUS SAFETY PROGRAMS

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0651-0-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations (object class 41.0) | 817 | 108 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | -925 | -108 | |
| 24.40 Unobligated balance available, end of year | 108 | | |
| 39.00 Budget authority | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 817 | 108 | |
| 72.40 Obligated balance, start of year | 7,552 | 6,875 | 5,483 |
| 74.40 Obligated balance, end of year | -6,875 | -5,483 | -3,983 |
| 90.00 Outlays | 1,494 | 1,500 | 1,500 |
| Distribution of outlays by account: | | | |
| Transportation systems management | 1,397 | 900 | 560 |
| Safety formula grants | 97 | 600 | 940 |

This schedule displays NHTSA's Territorial Grant and Transportation Systems Management programs. These programs no longer require appropriations. Obligation and outlay amounts shown reflect spend-out of prior year appropriations.

Trust Funds

TRUST FUND SHARE OF OPERATIONS AND RESEARCH

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8016-0-7-401 | | | |
| Program by activities: | | | |
| 00.01 Highway safety programs | 9,724 | 10,498 | 13,793 |
| 00.02 Research and analysis | 11,933 | 22,679 | 12,890 |
| 00.03 Office of the Administrator | 580 | 626 | 640 |
| 00.04 General administration | 1,806 | 1,591 | 1,717 |
| 10.00 Total obligations | 24,043 | 35,394 | 29,040 |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | -658 | -1,500 | |
| 22.40 Unobligated balance transferred, net | -1,500 | -5,500 | |
| 23.40 Unobligated balance rescinded (P.L. 99-88) | | 1,500 | |
| 24.40 Unobligated balance available, end of year | 1,500 | | |

| | | | |
|--|---------|---------|---------|
| 25.00 Unobligated balance lapsing | 446 | | |
| 40.00 Budget authority (appropriation) | 23,831 | 29,894 | 29,040 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 24,043 | 35,394 | 29,040 |
| 72.40 Obligated balance, start of year | 10,288 | 13,305 | 23,316 |
| 74.40 Obligated balance, end of year | -13,305 | -23,316 | -24,107 |
| 90.00 Outlays | 21,026 | 25,383 | 28,249 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 23,831 | 29,894 | 29,040 |
| Outlays | 21,026 | 25,383 | 28,249 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -1,285 | |
| Outlays | | -668 | -456 |
| Total: | | | |
| Budget authority | 23,831 | 28,609 | 29,040 |
| Outlays | 21,026 | 24,715 | 27,793 |

This account represents the portion of the operations and research appropriation authorized under 23 U.S.C. 408 and financed from the Highway Trust Fund for the following activities:

Highway safety programs.—Provides for headquarters and field staff to assist the States in the conduct of their highway safety and demonstration programs, and to develop and implement a National Occupant Protection Program.

Research and analysis.—Provides for highway safety research in support of NHTSA programs, including the collection and analysis of data to determine the relationship between driver performance characteristics and crashes causing death or personal injury. Research is also undertaken to identify ways to improve safety belt usage and alcohol control. The 1987 budget includes funds to continue a national accident data collection program to improve problem identification, regulatory reform, and program evaluation activities.

Office of the Administrator.—Provides for the pro rata contribution to the cost of executive direction, coordination and implementation of agency programs. Includes legal, public affairs, civil rights and executive secretariat support for programs of this administration.

General administration.—Provides for the pro rata contribution to the cost of planning, program evaluation, and basic administrative and supporting services for programs of this administration.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-8016-0-7-401 | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 5,795 | 6,157 | 6,157 |
| 11.3 Other than full-time permanent | 537 | 552 | 552 |
| 11.5 Other personnel compensation | 71 | 46 | 72 |
| 11.9 Total personnel compensation | 6,403 | 6,755 | 6,781 |
| 12.1 Personnel benefits: Civilian | 697 | 745 | 745 |
| 13.0 Benefits of former personnel | 17 | | |
| 21.0 Travel and transportation of persons | 371 | 351 | 351 |
| 22.0 Transportation of things | 20 | 41 | 47 |
| 23.1 Standard level user charges | 658 | 636 | 961 |
| 23.3 Communications, utilities, and miscellaneous charges | 319 | 314 | 320 |
| 24.0 Printing and reproduction | 260 | 359 | 387 |
| 25.0 Other services | 15,012 | 25,604 | 18,806 |

TRUST FUND SHARE OF OPERATIONS AND RESEARCH—Continued

Object Classification (in thousands of dollars)—Continued

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|-----------------------------|-------------|-----------|-----------|
| 69-8016-0-7-401 | | | |
| 26.0 Supplies and materials | 50 | 84 | 92 |
| 31.0 Equipment | 236 | 505 | 550 |
| 99.9 Total obligations | 24,043 | 35,394 | 29,040 |

Personnel Summary

| | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Total number of full-time permanent positions | 141 | 141 | 141 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 146 | 147 | 145 |
| Full-time equivalent of overtime and holiday hours | | 1 | 1 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8016-6-7-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | -1,285 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -1,285 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -1,285 | |
| 72.40 Obligated balance, start of year | | | -617 |
| 74.40 Obligated balance, end of year | | 617 | 161 |
| 90.00 Outlays | | -668 | -456 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

HIGHWAY TRAFFIC SAFETY GRANTS

(LIQUIDATION OF CONTRACT AUTHORITY) (HIGHWAY TRUST FUND)

For payment of obligations incurred carrying out the provisions of 23 U.S.C. 402, 406 and 408, and section 209 of Public Law 95-599, as amended, to remain available until expended, **[\$149,000,000]** \$118,000,000, to be derived from the Highway Trust Fund: *Provided*, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which are in excess of **[\$126,500,000]** \$110,000,000 in fiscal year **[1986]** 1987 for "State and community highway safety" authorized under 23 U.S.C. 402: *Provided further*, That none of these funds shall be used for construction, rehabilitation or remodeling costs or for office furnishings and fixtures for State, local, or private buildings or structures: *Provided further*, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which are in excess of **[\$28,300,000]** \$17,900,000 for "Alcohol safety incentive grants" authorized under 23 U.S.C. 408: *Provided further*, That none of the funds in this Act shall be available for the planning or execution of programs authorized by section 209 of Public Law 95-599, as amended, the total obligations for which are in excess of \$5,000,000 in fiscal years 1983, 1984, 1985, **[and]** 1986 and 1987: *Provided further*, That not to exceed **[\$5,000,000]** \$4,900,000 shall be available for administering the provisions of 23 U.S.C. 402: (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190; additional authorizing legislation to be proposed.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|------------------------|-------------|-----------|-----------|
| 69-8020-0-7-401 | | | |
| Program by activities: | | | |
| Safety formula grants: | | | |
| 00.01 Grants | 120,612 | 121,500 | 105,100 |

| | | | |
|--|----------|----------|----------|
| 00.02 Administration | 4,900 | 5,000 | 4,900 |
| 00.03 School bus driver training | 96 | | |
| 00.04 Alcohol safety incentive grants | 6,287 | 14,400 | 14,400 |
| 00.05 Safety education and information | 3,685 | | |
| 10.00 Total obligations | 135,580 | 140,900 | 124,400 |
| Financing: | | | |
| 21.49 Unobligated balance available, start of year: Contract authority | -152,942 | -193,803 | -184,653 |
| 23.49 Unobligated balance rescinded (P.L. 99-88) | | 250 | |
| 24.49 Unobligated balance available, end of year: Contract authority | 193,803 | 184,653 | 135,500 |
| 25.00 Unobligated balance lapsing | 59 | | 34,753 |
| 39.00 Budget authority | 176,500 | 132,000 | 110,000 |

Budget authority:

| Current: | | | |
|---|----------|----------|----------|
| 40.00 Appropriation | 125,000 | 149,000 | 118,000 |
| 40.49 Portion applied to liquidate contract authority | -125,000 | -149,000 | -118,000 |
| 43.00 Appropriation (adjusted) | | | |
| 49.10 Contract authority (P.L. 98-78) | | | 110,000 |
| Permanent: | | | |
| 69.10 Contract authority (96 Stat. 1740) | 176,500 | 132,000 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 135,580 | 140,900 | 124,400 |
| 72.40 Obligated balance, start of year: | | | |
| Appropriation | 9,157 | 14,020 | 29,620 |
| Contract authority | 103,807 | 114,387 | 106,287 |
| 74.40 Obligated balance, end of year: | | | |
| Appropriation | -14,020 | -29,620 | -14,545 |
| Contract authority | -114,387 | -106,287 | -112,687 |
| 90.00 Outlays | 120,137 | 133,400 | 133,075 |

Distribution of budget authority by account:

| | | | |
|---------------------------------|---------|---------|---------|
| Safety formula grants | 126,500 | 132,000 | 110,000 |
| Alcohol safety incentive grants | 50,000 | | |

Distribution of outlays by account:

| | | | |
|----------------------------------|---------|---------|---------|
| Safety formula grants | 113,592 | 122,400 | 112,075 |
| School bus driver training | 1,337 | 500 | 500 |
| Alcohol safety incentive grants | 4,377 | 8,500 | 19,000 |
| Safety education and information | 831 | 2,000 | 1,500 |

Note.—The activities financed under the State and Community Highway Safety (Liquidation of Contract Authorization) account in 1985 and 1986 are presented in these schedules and are proposed to be financed in this account in 1987. Budget authority and outlays are distributed by account above.

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 176,500 | 132,000 | 110,000 |
| Outlays | 120,137 | 133,400 | 133,075 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -5,676 | |
| Outlays | | -2,705 | -2,437 |
| Total: | | | |
| Budget authority | 176,500 | 126,324 | 110,000 |
| Outlays | 120,137 | 130,695 | 130,638 |

Status of Unfunded Contract Authority (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|------------------------------------|-------------|-----------|-----------|
| 69-8020-0-7-401 | | | |
| Unfunded balance, start of year | 256,749 | 308,190 | 285,264 |
| Contract authority (96 Stat. 1740) | 50,000 | | |
| Contract authority (98 Stat. 435) | 126,500 | 132,000 | 110,000 |
| Reduction pursuant to P.L. 99-177 | | -5,676 | |

| | | | |
|--|----------|----------|----------|
| Unfunded balance lapsing | -59 | | -34,753 |
| Appropriation to liquidate contract authority | -125,000 | -149,000 | -118,000 |
| Unobligated balance rescinded (P.L. 99-88) | | -250 | |
| Unfunded balance, end of year | 308,190 | 285,264 | 242,511 |

Safety formula grants.—Grant allocations are determined on the basis of a statutory formula established under 23 U.S.C. 402. Individual States use this funding in areas which have the greatest potential for achieving safety improvements and reducing traffic crashes and fatalities. Activities are centered predominantly on efforts to control the drinking driver; stimulate activities to improve child passenger safety and increase safety belt usage; improve traffic law enforcement; improve the quality of emergency medical services; improve the collection and analysis of traffic accident data; and establish and maintain a computerized traffic recordkeeping system.

Safety formula grant administration.—Under 23 U.S.C. 402, up to 5 percent of amounts authorized for safety formula grants are permitted to be used for reimbursement to the operations and research account for grant administration. Funds of \$4.9 million are required to cover the expenses of administering Federal grant activity in 1987.

Alcohol safety incentive grants.—Grant allocations will be made under 23 U.S.C. 408, to those States which adopt and implement effective programs to reduce traffic safety problems resulting from persons driving while under the influence of alcohol. These grants will be made in accordance with established criteria and will only be used to implement and enforce such programs. Public Law 97-364 establishes a two-tier grant system. The first tier, i.e., basic grant, amounts to 30 percent of each State's apportionment under the safety formula grant program (section 402 program) if the State meets specified minimum criteria. The second tier is a supplemental grant of up to 20 percent of the State's apportionment if it qualifies for the base grant and implements additional specified alcohol traffic safety measures. Public Law 98-363 established a third tier to encourage States to enact stiffer penalties for drunk driving.

| Object Classification (in thousands of dollars) | | | |
|---|-------------|-----------|-----------|
| Identification code | 1985 actual | 1986 est. | 1987 est. |
| 69-8020-0-7-401 | | | |
| 25.0 Other services | 4,900 | 5,000 | 4,900 |
| 41.0 Grants, subsidies, and contributions | 130,680 | 135,900 | 119,500 |
| 99.9 Total obligations | 135,580 | 140,900 | 124,400 |

Reduction Pursuant to Public Law 99-177

| Program and Financing (in thousands of dollars) | | | |
|--|-------------|-----------|-----------|
| Identification code | 1985 actual | 1986 est. | 1987 est. |
| 69-8020-6-7-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | -6,678 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | | | -1,002 |
| 24.40 Unobligated balance available, end of year | | 1,002 | 1,002 |

| | | | |
|---|--|--------|--------|
| 49.10 Budget authority (contract authority) | | -5,676 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -6,678 | |
| 72.40 Obligated balance, start of year | | | 3,973 |
| 74.40 Obligated balance, end of year | | 3,973 | 1,536 |
| 90.00 Outlays | | -2,705 | -2,437 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

FEDERAL RAILROAD ADMINISTRATION

The following table depicts the level of all Federal Railroad Administration programs, the details of which are in the following budget schedules:

| Program level | [in thousands of dollars] | | |
|---|---------------------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Office of the Administrator | 35,187 | 60,991 | 16,833 |
| Proposed rescission | | -14,355 | |
| Railroad safety | 43,531 | 48,932 | 36,819 |
| Conrail labor protection | 10,335 | 2,649 | |
| Commuter rail service | 92 | 5,000 | |
| Settlements of railroad litigation | 493 | | |
| Northeast corridor improvement | 225,418 | 23,394 | |
| Proposed rescission | | -11,962 | |
| Alaska railroad | 8,948 | | |
| Railroad rehabilitation and improvement | 16,346 | 31,659 | -400 |
| Proposed rescission | | -32,059 | |
| Railroad rehabilitation and improvement, FFB | -5,994 | -92,915 | -3,400 |
| Subtotal, FRA | 334,356 | 21,334 | 49,852 |
| National Railroad Passenger Corp. (Amtrak) grants | 744,401 | 698,545 | |
| Total program | 1,078,757 | 719,879 | 49,852 |

Federal Funds

General and special funds:

OFFICE OF THE ADMINISTRATOR

For necessary expenses of the Federal Railroad Administration, not otherwise provided for, **[\$10,120,000]** including authorized expenses associated with Washington Union Station, \$10,958,000, of which \$4,620,000 shall remain available until expended; Provided, That none of the funds provided under this Act shall be available for the planning or execution of a program making commitments to guarantee new loans under the Emergency Rail Services Act of 1970, as amended, and that no new commitments to guarantee loans under section 211(a) or 211(h) of the Regional Rail Reorganization Act of 1973, as amended, shall be made.

[RAIL SERVICE ASSISTANCE]*

*See Part II for additional information.

[For necessary expenses for rail service assistance authorized by section 5 of the Department of Transportation Act, as amended; for Washington Union Station, as authorized by Public Law 97-125, and for necessary administrative expenses in connection with federal rail assistance programs not otherwise provided for, \$20,200,000, to remain available until expended; Provided, That none of the funds provided under this Act shall be available for the planning or execution of a program making commitments to guarantee new loans under the Emergency Rail Services Act of 1970, as amended, and that no new commitments to guarantee loans under section 211(a) or 211(h) of the Regional Rail Reorganization Act of 1973, as amended, shall be made; Provided further, That none of the funds in this Act shall be available for the acquisition, sale or transference of Washington Union Station without the prior approval of the House and Senate Committees on Appropriations; Provided further, That, of the funds available under this head, \$15,000,000 shall be available for

General and special funds—Continued

[RAIL SERVICE ASSISTANCE]—Continued

allocation to the States under section 5(h)(2) of the Department of Transportation Act, as amended: *Provided further*, That, notwithstanding any other provision of law, a State may not apply for fiscal year 1986 funds available under section 5(h)(2) until such State has expended all funds granted to it in the fiscal years prior to the beginning of fiscal year 1981, other than funds not expended due to pending litigation: *Provided further*, That a State denied funding by reason of the immediately preceding proviso may still apply for and receive funds for planning purposes: *Provided further*, That, notwithstanding any other provision of law, of the funds available under section 5(h)(2), \$10,000,000 shall be made available for use under sections 5(h)(3)(B)(ii) and 5(h)(3)(C) of the Department of Transportation Act, as amended, notwithstanding the limitations set forth in section 5(h)(3)(B)(ii). (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code 69-0700-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 Salaries and expenses..... | 11,927 | 11,536 | 11,033 |
| 00.02 Contractual support..... | 2,504 | 8,958 | 1,180 |
| 00.03 Loan guarantee defaults..... | 8,310 | | |
| 00.04 Washington Union Station..... | 4,250 | 5,131 | 4,620 |
| 00.05 Local rail service assistance..... | 8,196 | 36,670 | |
| 00.91 Total, direct program..... | 35,187 | 62,295 | 16,833 |
| 01.01 Reimbursable program..... | 115 | 100 | 100 |
| 10.00 Total obligations..... | 35,302 | 62,395 | 16,933 |
| Financing: | | | |
| 11.00 Offsetting collections from: Federal funds... | -115 | -100 | -100 |
| 17.00 Recovery of prior year obligations..... | -5,957 | | |
| 21.40 Unobligated balance available, start of year | -20,434 | -33,285 | -1,310 |
| 22.40 Unobligated balance transferred, net..... | | | -4,565 |
| 24.40 Unobligated balance available, end of year.. | 33,285 | 1,310 | |
| 25.00 Unobligated balance lapsing..... | 39 | | |
| 39.00 Budget authority..... | 42,120 | 30,320 | 10,958 |
| Budget authority: | | | |
| 40.00 Appropriation..... | 102,401 | 30,320 | 10,958 |
| 40.47 Portion applied to debt reduction..... | -60,281 | | |
| 43.00 Appropriation (adjusted)..... | 42,120 | 30,320 | 10,958 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 35,187 | 62,295 | 16,833 |
| 72.40 Obligated balance, start of year..... | 96,274 | 63,419 | 73,594 |
| 74.40 Obligated balance, end of year..... | -63,419 | -73,594 | -41,228 |
| 77.00 Adjustments in expired accounts..... | -498 | | |
| 78.00 Adjustments in unexpired accounts..... | -5,957 | | |
| 90.00 Outlays..... | 61,587 | 52,120 | 49,199 |
| Distribution of budget authority by account: | | | |
| Office of the Administrator..... | 10,700 | 10,120 | 10,958 |
| Rail service assistance..... | 31,420 | 20,200 | |
| Distribution of outlays by account: | | | |
| Office of the Administrator..... | 9,923 | 10,420 | 14,787 |
| Rail service assistance..... | 51,664 | 41,700 | 34,412 |

Note—FRA's guarantee of direct loans made by the United States Railway Association (USRA), previously shown in FRA, are now reflected in USRA, regional rail reorganization program.

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | [In thousands of dollars] | | |
|------------------------------------|---------------------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Enacted/requested: | | | |
| Budget authority..... | 42,120 | 30,320 | 10,958 |
| Outlays..... | 61,587 | 52,120 | 49,199 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -1,304 | |
| Outlays..... | | -741 | -344 |

| | | | |
|-----------------------|--------|---------|--------|
| Rescission proposal: | | | |
| Budget authority..... | | -14,355 | -4,019 |
| Outlays..... | | -5,455 | |
| Total: | | | |
| Budget authority..... | 42,120 | 14,661 | 10,958 |
| Outlays..... | 61,587 | 45,924 | 44,836 |

The 1987 budget includes funds previously appropriated under the Rail Service Assistance account.

Salaries and expenses.—Provides for salaries and related expenses for the Office of the Administrator and his immediate staff, Chief Counsel, Civil Rights, Public Affairs, Office of Administration, Office of Policy and the Office of Passenger and Freight Services. Together these offices resolve national problems confronting the railroad industry and provide top level support to the Federal Railroad Administrator. In 1987, unobligated balances are transferred in from Conrail Labor Protection to cover administrative costs.

Contract support.—The Office of Policy uses contractual support to develop positions regarding various proposed structural changes in the railroad industry such as mergers and the sale of Conrail to the private sector. Contractual support is also used to conduct internal studies dealing with traffic, economic forecasting, financial condition, and other factors that contribute to an efficient national freight railroad network. In 1985 and 1986 this program also provided contractual support to Federal assistance programs, labor-management activities, railroad rehabilitation and improvement financing funds, grants to the National Railroad Passenger Corporation and the Conrail labor protection program.

Loan guarantee defaults.—Interest due to the Department of Treasury on rollover of promissory notes issued in prior years was paid off in a 1985 supplemental request.

Washington Union Station.—The Department of Transportation assumed responsibility for leasing Washington Union Station in 1984. In 1987 funds will be used for rental, maintenance, operation and security services of that facility, as in 1986.

Local rail service assistance.—This program provides discretionary and formula grants to all States for rail planning and for track rehabilitation of light density lines. No funds are requested in 1987.

Reimbursable program.—In 1985, the National Highway Traffic Safety Administration shared the expense of enhancing certain accounting systems. In addition, the Maritime Administration and the Office of the Secretary shared the expense of contracting a grain transportation study with the University of Illinois. Any future activities will be of this same nature.

Object Classification (in thousands of dollars)

| Identification code 69-0700-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent..... | 8,063 | 8,322 | 7,947 |
| 11.3 Other than full-time permanent..... | 297 | 279 | 405 |
| 11.5 Other personnel compensation..... | 165 | 135 | 135 |
| 11.9 Total personnel compensation..... | 8,525 | 8,736 | 8,487 |
| 12.1 Personnel benefits: Civilian..... | 889 | 851 | 729 |
| 13.0 Benefits for former personnel..... | 14 | 11 | 235 |
| 21.0 Travel and transportation of persons..... | 165 | 153 | 113 |

| | | | | |
|------|---|--------|--------|--------|
| 72.0 | Transportation of things..... | 1 | 1 | 1 |
| 73.1 | Standard level user charges..... | 709 | 887 | 849 |
| 73.3 | Communications, utilities, and miscellaneous charges..... | 394 | 363 | 287 |
| 74.0 | Printing and reproduction..... | 162 | 165 | 110 |
| 75.0 | Other services..... | 7,650 | 14,343 | 5,914 |
| 76.0 | Supplies and materials..... | 86 | 66 | 71 |
| 77.0 | Equipment..... | 86 | 49 | 37 |
| 78.0 | Grants, subsidies, and contributions..... | 8,196 | 36,670 | |
| 79.0 | Interest and dividends..... | 8,310 | | |
| 99.0 | Subtotal, direct obligations..... | 35,187 | 62,295 | 16,833 |
| 99.0 | Reimbursable obligations..... | 115 | 100 | 100 |
| 99.0 | Total obligations..... | 35,302 | 62,395 | 16,933 |

Personnel Summary

| | | | |
|---|-----|-----|-----|
| Total number of full-time permanent positions..... | 212 | 204 | 196 |
| Total compensable workyears: | | | |
| Full-time equivalent employment..... | 210 | 230 | 187 |
| Full-time equivalent of overtime and holiday hours..... | 2 | 2 | 2 |

Reduction Pursuant to Public Law 99-177

Program and Financing (In thousands of dollars)

| Classification code: 69-0700-6-1-401 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | | -1,304 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -1,304 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -1,304 | |
| 72.40 Obligated balance, start of year..... | | | -563 |
| 74.40 Obligated balance, end of year..... | | 563 | 219 |
| 90.00 Outlays..... | | -741 | -344 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

RAILROAD SAFETY

For necessary expenses in connection with railroad safety, not otherwise provided for, [\$27,764,000] including research and development \$35,819,000, of which [\$1,500,000] \$9,781,000 shall remain available until expended.

[RAILROAD RESEARCH AND DEVELOPMENT]

[For necessary expenses for railroad research and development, \$10,600,000, to remain available until expended.] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Classification code: 69-0702-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 Federal enforcement..... | 19,733 | 22,564 | 22,364 |
| 00.02 Automated track inspection program..... | 768 | 1,200 | 1,200 |
| 00.03 Safety regulation and program administration..... | 5,018 | 3,600 | 3,674 |
| 00.04 Grants-in-aid for railroad safety..... | 3,043 | 2,254 | |
| 00.05 Research and development..... | 14,969 | 20,964 | 9,581 |
| 00.01 Total direct program..... | 43,531 | 50,582 | 36,819 |
| 01.01 Reimbursable program..... | 375 | 1,000 | 1,000 |
| 10.00 Total obligations..... | 43,906 | 51,582 | 37,819 |

| Financing: | | | | |
|---|---|---------------|---------------|---------------|
| 11.00 | Offsetting collections from: Federal funds..... | -375 | -1,000 | -1,000 |
| 17.00 | Recovery of prior year obligations..... | 1,768 | | |
| 21.40 | Unobligated balance available, start of year..... | -18,619 | -13,218 | -1,000 |
| 22.40 | Unobligated balance transferred, net..... | 5,000 | | |
| 24.40 | Unobligated balance available, end of year..... | 13,218 | 1,000 | |
| 25.00 | Unobligated balance lapsing..... | 54 | | |
| 40.00 | Budget authority (appropriation)..... | 41,416 | 38,364 | 35,819 |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net..... | 43,531 | 50,582 | 36,819 |
| 72.40 | Obligated balance, start of year..... | 20,454 | 17,773 | 18,455 |
| 74.40 | Obligated balance, end of year..... | -17,773 | -18,455 | -10,169 |
| 77.00 | Adjustments in expired accounts..... | -345 | | |
| 78.00 | Adjustments in unexpired accounts..... | -1,768 | | |
| 90.00 | Outlays..... | 44,098 | 49,900 | 45,105 |
| Distribution of budget authority by account: | | | | |
| | Railroad safety..... | 26,061 | 27,764 | 35,819 |
| | Railroad research and development..... | 15,355 | 10,600 | |
| Distribution of outlays by account: | | | | |
| | Railroad safety..... | 27,932 | 29,800 | 33,271 |
| | Railroad research and development..... | 16,166 | 20,100 | 11,834 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | [In thousands of dollars] | | |
|---|---------------------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Enacted/requested: | | | |
| Budget authority..... | 41,416 | 38,364 | 35,819 |
| Outlays..... | 44,098 | 49,900 | 45,105 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -1,650 | |
| Outlays..... | | -1,240 | -317 |
| Total: | | | |
| Budget authority..... | 41,416 | 36,714 | 35,819 |
| Outlays..... | 44,098 | 48,660 | 44,788 |

The 1987 budget includes funds previously appropriated under the Railroad Research and Development account.

Federal enforcement.—This program provides salaries and related expenses for safety field operations designed to reduce the number of railroad related accidents/incidents. Federal inspectors monitor railroads for compliance with Federal laws with specific emphasis on passenger and hazardous material routes. In addition, this staff provides training to Federal, State and railroad industry personnel on related safety enforcement activities, including programs dealing with the problem of alcohol and drug abuse. Budgeted field positions for 1987 will increase from the 1986 level of 379 to 385.

Automated track inspection program.—One set of FRA's automated track inspection vehicles has been transferred to Guilford Industries under a licensing agreement. The license requires Guilford to make the data from automated inspections available to FRA track inspectors upon request. Another set of vehicles completed a system survey of Amtrak routes on November 30, 1984, and is stored in Philadelphia pending disposition. The final car, self-propelled T-10, is operating under the supervision and planning of FRA's Office of Safety and will survey approximately 25,000 miles of track in 1987.

Safety regulation and program administration.—This program funds the salaries and related expenses of safety headquarters personnel, data gathering and dis-

General and special funds—Continued

【RAILROAD RESEARCH AND DEVELOPMENT】—Continued

semination, planning and evaluation activities and administration of the State grants-in-aid program. The ongoing responsibility of this program is to issue new or revised standards, procedures, and regulations to provide a safer railroad environment. This program also administers technical training and management of rail-highway grade crossings.

Grants-in-aid for railroad safety.—This program assists States in paying salaries and expenses incurred for State safety inspectors on a 50-percent cost-sharing basis. No funds are requested in 1987.

Research and development.—This program provides for the conduct of safety research and development including the salaries and related expenses of the research project management staff. The research conducted encompasses all aspects of existing railroad operations and maintenance as well as new industry developments and trends. The scope of work undertaken ensures the safe performance (1) of equipment, including critical components; (2) of the track structure, its components and other elements of the right-of-way; (3) of the railroad system operating as a whole, including the dynamic interaction between rail vehicles and the track structure on which they travel; (4) of the transport of hazardous materials; and, (5) of the operating practices employed in running the Nation's railroads. In addition, other Government agencies provide reimbursements for the conduct of research, development, testing, evaluation and analyses unique to the technical expertise and research capability of this program.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0702-0-1-401 | | | |
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent..... | 16,044 | 16,840 | 17,280 |
| 11.3 Other than full-time permanent..... | 212 | 110 | 125 |
| 11.5 Other personnel compensation..... | 219 | 198 | 202 |
| 11.9 Total personnel compensation..... | 16,475 | 17,148 | 17,607 |
| 12.1 Personnel benefits: Civilian..... | 2,086 | 2,205 | 2,310 |
| 13.0 Benefits for former personnel..... | 71 | | |
| 21.0 Travel and transportation of persons..... | 2,767 | 2,860 | 2,870 |
| 22.0 Transportation of things..... | 57 | 70 | 70 |
| 23.1 Standard level-user charges..... | 958 | 993 | 951 |
| 23.3 Communications, utilities, and miscellaneous charges..... | 566 | 590 | 605 |
| 24.0 Printing and reproduction..... | 270 | 160 | 165 |
| 25.0 Other services..... | 16,878 | 24,012 | 11,941 |
| 26.0 Supplies and materials..... | 128 | 120 | 130 |
| 31.0 Equipment..... | 232 | 170 | 170 |
| 41.0 Grants, subsidies, and contributions..... | 3,043 | 2,254 | |
| 99.0 Subtotal direct obligations..... | 43,531 | 50,582 | 36,819 |
| 99.0 Reimbursable obligations..... | 375 | 1,000 | 1,000 |
| 99.9 Total obligations..... | 43,906 | 51,582 | 37,819 |

Personnel Summary

| | | | |
|---|-----|-----|-----|
| Total number of full-time permanent positions..... | 460 | 458 | 464 |
| Total compensable workyears: | | | |
| Full-time equivalent employment..... | 448 | 458 | 464 |
| Full-time equivalent of overtime and holiday hours..... | 5 | 6 | 7 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-0702-6-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations..... | | -1,650 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -1,650 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -1,650 | |
| 72.40 Obligated balance, start of year..... | | | -41 |
| 74.40 Obligated balance, end of year..... | | 410 | 9 |
| 90.00 Outlays..... | | -1,240 | -31 |

These reductions are required by the Balance Budget and Emergency Deficit Control Act of 1986 (Public Law 99-177).

【CONRAIL LABOR PROTECTION】

【Such sums as may be necessary shall be made available for necessary expenses of administration of section 701 of the Regional Rail Reorganization Act of 1973 by the Railroad Retirement Board.】 (D partment of Transportation and Related Agencies Appropriations Act 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-0707-0-1-603 | | | |
| Program by activities: | | | |
| 00.01 Conrail labor protection (sec. 701)..... | 10,335 | 2,100 | |
| 00.02 Conrail title V labor benefits..... | | 500 | |
| 00.03 Milwaukee Railroad labor assistance..... | | 49 | |
| 10.00 Total obligations (object class 41.0)..... | 10,335 | 2,649 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year..... | -31,049 | -35,714 | -4.5 |
| 22.40 Unobligated balance transferred, net..... | | 28,500 | 4.5 |
| 24.40 Unobligated balance available, end of year..... | 35,714 | 4,565 | |
| 40.00 Budget authority (appropriation)..... | 15,000 | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 10,335 | 2,649 | |
| 72.40 Obligated balance, start of year..... | 23,302 | 23,237 | |
| 74.40 Obligated balance, end of year..... | -23,237 | | |
| 90.00 Outlays..... | 10,400 | 25,886 | |

Conrail labor protection.—Section 701—\$165 million has been appropriated under Section 701 of the Regional Rail Reorganization Act of 1973, as amended, to provide protection to Conrail employees deprived of employment because of actions taken under the 3R Act amended by the Northeast Rail Service Act of 1981. In 1986, \$28.5 million is transferred to grants to the National Railroad Passenger Corporation. The remaining unobligated balances are to be transferred to the Office of the Administrator appropriation to offset 1987 operating costs. No funds are requested in 1987 because it is expected that Conrail will be returned to the private sector by then and labor protection will be a corporate rather than Federal responsibility.

Conrail title V labor benefits.—The Northeast Rail Service Act of 1981 terminated labor protection benefits under title V of the Regional Rail Reorganization Act and authorized a new Conrail labor protection program.

on October 1, 1981, the effective date of the repeal of title.

Milwaukee Railroad labor assistance.—This program provided funds for Milwaukee Railroad employee benefits under Section 509 of the Regional Rail Reorganization Act, as amended.

COMMUTER RAIL SERVICE

【CONRAIL COMMUTER TRANSITION ASSISTANCE】

【(TRANSFER OF FUNDS)】

【For necessary capital expenses of Conrail commuter transition assistance, not otherwise provided for, \$5,000,000 to be derived from unobligated balances of "Research, training, and human resources" and to remain available until expended.】

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0747-0-1-401 | | | |
| Program by activities: | | | |
| 00.01 Commuter rail service | 92 | | |
| 00.02 Conrail commuter transition assistance | | 5,000 | |
| 10.00 Total obligations (object class 41.0) | 92 | 5,000 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | —92 | | |
| 22.40 Unobligated balance transferred, net | | —5,000 | |
| 39.00 Budget authority | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 92 | 5,000 | |
| 72.40 Obligated balance, start of year | | 92 | |
| 74.40 Obligated balance, end of year | —92 | | |
| 90.00 Outlays | | 5,092 | |

These funds helped to defray the one-time only start-up costs of commuter service and other transition expenses connected with the transfer of rail commuter services from Conrail to other operators. In 1986, \$5.0 million was transferred from UMTA-Rail modernization program to fund Conrail Commuter Transition Assistance. No additional funds are requested in 1987.

SETTLEMENTS OF RAILROAD LITIGATION

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-0708-0-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations (object class 43.0) | 493 | | |
| Financing: | | | |
| 39.00 Budget authority | 493 | | |
| Budget authority: | | | |
| 40.00 Appropriation | 4,284 | | |
| 40.47 Portion applied to debt reduction | —4,223 | | |
| 43.00 Appropriation (adjusted) | 61 | | |
| 47.10 Authority to borrow (87 Stat. 1001) | 432 | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 493 | | |
| 90.00 Outlays | 493 | | |

In 1981, the Government began valuation settlements with railroads for properties transferred to Conrail in 1976. Total settlements made through October 1985,

amount to \$2.8 billion. The obligations shown in 1985 reflect the financing of interest payments which DOT owed to Treasury on previous borrowings.

【NORTHEAST CORRIDOR IMPROVEMENT PROGRAM】*

*See Part II for additional information.

【For necessary expenses related to Northeast Corridor improvements authorized by title VII of the Railroad Revitalization and Regulatory Reform Act of 1976, as amended (45 U.S.C. 851 et seq.), \$12,500,000, to remain available until expended: *Provided*, That, notwithstanding any other provision of law, the provisions of Public Law 85-804 shall apply to the Northeast Corridor Improvement Program: *Provided further*, That the Secretary may waive the provisions of 23 U.S.C. 322 (c) and (d) if such action would serve a public purpose: *Provided further*, That all public at grade-level crossings remaining along the Northeast Corridor upon completion of the project shall be equipped with protective devices including gates and lights.】 (*Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.*)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0123-0-1-401 | | | |
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 Construction | 220,904 | 19,705 | |
| 00.02 System engineering/program management and administration | 2,565 | 1,121 | |
| 00.03 Public grade crossing elimination | 830 | 3,106 | |
| 00.91 Total direct program | 224,300 | 23,932 | |
| 01.01 Reimbursable program | 7,481 | 8,400 | 900 |
| 10.00 Total obligations | 231,781 | 32,332 | 900 |
| Financing: | | | |
| 14.00 Offsetting collections from: Non-Federal sources | —6,363 | —8,400 | —900 |
| 17.00 Recovery of prior year obligations | —153,225 | | |
| 21.40 Unobligated balance available, start of year | —56,025 | —11,432 | |
| 24.40 Unobligated balance available, end of year | 11,432 | | |
| 40.00 Budget authority (appropriation) | 27,600 | 12,500 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 225,418 | 23,932 | |
| 72.40 Obligated balance, start of year | 382,793 | 301,733 | 133,515 |
| 74.40 Obligated balance, end of year | —301,733 | —133,515 | —25,337 |
| 78.00 Adjustments in unexpired accounts | —153,225 | | |
| 90.00 Outlays | 153,254 | 192,150 | 108,178 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 27,600 | 12,500 | |
| Outlays | 153,254 | 192,150 | 108,178 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | —538 | |
| Outlays | | —30 | —145 |
| Rescission proposal: | | | |
| Budget authority | | —11,962 | |
| Outlays | | —720 | —3,230 |
| Total: | | | |
| Budget authority | 27,600 | | |
| Outlays | 153,254 | 191,400 | 104,803 |

General and special funds—Continued

[NORTHEAST CORRIDOR IMPROVEMENT PROGRAM]—Continued

Construction.—No funds are requested for this program in 1987. At the end of 1985, responsibility for any additional construction on the Northeast Corridor was transferred to the National Railroad Passenger Corporation (Amtrak). However, outlays will continue from prior year obligations.

Reimbursable program.—Collections are made from Federal and non-Federal agencies for cost-shared improvement projects, such as stations.

System engineering/program management and administration.—In 1986 the primary effort will be to complete and audit a number of projects being closed out. At the end of 1985 all Northeast Corridor Improvement Program work scope and responsibility was transferred to Amtrak.

Public grade crossing elimination.—No funds are requested for this program in 1987; however, obligations and outlays will continue on projects which were included in prior year appropriations.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| FEDERAL RAILROAD ADMINISTRATION | | | |
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 | 698 | | |
| 11.3 | 59 | | |
| 11.5 | 2 | | |
| 11.9 | 759 | | |
| 12.1 | 76 | | |
| 21.0 | 19 | | |
| 23.1 | 172 | | |
| 23.3 | 52 | | |
| 24.0 | 50 | | |
| 25.0 | 221,713 | 19,177 | |
| 26.0 | 4 | | |
| 43.0 | 6 | | |
| 99.0 | 222,851 | 19,177 | |
| 99.0 | 7,481 | 8,400 | 900 |
| ALLOCATION TO FEDERAL HIGHWAY ADMINISTRATION | | | |
| Personnel compensation: | | | |
| 11.1 | 486 | | |
| 11.3 | 9 | | |
| 11.5 | 7 | | |
| 11.9 | 502 | | |
| 12.1 | 53 | | |
| 21.0 | 53 | | |
| 23.3 | 5 | | |
| 25.0 | 836 | 4,755 | |
| 99.0 | 1,449 | 4,755 | |
| 99.9 | 231,781 | 32,332 | 900 |

Personnel Summary

| FEDERAL RAILROAD ADMINISTRATION | | | |
|--|---|--|--|
| Total number of full-time permanent positions..... | 7 | | |

| | | | |
|---|----|--|--|
| Total compensable workyears: Full-time equivalent employment..... | 15 | | |
| ALLOCATION TO FEDERAL HIGHWAY ADMINISTRATION | | | |
| Total number of full-time permanent positions..... | 7 | | |
| Total number of workyears: Full-time equivalent employment..... | 11 | | |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0123-6-1-401 | | | |
| Program by activities: | | | |
| 10.00 | | 538 | |
| Financing: | | | |
| 40.00 | | 538 | |
| Relation of obligations to outlays: | | | |
| 71.00 | | 538 | |
| 72.40 | | | 50 |
| 74.40 | | 508 | 36 |
| 90.00 | | 30 | 14 |

These reductions are required by the Balance Budget and Emergency Deficit Control Act of 1987 (Public Law 99-177).

[GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION]

[INCLUDING TRANSFERS OF FUNDS]

[To enable the Secretary of Transportation to make grants to the National Railroad Passenger Corporation for operating losses incurred by the Corporation, capital improvements, and labor protection costs authorized by 45 U.S.C. 565, to remain available until expended, \$616,000,000, of which \$23,000,000 shall be derived from unobligated balances of "Conrail labor protection" and \$5,500,000 shall be derived from unobligated balances of "Rail labor assistance" as of September 30, 1985: *Provided*, That none of the funds herein appropriated shall be used for lease or purchase of passenger motor vehicles or for the hire of vehicle operators for any officer or employee, other than the president of the Corporation, excluding the lease of passenger motor vehicles for those officers or employees while in official travel status: *Provided further*, That the Secretary shall make no commitments to guarantee new loans or loans for new purposes under 45 U.S.C. 602 in fiscal year 1986: *Provided further*, That the incurring of any obligation or commitment by the Corporation for the purchase of capital improvements prohibited by this Act or not expressly provided for in an appropriation Act shall be deemed a violation of 31 U.S.C. 1341: *Provided further*, That no funds are required to be expended or reserved for expenditure pursuant to 45 U.S.C. 601(e): *Provided further*, That none of the funds in this or any other Act shall be made available to finance the rehabilitation and other improvements (including upgrading track and the signal system, ensuring safety at public and private highway and pedestrian crossings by improving signals or eliminating such crossings, and the improvement of operational portions of stations related to intercity rail passenger service) on the main line track between Atlantic City, New Jersey, and the main line of the Northeast Corridor, unless the Secretary of Transportation certifies that not less than 40 per centum of the costs of such improvements shall be derived from non-federal sources: *Provided further*, That, notwithstanding any other provision of law, the National Railroad Passenger Corporation shall not operate rail passenger service between Atlantic City, New Jersey, and the Northeast Corridor main line unless the Corporation's Board of Directors determines that revenues from such service have covered or exceeded 80 per centum of the short term avoidable costs of operating such service in the first year of operation and 100 per centum of the short term avoidable operating costs for each year thereafter: *Provided further*, That none of the funds provided in this or any other Act shall be made available to finance the acquisition and rehabilitation

of a line, and construction necessary to facilitate improved rail passenger service, between Spuyten Duyvil, New York, and the main line of the Northeast Corridor unless the Secretary of Transportation certifies that not less than 40 per centum of the costs of such improvement shall be derived from non-Amtrak sources.] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

Program and Financing (in thousands of dollars)

| Identification code 69-0704-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 00.01 Operating grants | 639,000 | 616,000 | |
| 00.02 Labor protection and capital grants | 105,401 | 107,808 | |
| 10.00 Total obligations (object class 41.0) | 744,401 | 723,808 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | —168,209 | —107,808 | |
| 22.40 Unobligated balance transferred, net | | —28,500 | |
| 24.40 Unobligated balance available, end of year | 107,808 | | |
| 40.00 Budget authority (appropriation) | 684,000 | 587,500 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 744,401 | 723,808 | |
| 72.40 Obligated balance, start of year | 39,695 | 20,287 | 47,695 |
| 74.40 Obligated balance, end of year | —20,287 | —47,695 | |
| 90.00 Outlays | 763,809 | 696,400 | 47,695 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(in thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 684,000 | 587,500 | |
| Outlays | 763,809 | 696,400 | 47,695 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | —25,263 | |
| Outlays | | —25,263 | |
| Total: | | | |
| Budget authority | 684,000 | 562,237 | |
| Outlays | 763,809 | 671,137 | 47,695 |

The National Railroad Passenger Corporation (Amtrak) is a private corporation. Federal assistance is provided through the Department of Transportation in the following manner:

Operating grants and State assisted routes.—No funds are requested in 1987, because Amtrak's performance to date has failed to justify continued massive federal subsidies of interstate, commuter, and state-assisted rail passenger service.

Labor protection and capital grants.—No funds are requested in 1987. Outlays are anticipated from prior year obligations. Labor protection payments, insofar as they exist, are a responsibility of the corporation.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-0704-6-1-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations | | —25,263 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | —25,263 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | —25,263 | |
| 90.00 Outlays | | —25,263 | |

Public enterprise funds:

ALASKA RAILROAD REVOLVING FUND

Program and Financing (in thousands of dollars)

| Identification code 69-4400-0-3-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| Operating and other expenses: | | | |
| Rail line operation program: | | | |
| 00.01 Maintenance of way and structures | 4,287 | | |
| 00.02 Maintenance of equipment | 3,842 | | |
| 00.03 Traffic | 810 | | |
| 00.04 Transportation service | 7,173 | | |
| 00.05 Incidental operations | 770 | | |
| 00.06 General and administrative expense | 7,963 | | |
| 00.10 Other programs: Other nonoperating expense | 79 | | |
| 00.22 Other expenses: Undistributed expenses | 1,794 | | |
| 00.91 Total operating and other expenses | 26,718 | | |
| Extraordinary expenses: | | | |
| 01.01 Extraordinary expense transfer to State of Alaska | | 137 | |
| 01.92 Total operating and extraordinary expense | 26,855 | | |
| 10.00 Total obligations | 26,855 | | |
| Financing: | | | |
| 14.00 Offsetting collections from: Non-Federal sources | | —17,907 | |
| 21.98 Unobligated balance available, start of year: Fund balance | | —8,948 | |
| 39.00 Budget authority | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | 8,948 | |
| 72.10 Receivables in excess of obligations, start of year | | —6,468 | |
| 72.98 Obligated balance, start of year: Fund balance | | | 4,389 |
| 74.98 Obligated balance, end of year: Fund balance | | —4,389 | |
| 90.00 Outlays | | —1,909 | 4,389 |

The Alaska Railroad was operated by the Federal Government under the Act of March 12, 1914 (38 Stat. 305).

The Alaska Transfer Act of 1982 authorized transfer of the Alaska Railroad to the State of Alaska. The transfer was accomplished January 5, 1985.

Revenue and Expense (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|-------------------------------------|-------------|-----------|-----------|
| Rail line operation program: | | | |
| Revenue: Ordinary | 16,691 | | |
| Expense: Ordinary | 24,845 | | |
| Net income, rail line operation | —8,154 | | |
| Other programs: | | | |
| Nonoperating revenue | 1,216 | | |
| Expense: Ordinary | 79 | | |
| Net income, other programs | 1,137 | | |

Public enterprise funds—Continued

ALASKA RAILROAD REVOLVING FUND—Continued

Revenue and Expense (in thousands of dollars)—Continued

| | 1985 actual | 1986 est. | 1987 est. |
|--------------------------------------|-------------|-----------|-----------|
| Nonoperating income or loss: | | | |
| Undistributed costs..... | 1,794 | | |
| Net nonoperating income or loss..... | | | |
| Net income or loss for the year..... | -8,811 | | |

Financial Condition (in thousands of dollars)

| | 1984 actual | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-------------|-----------|-----------|
| Assets: | | | | |
| Selected assets: | | | | |
| Fund balance with Treasury..... | 3,516 | 4,389 | | |
| Accounts receivable (net)..... | 16,477 | 1,687 | | |
| Advances made..... | 43 | | | |
| Inventories..... | 9,864 | | | |
| Real property and equipment (net)..... | 148,509 | | | |
| Other assets (net)..... | 8,099 | | | |
| Total assets..... | 186,508 | 6,076 | | |
| Liabilities: | | | | |
| Selected liabilities: | | | | |
| Accounts payable and funded accrued liabilities..... | 6,158 | 3,842 | | |
| Advances received..... | 2,080 | | | |
| Total liabilities..... | 8,238 | 3,842 | | |
| Government equity: | | | | |
| Selected equities: | | | | |
| Unexpended budget authority: | | | | |
| Unobligated balance..... | 8,948 | | | |
| Undelivered orders..... | 2,850 | 2,234 | | |
| Invested capital..... | 166,472 | | | |
| Total Government equity..... | 178,270 | 2,234 | | |

Analysis of changes in Government equity:

| | | | |
|--|----------|--|--|
| Paid-in capital: | | | |
| Opening balance..... | 200,591 | | |
| Transactions: | | | |
| Donated assets, net..... | -169,019 | | |
| Closing balance..... | 31,572 | | |
| Retained income: | | | |
| Opening balance..... | -22,321 | | |
| Net operating income or loss..... | -7,017 | | |
| Closing balance..... | -29,348 | | |
| Total Government equity (end of year)..... | 2,234 | | |

Note.—The total Government equity, as agreed to in the transfer of the railroad to the State of Alaska, consists of receivables and payables assumed on the date of transfer.

Object Classification (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Identification code 69-4400-0-3-401 | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent..... | 9,440 | | |
| 11.3 Other than full-time permanent..... | 2,173 | | |
| 11.5 Other personnel compensation..... | 712 | | |
| 11.9 Total personnel compensation..... | 12,325 | | |
| 12.1 Personnel benefits: Civilian..... | 1,950 | | |
| 13.0 Benefits for former personnel..... | 5,000 | | |
| 21.0 Travel and transportation of persons..... | 188 | | |
| 22.0 Transportation of things..... | 207 | | |
| 23.3 Communications, utilities, and miscellaneous charges..... | 1,190 | | |

| | | | |
|--|--------|--|--|
| 25.0 Other services..... | 2,050 | | |
| 26.0 Supplies and materials..... | 3,639 | | |
| 31.0 Equipment..... | 208 | | |
| 42.0 Insurance claims and indemnities..... | 98 | | |
| 99.9 Total obligations..... | 26,855 | | |

Personnel Summary

| | | | |
|---|-----|--|--|
| Total compensable workyears: Full-time equivalent employment..... | 160 | | |
|---|-----|--|--|

RAILROAD REHABILITATION AND IMPROVEMENT FINANCING FUNDS*

*See Part II for additional information.

The [total commitments to guarantee new loans pursuant to sections 511 through 513 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, shall not exceed \$4,000,000 of contingent liabilities for loan principal during fiscal year 1986: *Provided*, That the] Secretary of Transportation is authorized to issue to the Secretary of the Treasury notes or other obligations pursuant to section 512 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, in such amounts and at such times as may be necessary to pay any amount required pursuant to the guarantee of the principal amount of obligations under sections 511 through 513 of such Act, such authority exist as long as any such guaranteed obligation is outstanding *Provided further*, That the aggregate amount of such notes or other obligations during fiscal year 1986 shall not exceed \$100,000,000 (Department of Transportation and Related Agencies Appropriation Act, 1986, as included in Public Law 99-190.)

[REDEEMABLE PREFERENCE SHARES]

[Notwithstanding any other provision of law, the Secretary of Transportation is hereby authorized to expend proceeds from the sale of fund anticipation notes to the Secretary of the Treasury and other moneys deposited in the Railroad Rehabilitation and Improvement Fund pursuant to sections 502, 505-507, and 509 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, and section 803 of Public Law 95-620, for use authorized for the Fund, in amounts not to exceed \$33,500,000. (Department of Transportation and Related Agencies Appropriation Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code 69-4411-0-3-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 00.01 Redeemable preference shares..... | 16,770 | 33,500 | |
| 00.02 Loan repayments..... | | 291 | 4 |
| 10.00 Total obligations (object class 13.0)..... | 16,770 | 33,791 | 4 |
| Financing: | | | |
| Offsetting collections from: | | | |
| 11.00 Federal funds..... | -99 | -90 | |
| 14.00 Non-Federal sources..... | -325 | -601 | -7 |
| Unobligated balance available, start of year: | | | |
| 21.47 Authority to borrow..... | -23,431 | | |
| 21.98 U.S. securities (par)..... | -894 | -1,323 | -1.7 |
| 21.98 Fund balance..... | 8 | -3 | |
| 22.40 Unobligated balance transferred, net..... | 5,000 | 291 | 4 |
| Unobligated balance available, end of year: | | | |
| 24.98 U.S. securities (par)..... | 1,323 | 1,726 | 2.1 |
| 24.98 Fund balance..... | 3 | | |
| 25.00 Unobligated balance lapsing..... | 1,660 | | |
| 31.00 Redemption of debt..... | | -291 | -4 |
| 40.00 Budget authority (appropriation)..... | | 33,500 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 16,346 | 33,100 | -4 |
| 72.47 Obligated balance, start of year..... | 35,919 | 41,548 | 42.9 |
| 74.47 Obligated balance, end of year..... | -41,548 | -42,973 | -38.2 |

| | | | | |
|-------|--------------------------------------|--------|--------|-------|
| 77.00 | Adjustments in expired accounts..... | -4,270 | | |
| 90.00 | Outlays..... | 6,448 | 31,675 | 4,275 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

[In thousands of dollars]

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | | 33,500 | |
| Outlays..... | 6,448 | 31,675 | 4,275 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -1,441 | |
| Outlays..... | | -72 | -72 |
| Rescission proposal: | | | |
| Budget authority..... | | -32,059 | |
| Outlays..... | | -1,603 | -1,603 |
| Total: | | | |
| Budget authority..... | | | |
| Outlays..... | 6,448 | 30,000 | 2,600 |

Status of Direct Loans (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-4411-0-3-401 | | | |
| Position with respect to appropriations act limitation on obligations: | | | |
| 1111 Limitation on direct loans to the public..... | | | |
| Obligations exempt from limitation: | | | |
| 1131 Direct loans to the public ¹ | 16,770 | 33,500 | |
| 1150 Total direct loan obligations..... | 16,770 | 33,500 | |
| Cumulative balance of direct loans outstanding: | | | |
| 1210 Outstanding, start of year..... | 558,217 | 566,361 | 579,945 |
| 1231 Disbursements: Direct loan disbursements.. | 8,900 | 13,875 | 8,095 |
| 1251 Repayments: Repayments and prepayments..... | | -291 | -439 |
| 1262 Adjustments: Write-offs for default..... | -4,270 | | |
| 1264 Adjustments: Other adjustments, net..... | 3,514 | | |
| 1290 Outstanding, end of year..... | 566,361 | 579,945 | 587,601 |

Note.—FRA's guarantee of direct loans made by the FFB previously shown in this account are now reflected in the railroad rehabilitation and improvement financing fund, FFB account.

¹ The 1986 loan level is subject to sequestration under P.L. 99-177. The post-sequestration loan level constitutes a de facto limitation.

No new commitments for the redeemable preference share and loan guarantee programs are being proposed after 1986. 1986 activity primarily will finance the highest priority needs of secondary or regionally important lines which are essential to the continuation of rail service and which feed the national rail system.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-4411-6-3-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations..... | | -1,441 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -1,441 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -1,441 | |
| 72.47 Obligated balance, start of year..... | | | -1,369 |
| 74.47 Obligated balance, end of year..... | | 1,369 | 1,297 |
| 90.00 Outlays..... | | -72 | -72 |

Status of Direct Loans (in thousands of dollars)

| | | | |
|---|--|--|--|
| Position with respect to appropriations act limitation on obligations: | | | |
| 1111 Limitation on direct loans to the public..... | | | |

| | | | |
|---|--|--------|--|
| Obligations exempt from limitation: | | | |
| 1131 Direct loans to the public..... | | -1,441 | |
| 1150 Total direct loan obligations..... | | -1,441 | |

Cumulative balance of direct loans outstanding:

| | | | |
|---|--|-----|------|
| 1210 Outstanding, start of year..... | | | -72 |
| 1231 Disbursements: Direct loan disbursements.. | | -72 | -72 |
| 1290 Outstanding, end of year..... | | -72 | -144 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

RAILROAD REHABILITATION AND IMPROVEMENT FINANCING FUND, FFB DIRECT LOANS

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-7012-0-4-401 | | | |
| Program by activities: | | | |
| 10.00 Direct loans (total obligations) (object class 33.0)..... | 4,117 | 1,600 | 3,800 |
| Financing: | | | |
| 14.00 Offsetting collections from: Non-Federal sources..... | -10,111 | -94,515 | -7,114 |
| 31.00 Redemption of debt..... | 10,111 | 94,515 | 7,114 |
| 67.10 Budget authority (authority to borrow) (permanent, indefinite) (12 U.S.C. 2281-96)..... | 4,117 | 1,600 | 3,800 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | -5,994 | -92,915 | -3,314 |
| 90.00 Outlays..... | -5,994 | -92,915 | -3,314 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

[In thousands of dollars]

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | 4,117 | 1,600 | 3,800 |
| Outlays..... | -5,994 | -92,915 | -3,314 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | | -86 |
| Outlays..... | | | -86 |
| Total: | | | |
| Budget authority..... | 4,117 | 1,600 | 3,714 |
| Outlays..... | -5,994 | -92,915 | -3,400 |

Status of Direct Loans¹ (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-7012-0-4-401 | | | |
| Position with respect to appropriations act limitation on obligations: | | | |
| 1111 Limitation on direct loans to the public..... | 6,500 | 4,000 | |
| 1112 Unused balance of direct loan limitation expiring..... | -4,000 | | |
| 1150 Total direct loan obligations..... | 2,500 | 4,000 | |
| Cumulative balance of direct loans outstanding: | | | |
| 1210 Outstanding, start of year..... | 159,609 | 153,615 | 60,700 |
| 1231 Disbursements: Direct loan disbursements.. | 4,117 | 1,600 | 3,800 |
| 1251 Repayments: Repayments and prepayments..... | -10,111 | -94,515 | -7,114 |
| 1290 Outstanding, end of year..... | 153,615 | 60,700 | 57,386 |

¹ Loans guaranteed by an agency and disbursed by the FFB are shown as FFB direct loans in this schedule. However, the limitation enacted applies to the guaranteed loan commitments of the originating agency. The amounts of those guaranteed loan commitments reflected in this schedule and subject to such limits are \$6,500 thousand in 1985 and \$4,000 thousand in 1986.

Public enterprise funds—Continued

RAILROAD REHABILITATION AND IMPROVEMENT FINANCING FUND,
FFB DIRECT LOANS—Continued

This program provides direct loans that are disbursed by the Federal Financing Bank to private freight railroad companies that are guaranteed by the Federal Railroad Administration, as authorized by section 511-513 of Public Law 94-210. The budget authority for this account reflects draw downs by the railroads on the direct loans.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-4411-6-4-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | | -86 |
| Financing: | | | |
| 47.10 Budget authority (authority to borrow) | | | -86 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | | -86 |
| 90.00 Outlays | | | -86 |

Status of Direct Loans (in thousands of dollars)

| | | | |
|---|--|------|-----|
| Position with respect to appropriations act limitation on obligations: | | | |
| 1111 Limitation on direct loans to the public | | -172 | |
| 1150 Total direct loan obligations | | -172 | |
| Cumulative balance of direct loans outstanding: | | | |
| 1231 Disbursements: Direct loan disbursements | | | -86 |
| 1290 Outstanding, end of year | | | -86 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

URBAN MASS TRANSPORTATION
ADMINISTRATION

The underlying policy principle in the development of the 1987 budget is that greater funding at the local level and greater participation by the private sector can and will reduce public costs in providing for the mass transportation needs of American citizens. Greater private sector participation in capital infrastructure development through direct private investment or public/private joint ventures in new rail systems, rail extensions, and station modernization will greatly reduce reliance on Federal capital assistance. Also, the introduction of greater competition through competitive bidding of service and maintenance will significantly reduce operating costs and the need for Federal subsidies.

The following tables depict budget authority and program levels for all Urban Mass Transportation programs for which more detail is furnished in the budget schedules (amounts include proposed rescissions):

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Budget authority: | | | |
| Administrative expenses | 31,000 | 28,710 | |
| Research, training, and Human Resources | 51,000 | 16,652 | |
| Interstate transfer grants-transit | 250,000 | 191,400 | |

| | | | |
|--|------------------|------------------|------------------|
| Washington Metro | 250,000 | 217,237 | |
| Formula grants | 2,449,500 | 2,057,550 | |
| Transportation block grants transit (Trust Fund) | | | 1,220,000 |
| Discretionary grants (Trust Fund) | 1,100,000 | 1,052,700 | |
| Proposed rescission | | -297,675 | |
| Total, budget authority | 4,131,500 | 3,266,576 | 1,220,000 |
| Program level: | | | |
| Miscellaneous accounts | 84,728 | 6,147 | |
| Administrative expenses | 30,354 | 28,710 | |
| Research, training, and Human Resources | 24,152 | 28,533 | |
| Interstate transfer grants-transit | 232,981 | 211,451 | 101.4 |
| Washington Metro | 58,400 | 432,839 | |
| Formula grants | 2,382,946 | 2,395,849 | 746.51 |
| Transportation block grants transit (Trust Fund) | | | 1,220,000 |
| Discretionary grants (Trust Fund) | 746,117 | 1,155,343 | 404.5 |
| Proposed rescission | | -521,275 | |
| Total, program level | 3,559,678 | 3,737,597 | 2,472.51 |

Federal Funds

General and special funds:

[ADMINISTRATIVE EXPENSES]

[For necessary administrative expenses of the urban mass transportation program authorized by the Urban Mass Transportation Act of 1964, as amended (49 U.S.C. 1601 et seq.), and 28 U.S.C. chapter 1 in connection with these activities, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, \$30,000,000, which not to exceed \$650,000 shall be available for the Office of the Administrator.] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1120-0-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | 30,354 | 30,000 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | -457 | -121 | |
| 24.40 Unobligated balance available, end of year | 121 | | |
| 25.00 Unobligated balance lapsing | 982 | 121 | |
| 40.00 Budget authority (appropriation) | 31,000 | 30,000 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 30,354 | 30,000 | |
| 72.40 Obligated balance, start of year | 57 | 3,765 | 2.76 |
| 74.40 Obligated balance, end of year | -3,765 | -2,765 | |
| 77.00 Adjustments in expired accounts | -334 | | |
| 90.00 Outlays | 26,312 | 31,000 | 2.76 |

Note.—Excludes \$26,800 thousand in 1987 for activities transferred to Transportation Block Grants—Transit (Trust Fund)

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 31,000 | 30,000 | |
| Outlays | 26,312 | 31,000 | 2.76 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -1,290 | |
| Outlays | | -1,161 | -12 |
| Total: | | | |
| Budget authority | 31,000 | 28,710 | |
| Outlays | 26,312 | 29,839 | 2.63 |

Through 1986, this appropriation finances, with general revenues from the Treasury, personnel and other support costs associated with managing and directing

UMTA program responsibilities including executive direction, policy, budget, financial management, civil rights, public affairs, legal, grants delivery and management, technical assistance, and research and demonstration support. No appropriation is requested from general funds in 1987 to fund this program. Rather in 1987, it is proposed that funds from the Mass Transit Account of the Highway Trust Fund be made available to finance this program. This proposal is further explained under the account heading Transportation Block Grants—Transit (Trust Fund).

Object Classification (in thousands of dollars)

| Identification code 69-1120-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 18,527 | 17,498 | |
| 11.3 Other than full-time permanent | 919 | 816 | |
| 11.5 Other personnel compensation | 205 | 280 | |
| 11.9 Total personnel compensation | 19,651 | 18,594 | |
| 12.1 Personnel benefits: Civilian | 2,131 | 2,228 | |
| 13.0 Benefits for former personnel | 22 | 10 | |
| 21.0 Travel and transportation of persons | 601 | 656 | |
| 22.0 Transportation of things | 30 | 35 | |
| 23.1 Standard level user charges | 1,798 | 1,862 | |
| 23.2 Rental payments to others | 58 | 58 | |
| 23.3 Communications, utilities, and miscellaneous charges | 1,092 | 1,055 | |
| 24.0 Printing and reproduction | 178 | 190 | |
| 25.0 Other services | 3,404 | 4,620 | |
| 26.0 Supplies and materials | 129 | 138 | |
| 31.0 Equipment | 1,255 | 554 | |
| 42.0 Insurance claims and indemnities | 2 | | |
| 43.0 Interest and dividends | 3 | | |
| 99.9 Total obligations | 30,354 | 30,000 | |

Personnel Summary

| | | |
|--|-----|-----|
| Total number of full-time permanent positions | 522 | 496 |
| Total compensable workyears: | | |
| Full-time equivalent employment | 503 | 495 |
| Full-time equivalent of overtime and holiday hours | 3 | 5 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-1120-6-1-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations | | -1,290 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -1,290 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -1,290 | |
| 72.40 Obligated balance, start of year | | | -129 |
| 74.40 Obligated balance, end of year | | 129 | |
| 90.00 Outlays | | -1,161 | -129 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

[RESEARCH, TRAINING, AND HUMAN RESOURCES]

[For necessary expenses for research, training, and human resources as authorized by the Urban Mass Transportation Act of 1964, as amended (49 U.S.C. 1601 et seq.), to remain available until expended, \$17,400,000: *Provided*, That there may be credited to this appropriation funds received from States, counties, municipalities, other

public authorities and private sources, for expenses incurred for training.] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code 69-1121-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 00.01 Direct program | 24,152 | 29,281 | |
| 01.01 Reimbursable program | 290 | 500 | |
| 10.00 Total obligations | 24,442 | 29,781 | |
| Financing: | | | |
| 14.00 Offsetting collections from: Non-Federal sources | -290 | -500 | |
| 17.00 Recovery of prior year obligations | -536 | | |
| 21.40 Unobligated balance available, start of year | -24,597 | -50,981 | |
| 22.40 Unobligated balance transferred, net | 1,000 | 39,100 | |
| 24.40 Unobligated balance available, end of year | 50,981 | | |
| 40.00 Budget authority (appropriation) | 51,000 | 17,400 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 24,152 | 29,281 | |
| 72.40 Obligated balance, start of year | 71,223 | 54,740 | 57,225 |
| 74.40 Obligated balance, end of year | -54,740 | -57,225 | -40,950 |
| 78.00 Adjustments in unexpired accounts | -536 | | |
| 90.00 Outlays | 40,099 | 26,796 | 16,275 |

Note.—Excludes \$19,500 thousand in 1987 for activities transferred to Transportation Block Grants—Transit (Trust Fund).

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 51,000 | 17,400 | |
| Outlays | 40,099 | 26,796 | 16,275 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -748 | |
| Outlays | | -187 | -337 |
| Total: | | | |
| Budget authority | 51,000 | 16,652 | |
| Outlays | 40,099 | 26,609 | 15,938 |

Through 1986 this appropriation finances, with general revenues from the Treasury, grants and contracts for the purpose of developing, testing, and demonstrating techniques and methods (analytical, operational, and managerial); improving the cost effectiveness and efficiency of mass transportation services; grants to public bodies to provide for advanced training for personnel in the mass transportation field; and grants to public and private nonprofit institutions to assist in establishing or continuing programs which combine professional training and research in the field of mass transportation. In addition, grants are provided to enhance the effective utilization of human resources and to ensure the participation of minority business enterprises in the transit industry. In 1987, it is proposed that funds from the Mass Transit Account of the Highway Trust Fund be made available to finance this program. It will be subject to the obligation limitation for the New Transportation Block Grant—Transit Program.

Object Classification (in thousands of dollars)

| Identification code 69-1121-0-1-401 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| 25.0 Other services | 15,643 | 18,740 | |
| 41.0 Grants, subsidies, and contributions | 8,509 | 10,541 | |

General and special funds—Continued

[RESEARCH, TRAINING, AND HUMAN RESOURCES]—Continued

Object Classification (in thousands of dollars)—Continued

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|-----------------------------------|-------------|-----------|-----------|
| 69-1121-0-1-401 | | | |
| 99.0 Subtotal, direct obligations | 24,152 | 29,281 | |
| 99.0 Reimbursable obligations | 290 | 500 | |
| 99.9 Total obligations | 24,442 | 29,781 | |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1121-6-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | 748 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | 748 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | 748 | |
| 72.40 Obligated balance, start of year | | | -561 |
| 74.40 Obligated balance, end of year | | 561 | 224 |
| 90.00 Outlays | | -187 | -337 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

[INTERSTATE TRANSFER GRANTS—TRANSIT]

[(INCLUDING TRANSFER OF FUNDS)]

[For necessary expenses to carry out the provisions of 23 U.S.C. 103(e)(4) related to transit projects, to remain available until September 30, 1987, \$218,750,000, of which \$18,750,000 shall be derived from unobligated balances of "Research, training, and human resources".] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1127-0-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations (object class 41.0) | 232,981 | 220,051 | 101,412 |
| Financing: | | | |
| 17.00 Recovery of prior year obligations | -12 | | |
| 21.40 Unobligated balance available, start of year | -85,682 | -102,713 | -101,412 |
| 22.40 Unobligated balance transferred, net | | -18,750 | |
| 24.40 Unobligated balance available, end of year | 102,713 | 101,412 | |
| 40.00 Budget authority (appropriation) | 250,000 | 200,000 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 232,981 | 220,051 | 101,412 |
| 72.40 Obligated balance, start of year | 1,026,730 | 797,790 | 641,248 |
| 74.40 Obligated balance, end of year | -797,790 | -641,248 | -408,290 |
| 78.00 Adjustments in unexpired accounts | -12 | | |
| 90.00 Outlays | 461,909 | 376,593 | 334,370 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|--------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 250,000 | 200,000 | |

| | | | |
|------------------------------------|---------|---------|---------|
| Outlays | 461,909 | 376,593 | 334,370 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -8,600 | |
| Outlays | | -430 | -1,721 |
| Total: | | | |
| Budget authority | 250,000 | 191,400 | |
| Outlays | 461,909 | 376,163 | 332,650 |

Grants under this activity are authorized by the Federal-Aid Highway Act of 1973 as amended (23 U.S.C. 103(e)(4)) whereby States and localities may withdraw previously approved segments of the Interstate System and substitute transit or other highway capital projects. Through 1986, this appropriation provided funding for substituted transit projects while funding for substituted highway projects was included in the budget of the Federal Highway Administration. Beginning in 1987, no funding is requested under this account. Eligible substituted transit project commitments are proposed to be funded through the Interstate Transfer program under the Federal-Aid Highways Account (Trust Fund), administered by the Federal Highway Administration.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1127-6-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | 8,600 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | 8,600 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | 8,600 | |
| 72.40 Obligated balance, start of year | | | 8,170 |
| 74.40 Obligated balance, end of year | | 8,170 | 6,451 |
| 90.00 Outlays | | -430 | -1,721 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

WASHINGTON METRO

[For necessary expenses to carry out the provisions of section 14 of Public Law 96-184, \$227,000,000, to remain available until expended.] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1128-0-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations (object class 41.0) | 58,400 | 442,600 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | -24,000 | -215,600 | |
| 24.40 Unobligated balance available, end of year | 215,600 | | |
| 40.00 Budget authority (appropriation) | 250,000 | 227,000 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 58,400 | 442,600 | |
| 72.40 Obligated balance, start of year | 402,489 | 400,733 | 693,427 |
| 74.40 Obligated balance, end of year | -400,733 | -693,427 | -504,429 |
| 90.00 Outlays | 60,156 | 149,906 | 188,998 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

[In thousands of dollars]

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 250,000 | 227,000 | |
| Outlays | 60,156 | 149,906 | 188,998 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -9,761 | |
| Outlays | | -488 | -1,952 |
| Total: | | | |
| Budget authority | 250,000 | 217,239 | |
| Outlays | 60,156 | 149,418 | 187,046 |

The National Capital Transportation Amendments of 1979 authorized \$1.7 billion in Federal funds for the construction of the Washington Metrorail system. It is the Administration's position that the Washington Metrorail system should be treated as other "New Start" projects. Since all other "New Start" funding is proposed to be terminated, it would be inequitable to continue funding the Washington Metrorail System. Therefore, no appropriation is requested for 1987. The Washington Metropolitan Area Transit Authority needs to initiate a dedicated source of local funding to become independent of Federal funding.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1128-6-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | -9,761 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -9,761 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -9,761 | |
| 72.40 Obligated balance, start of year | | | -9,273 |
| 74.40 Obligated balance, end of year | | 9,273 | 7,321 |
| 90.00 Outlays | | -488 | -1,952 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

[FORMULA GRANTS]

[For necessary expenses to carry out the provisions of sections 9 and 18 of the Urban Mass Transportation Act of 1964, as amended (49 U.S.C. 1601 et seq.), \$2,150,000,000, to remain available until expended.] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|------------|-----------|
| 69-1129-0-1-401 | | | |
| Program by activities: | | | |
| 00.01 Urban formula grants | 2,282,477 | 2,417,299 | 723,012 |
| 00.02 Nonurban formula grants | 100,469 | 71,000 | 23,570 |
| 10.00 Total obligations (object class 41.0) | 2,382,946 | 2,488,299 | 746,582 |
| Financing: | | | |
| 17.00 Recovery of prior year obligations | -66,147 | | |
| 21.40 Unobligated balance available, start of year | -962,224 | -1,084,881 | -746,582 |
| 24.40 Unobligated balance available, end of year | 1,084,881 | 746,582 | |
| 25.00 Unobligated balance lapsing | 10,044 | | |

| 40.00 | Budget authority (appropriation) | 2,449,500 | 2,150,000 | |
|--|---|------------|------------|------------|
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net | 2,382,946 | 2,488,299 | 746,582 |
| 72.40 | Obligated balance, start of year | 2,763,734 | 3,671,658 | 4,938,457 |
| 74.40 | Obligated balance, end of year | -3,671,658 | -4,938,457 | -4,407,956 |
| 77.00 | Adjustments in expired accounts | -275 | | |
| 78.00 | Adjustments in unexpired accounts | -66,147 | | |
| 90.00 | Outlays | 1,408,600 | 1,221,500 | 1,277,083 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

[In thousands of dollars]

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 2,449,500 | 2,150,000 | |
| Outlays | 1,408,600 | 1,221,500 | 1,277,083 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -92,450 | |
| Outlays | | -32,841 | -22,223 |
| Total: | | | |
| Budget authority | 2,449,500 | 2,057,550 | |
| Outlays | 1,408,600 | 1,188,659 | 1,254,860 |

Through 1986, this program provided grants on the basis of statutory formulas to State and local agencies for mass transportation capital and operating expenses. Capital expenses included construction, acquisition, modernization, and improvement of existing transit facilities and equipment, employment of new technology, and technical planning assistance. Operating assistance expenses included administration, maintenance and operation of transit systems.

No appropriations are requested under this heading in 1987. Rather, it is proposed that formula grants be funded from the Mass Transit Account of the Highway Trust Fund. This proposal is further explained below under the account heading Transportation Block Grants—Transit (Trust Fund).

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1129-6-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | -92,450 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -92,450 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -92,450 | |
| 72.40 Obligated balance, start of year | | | -59,609 |
| 74.40 Obligated balance, end of year | | 59,609 | 37,386 |
| 90.00 Outlays | | -32,841 | -22,223 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

MISCELLANEOUS [EXPIRED] ACCOUNTS

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-9913-0-1-401 | | | |
| Program by activities: | | | |
| 00.01 Discretionary grants | 84,666 | 6,147 | |
| 00.02 Waterborne and commuter rail | 62 | | |

General and special funds—Continued

MISCELLANEOUS [EXPIRED] ACCOUNTS—Continued

Program and Financing (in thousands of dollars)—Continued

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-9913-0-1-401 | | | |
| 10.00 Total obligations (object class 41.0) | 84,728 | 6,147 | |
| Financing: | | | |
| 17.00 Recovery of prior year obligations | -77,517 | | |
| 21.40 Unobligated balance available, start of year | -13,796 | -6,147 | |
| 24.40 Unobligated balance available, end of year | 6,147 | | |
| 25.00 Unobligated balance lapsing | 438 | | |
| 39.00 Budget authority | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 84,728 | 6,147 | |
| 72.40 Obligated balance, start of year | 2,410,231 | 1,565,211 | 621,358 |
| 74.40 Obligated balance, end of year | -1,565,211 | -621,358 | -171,358 |
| 78.00 Adjustments in unexpired accounts | -77,517 | | |
| 90.00 Outlays | 852,231 | 950,000 | 450,000 |
| Status of Direct Loans (in thousands of dollars) | | | |
| Cumulative balance of direct loans outstanding: | | | |
| 1210 Outstanding, start of year | 11,591 | 748 | |
| 1262 Adjustments: Write-offs for default | -10,843 | -748 | |
| 1290 Outstanding, end of year | 748 | | |
| Status of Guaranteed Loans (in thousands of dollars) | | | |
| Cumulative balance of guaranteed loans outstanding: | | | |
| 2210 Outstanding, start of year | 997,000 | 997,000 | 997,000 |
| 2290 Outstanding, end of year | 997,000 | 997,000 | 997,000 |
| MEMORANDUM | | | |
| 2299 U.S. contingent liability for guaranteed loans outstanding, end of year | 997,000 | 997,000 | 997,000 |

These schedules display programs that no longer require appropriations and thus reflects obligations and outlays made under prior year appropriations. Among these programs is the general funded appropriation for Discretionary Grants for 1983 and earlier years.

Trust Funds

TRANSPORTATION BLOCK GRANTS—TRANSIT

(LIMITATION ON OBLIGATIONS)

Total obligations under the contract authority authorized for fiscal year 1987 under Section 404(b) of the Surface Transportation Formula Program Act of 1986 shall not exceed \$1,220,000,000. Provided, That not to exceed \$26,800,000 of the amount of funds available herein shall be made available to carry out necessary expenses for the administration and operation of the Urban Mass Transportation Administration: Provided further, That not to exceed \$19,500,000 of the amount of funds available herein shall be made available to carry out the research, training and human resources programs of the Urban Mass Transportation Act of 1964, to remain available until September 30, 1988: Provided, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities and private sources, for expenses incurred for training. (Additional authorizing legislation to be proposed.)

LIQUIDATION OF CONTRACT AUTHORIZATION

For payment of obligations incurred under Section 404(b) of 1 Surface Transportation Formula Program Act of 1986, \$264,625,000, be derived from the Mass Transit Account of the Highway Trust Fu. and to remain available until expended. (Additional authorizing legislation to be proposed.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8099-0-7-401 | | | |
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 Large urban area formula grants | | | 979.6 |
| 00.02 Small urban area formula grants | | | 28.7 |
| 00.03 Rural formula | | | 9.7 |
| 00.04 Operating assistance | | | 89.7 |
| 00.05 Elderly and handicapped | | | 35.1 |
| 00.06 Planning | | | 30.5 |
| 00.07 Research, training, and human resources | | | 19.6 |
| 00.08 Administrative expenses | | | 26.8 |
| 00.91 Total direct program | | | 1,220.0 |
| 01.01 Reimbursable program | | | 3 |
| 10.00 Total obligations (object class 41.0) | | | 1,220.3 |
| Financing: | | | |
| 14.00 Offsetting collections from: Non-Federal sources | | | -3 |
| 39.00 Budget authority | | | 1,220.0 |
| Budget authority: | | | |
| 40.00 Appropriation | | | 264.6 |
| 40.49 Portion applied to liquidate contract authority | | | -264.6 |
| 43.00 Appropriation (adjusted) | | | |
| 49.10 Contract authority (Public Law 97-424) | | | 1,220.0 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred net | | | 1,220.0 |
| 74.49 Obligated balance, end of year: Contract authority | | | -955.3 |
| 90.00 Outlays | | | 264.6 |

Note.—Includes \$1,220,000 thousand in 1987 for activities previously financed from (in thousands of dollars):

| | 1985 | 1986 |
|---|-----------|-------|
| Research, training, and human resources | 51,000 | 16.6 |
| Administrative expenses | 31,000 | 28.7 |
| Discretionary grants | 1,100,000 | 755.0 |
| Total | 1,182,000 | 800.3 |

Status of Unfunded Contract Authority (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-8099-0-7-401 | | | |
| Unfunded balance, start of year | | | |
| Contract authority | | | 1,220.0 |
| Appropriation to liquidate contract authority | | | -264.6 |
| Unfunded balance end of year | | | 955.3 |

For 1987, legislation is being proposed to amend Section 21 of the Urban Mass Transportation Act of 1964 to provide that funds available in the Mass Transit Account be allocated on the basis of legislative formula to State and local agencies for mass transit capital investments rather than on a discretionary basis. In this new Transportation Block Grant program, State and local agencies will, for the first time, be able to use transit capital assistance for eligible highway projects. Since benefits derived from mass transit accrue primarily to localities, and in light of the mounting pres

sure on the Federal deficit, it is appropriate to reduce the Federal role to one of managing and allocating the penny gas tax for transit. Since this penny gas tax for transit is collected nationally, it is also appropriate that it be allocated nationally so that, to the greatest degree possible, all payers of this tax may receive benefits from it.

An obligation limitation of \$1.22 billion, consistent with annual receipts from the one penny per gallon motor fuel tax for mass transit, is proposed for 1987.

Large urban areas formula grants.—Funding is proposed to be allocated on the basis of legislative formulas to State and local agencies for mass transportation capital activities in urbanized areas with populations of 200 thousand or more.

Small urban area formula grants.—Funding is proposed to be allocated to States by a legislative formula for mass transportation capital and operating activities in small urban areas, defined as areas with populations over 50 thousand but below 200 thousand.

Rural formula grants.—Funding is proposed to be allocated to States by a legislative formula for mass transportation capital and operating activities in nonurbanized areas with populations below 50 thousand.

Operating assistance.—Futhermore, the legislative proposal will provide that only small cities and rural areas may use these funds for operating assistance. These areas claim to be most dependent upon Federal operating assistance.

Elderly and handicapped.—The Urban Mass Transportation Act of 1964, as amended, requires that special efforts be made in planning and design of mass transportation facilities and services so that elderly and handicapped persons are assured of mass transportation services that they can effectively utilize. This request for \$35 million is consistent with last year's level and will provide equipment and facilities needed to enhance transit mobility for elderly and handicapped persons through private, non-profit providers. The 16(b)(2) program is administered at the State level by designated State agencies.

Planning.—This program provides the funding to finance transit planning and technical studies. In 1987, UMTA will provide Section 8 grants to State and local officials largely on the basis of a 1980 census population-based distribution. These funds are available for planning, engineering, and evaluating public transportation projects and for implementing other specialized technical studies.

Research, training and human resources.—It is also requested that up to \$19.5 million in funds from the Mass Transit Account be made available to fund the Research, Training and Human Resources program. Prior to 1987, funding for the Research, Training and Human Resources program was derived from general revenues. Any new budget authority will be included within the obligation limitation of the new Transportation Block Grant—Transit program and will be available for two years. Any unobligated balances from the general funded Research, Training and Human Resources program, that existed prior to 1987, will not be subject to the obligation limitation for 1987. Areas of emphasis in 1987 will include private sector transit alternatives, transit safety and security, operations and

management practices, alternative fuels, project management oversight, maintenance, and human resources.

Administrative expenses.—It is also requested in 1987 that up to \$26.8 million in funds from the Mass Transit Account be made available to fund the necessary expenses for the administration and operation of the UMTA program. Any new budget authority for the Administrative Expenses program will also be included within the obligation limitation for the new Transportation Block Grant—Transit program. This appropriation will finance personnel and other support costs associated with managing and directing UMTA program responsibilities. Prior to 1987, funding for the Administrative Expenses program was derived from general revenues. The reduction in Administrative Expenses from 1986 to 1987 results primarily from a planned 21 percent reduction in FTE workyears, consistent with termination of selected mass transit programs.

The status of the fund is as follows (in thousands of dollars):

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Unexpended balance brought forward, start of year: | 1,611,200 | 2,330,402 | 2,991,527 |
| Cash income during the year, Governmental receipts: | | | |
| Motor fuel taxes..... | 1,053,000 | 1,198,000 | 1,208,000 |
| Interest on investments..... | 173,200 | 241,800 | 299,200 |
| Total annual income..... | 1,226,200 | 1,439,800 | 1,507,200 |
| Cash outgo during the year (liquidation of contract authorization): | | | |
| Discretionary Grants..... | 506,998 | 778,675 | 905,800 |
| Transportation Block Grants..... | | | 264,625 |
| Subtotal..... | 506,998 | 778,675 | 1,170,425 |
| Unexpended balance carried forward, end of year ... | 2,330,402 | 2,991,527 | 3,328,302 |

Object Classification (in thousands of dollars)

| Identification code 69-8099-0-7-401 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent..... | | | 14,911 |
| 11.3 Other than full-time permanent..... | | | 619 |
| 11.5 Other personnel compensation..... | | | 287 |
| 11.9 Total personnel compensation..... | | | 15,817 |
| 12.1 Personnel benefits: Civilian..... | | | 1,915 |
| 13.0 Benefits to former personnel..... | | | 2,104 |
| 21.0 Travel and transportation of persons..... | | | 654 |
| 22.0 Transportation of things..... | | | 7 |
| 23.1 Standard level user charges..... | | | 1,176 |
| 23.2 Rental payments to others..... | | | 58 |
| 23.3 Communications, utilities, and miscellaneous charges..... | | | 805 |
| 24.0 Printing and reproduction..... | | | 199 |
| 25.0 Other services..... | | | 16,418 |
| 26.0 Supplies and materials..... | | | 120 |
| 31.0 Equipment..... | | | 202 |
| 41.0 Grants, subsidies, and contributions..... | | | 1,180,525 |
| 99.0 Subtotal, direct obligations..... | | | 1,220,000 |
| 99.0 Reimbursable obligations..... | | | 300 |
| 99.9 Total obligations..... | | | 1,220,300 |

Personnel Summary

| | |
|--|-----|
| Direct: | |
| Total number of full-time permanent positions..... | 343 |

LIQUIDATION OF CONTRACT AUTHORIZATION—Continued

Personnel Summary—Continued

| | |
|---|-----|
| Total compensable workyears: | |
| Full-time equivalent employment..... | 389 |
| Full-time equivalent of overtime and holiday hours..... | 5 |

DISCRETIONARY GRANTS (LIMITATION ON OBLIGATIONS)*

*See Part II for additional information.

None of the funds in this Act shall be available for the implementation or execution of programs in excess of \$1,045,500,000 in fiscal year 1986 for grants under the contract authority authorized in section 21(a)(2)(B) of the Urban Mass Transportation Act of 1964, as amended (49 U.S.C. 1601 et seq.).

LIQUIDATION OF CONTRACT AUTHORIZATION

For payment of obligations incurred in carrying out section 21(a)(2) of the Urban Mass Transportation Act of 1964, as amended (49 U.S.C. 1601 et seq.), administered by the Urban Mass Transportation Administration, \$775,000,000, \$950,000,000, to be derived from the Highway Trust Fund and to remain available until expended. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (In thousands of dollars)

| Identification code 69-8191-0-7-401 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|------------|------------|
| Program by activities: | | | |
| 00.01 Urban formula grants..... | 47,257 | 45,684 | |
| 00.02 Non-urban formula grants..... | 3,523 | | |
| 00.03 Discretionary grants..... | 695,337 | 1,154,616 | 404,512 |
| 10.00 Total obligations (object class 41.0)..... | 746,117 | 1,200,300 | 404,512 |
| Financing: | | | |
| 17.00 Recovery of prior year obligations.. | -4,294 | | |
| 21.49 Unobligated balance available, start of year: Contract authority | -206,135 | -564,312 | -464,012 |
| 24.49 Unobligated balance available, end of year: Contract authority..... | 564,312 | 464,012 | 59,500 |
| 39.00 Budget authority..... | 1,100,000 | 1,100,000 | |
| Budget authority: | | | |
| 60.00 Appropriation (permanent)..... | 450,000 | 775,000 | 950,000 |
| 60.49 Portion applied to liquidate contract authority..... | -450,000 | -775,000 | -950,000 |
| 63.00 Appropriation (adjusted)..... | | | |
| 69.10 Contract authority (Public Law 97-424) (definite)..... | 1,100,000 | 1,100,000 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 746,117 | 1,200,300 | 404,512 |
| Obligated balance, start of year: | | | |
| 72.40 Appropriation..... | 60,673 | 3,675 | |
| 72.49 Contract authority..... | 1,525,865 | 1,817,688 | 2,242,988 |
| Obligated balance, end of year: | | | |
| 74.40 Appropriation..... | -3,675 | | -44,200 |
| 74.49 Contract authority..... | -1,817,688 | -2,242,988 | -1,741,700 |
| 78.00 Adjustments in unexpired accounts | -4,294 | | |
| 90.00 Outlays..... | 506,998 | 778,675 | 905,800 |

Note.—Excludes \$65,500 thousand in 1987 for elderly and handicapped, and planning activities transferred to Transportation Block Grants—Transit (Trust fund).

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|--|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | 1,100,000 | 1,100,000 | |
| Outlays..... | 506,998 | 778,675 | 905,800 |
| Reduction pursuant to Public Law 99-177: | | | |
| Budget authority..... | | -47,300 | |
| Outlays..... | | -2,525 | -9,000 |
| Rescission proposal: | | | |
| Budget authority..... | | -297,675 | |
| Outlays..... | | -26,064 | -104,200 |
| Total: | | | |
| Budget authority..... | 1,100,000 | 755,025 | |
| Outlays..... | 506,998 | 750,086 | 792,500 |

Status of Unfunded Contract Authority (In thousands of dollars)

| Identification code 69-8191-0-7-401 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Unfunded balance, start of year..... | 1,732,000 | 2,382,000 | 2,138,400 |
| Contract authority..... | 1,100,000 | 1,100,000 | |
| Reduction pursuant to P.L. 99-177..... | | -47,300 | |
| Rescission proposal: Contract authority..... | | -297,675 | |
| Unobligated balance..... | | -223,600 | |
| Appropriation to liquidate contract authority | -450,000 | -775,000 | -950,000 |
| Unfunded balance, end of year..... | 2,382,000 | 2,138,425 | 1,188,400 |

In 1983, the first year of the Mass Transit Account authorized funding was apportioned for capital mass transit projects in this account in urban and non-urban areas in accordance with legislative formulas. The formula funding made available to urbanized areas will remain available through 1986. The non-urban formula funding was available through 1985. Funding in this account authorized for 1984, 1985, and 1986 was allocated for capital mass transit projects under Discretionary Grants which provided additional financial assistance over and above the Section 9 and 18 Formula Grant programs.

Urban formula grants.—For 1983, funding was allocated on the basis of legislative formulas to State and local agencies for mass transportation capital activities in urbanized areas with populations of 50 thousand or more.

Discretionary grants.—For 1984, 1985, and 1986, funding has provided financial assistance at the discretion of the Secretary (though often directed by Congress) for planning and capital investments in mass transportation. The Discretionary Grants program has been used to fund selected bus projects, rail modernization projects, and new fixed guideway systems. In addition, a small amount of discretionary funds were used for selected grants to support planning, innovative techniques, and special elderly and handicapped transportation services. In 1987, no funding is requested for discretionary allocation. Rather, a separate formula grant program under the heading Transportation Block Grant—Transit is proposed to ensure that resources from the nationally derived motor fuel tax will be more fairly allocated on the basis of legislative formulas.

Continued Federal funding under the Discretionary Grants account for major new fixed guideway investments, or new starts, can result in the development of many inefficient, expensive transit systems that will cost more to operate than other available transportation alternatives. It is proposed that this program

terminated immediately, in order to avoid any post-1986 costs of new projects. Therefore, it is proposed that most available "new start" resources in 1986 be rescinded. (See part II.)

Reduction Pursuant to Public Law 99-177

| Program and Financing (in thousands of dollars) | | | |
|--|-------------|-----------|-----------|
| Identification code 69-8191-6-7-401 | 1985 actual | 1986 est. | 1987 est. |
| Program by activities: | | | |
| 10.00 Total obligations | | -44,957 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | | | 2,343 |
| 24.40 Unobligated balance available, end of year | | -2,343 | -2,343 |
| 49.10 Budget authority (contract authority) | | -47,300 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -44,957 | |
| 72.40 Obligated balance, start of year | | | -42,432 |
| 74.40 Obligated balance, end of year | | 42,432 | 33,432 |
| 90.00 Outlays | | -2,525 | -9,000 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

FEDERAL AVIATION ADMINISTRATION

The following table depicts the funding for all Federal Aviation Administration programs, including proposed supplementals, for which more detail is furnished in the budget schedules:

| [In millions of dollars] | | | |
|--|--------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Budget authority: | | | |
| Operations | 2,699 | 2,640 | 2,800 |
| Trust fund | (1,110) | (427) | (2,100) |
| Metropolitan Washington airports | 46 | 40 | 42 |
| Grants-in-aid for airports (trust) | 987 | 973 | 1,017 |
| Facilities and equipment (trust) | 1,358 | 950 | 825 |
| Research, engineering, and development (trust) | 265 | 182 | 134 |
| Aircraft purchase loan guarantee program | | 1 | |
| Total net | 5,355 | 4,786 | 4,818 |
| Program level: | | | |
| Operations | 2,713 | 2,646 | 2,800 |
| Trust fund | (1,110) | (427) | (2,100) |
| Facilities, engineering, and development | 1 | | |
| Metropolitan Washington airports | 47 | 46 | 42 |
| Grants-in-aid for airports (trust) | 935 | 885 | 712 |
| Facilities and equipment (trust) | 1,200 | 865 | 956 |
| Research, engineering, and development (trust) | 277 | 198 | 134 |
| Aircraft purchase loan guarantee program | 13 | 1 | |
| Total net | 5,186 | 4,641 | 4,644 |
| Outlays: | | | |
| Operations | 2,722 | 2,573 | 2,720 |
| Trust fund | (1,110) | (427) | (2,100) |
| Facilities, engineering, and development | 4 | 5 | |
| Metropolitan Washington airports | 54 | 50 | 40 |
| Grants-in-aid for airports (trust) | 789 | 776 | 828 |
| Facilities and equipment (trust) | 425 | 706 | 832 |
| Research, engineering, and development (trust) | 262 | 258 | 178 |
| Aviation insurance revolving fund | -3 | -3 | -3 |
| Aircraft purchase loan guarantee program | 14 | 2 | |
| Total net | 4,267 | 4,367 | 4,595 |

Federal Funds

General and special funds:

OPERATIONS

For necessary expenses of the Federal Aviation Administration, not otherwise provided for, including administrative expenses for research and development, and for establishment of air navigation facilities, and carrying out the provisions of the Airport and Airway Development Act, as amended, or other provisions of law authorizing obligation of funds for similar programs of airport and airway development or improvement; purchase of four passenger motor vehicles for replacement only, [\$2,694,600,000] ~~\$2,799,780,000~~, of which not to exceed [\$446,000,000] ~~\$2,099,835,000~~ shall be derived from the Airport and Airway Trust Fund. *Provided*, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred in the maintenance and operation of air navigation facilities: *Provided further*, That none of these funds shall be available for new applicants for the second career training [program] program: *Provided further*, That the unexpended balances of the appropriation "Federal Aviation Administration Headquarters Administration" shall be transferred to and merged with this appropriation.

[HEADQUARTERS ADMINISTRATION]

[INCLUDING TRANSFER OF FUNDS]

[For necessary expenses, not otherwise provided for, of providing administrative services at the headquarters location of the Federal Aviation Administration, including but not limited to accounting, budgeting, personnel, legal, public affairs, and executive direction for the Federal Aviation Administration, \$64,400,000: *Provided*, That the Secretary of Transportation is authorized to transfer appropriated funds between this appropriation and the Federal Aviation Administration appropriation for operations: *Provided further*, That this appropriation shall be neither increased nor decreased by more than 2 per centum by any such transfers: *Provided further*, That any such transfers shall be reported to the Committees on Appropriations.] (Department of Transportation and Related Agencies Appropriation Act, 1986, as included in Public Law 99-190; additional authorizing legislation to be proposed for \$1,823,085,000.)

Program and Financing (in thousands of dollars)

| Identification code 69-1301-0-1-402 | | | |
|--|-------------|-----------|------------|
| | 1985 actual | 1986 est. | 1987 est. |
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 Operation of traffic control system | 1,178,752 | 1,211,514 | 1,204,501 |
| 00.02 Installation and materiel services | 194,348 | 191,515 | 187,788 |
| 00.03 Maintenance of traffic control system | 756,478 | 739,160 | 761,058 |
| 00.04 Administration of aviation standards program | 259,193 | 282,365 | 298,938 |
| 00.05 Development direction | 8,923 | 9,965 | 9,031 |
| 00.06 Administration of airports program | 26,751 | 25,703 | 26,181 |
| 00.07 Direction, staff and supporting services | 69,237 | 79,631 | 72,027 |
| 00.08 Human resource management | 177,757 | 182,962 | 205,343 |
| 00.09 Headquarters administration | 41,674 | 41,562 | 34,913 |
| 00.91 Total direct program | 2,713,113 | 2,764,377 | 2,799,780 |
| 01.01 Reimbursable program | 31,762 | 32,000 | 32,000 |
| 10.00 Total obligations | 2,744,875 | 2,796,377 | 2,831,780 |
| Financing: | | | |
| Offsetting collections from: | | | |
| 11.00 Federal funds | -24,771 | -25,000 | -25,000 |
| 13.00 Trust funds | -1,110,000 | -446,000 | -2,099,835 |
| 14.00 Non-Federal sources | -6,991 | -7,000 | -7,000 |
| 21.40 Unobligated balance available, start of year | -13,584 | -5,388 | |
| 22.40 Unobligated balance transferred, net | -7,016 | | |
| 24.40 Unobligated balance available, end of year | 5,388 | | |

General and special funds—Continued

[HEADQUARTERS ADMINISTRATION]—Continued

[(INCLUDING TRANSFER OF FUNDS)]—Continued

Program and Financing (in thousands of dollars)—Continued.

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1301-0-1-402 | | | |
| 25.00 Unobligated balance lapsing..... | 1,513 | 11 | |
| 39.00 Budget authority..... | 1,589,414 | 2,313,000 | 699,945 |
| Budget authority: | | | |
| 40.00 Appropriation..... | 1,581,500 | 2,313,000 | 699,945 |
| 42.00 Transferred from other accounts..... | 7,914 | | |
| 43.00 Appropriation (adjusted)..... | 1,589,414 | 2,313,000 | 699,945 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 1,603,113 | 2,318,377 | 699,945 |
| 72.40 Obligated balance, start of year..... | 314,618 | 316,550 | 399,047 |
| 74.40 Obligated balance, end of year..... | -316,550 | -399,047 | -468,827 |
| 77.00 Adjustments in expired accounts..... | 11,037 | | |
| 90.00 Outlays..... | 1,612,218 | 2,235,880 | 630,165 |
| Distribution of budget authority by account: | | | |
| Operations..... | 1,527,537 | 2,248,600 | 699,945 |
| Headquarters administration..... | 61,877 | 64,400 | |
| Distribution of outlays by account: | | | |
| Operations..... | 1,554,171 | 2,172,880 | 620,165 |
| Headquarters administration..... | 58,045 | 63,000 | 10,000 |
| Safety regulations..... | 2 | | |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | (in thousands of dollars) | | |
|------------------------------------|---------------------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Enacted/requested: | | | |
| Budget authority..... | 1,589,414 | 2,313,000 | 699,945 |
| Outlays..... | 1,612,218 | 2,235,880 | 630,165 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -99,459 | |
| Outlays..... | | -89,253 | -10,206 |
| Total: | | | |
| Budget authority..... | 1,589,414 | 2,213,541 | 699,945 |
| Outlays..... | 1,612,218 | 2,146,627 | 619,959 |

Operation of traffic control system.—This activity covers the operation of a national system of air traffic management in the United States, its territories and possessions on a 24-hour basis. With the aid of radar, communications, and other facilities, traffic management personnel at 24 centers monitor and control en route flights of civil and military aircraft conducted under instrument conditions to assure safety and to expedite the flow of traffic. Terminal control facilities are operated at major civil airports to guide traffic movements at and in the vicinity of the airports. A system of flight service stations provides weather and aeronautical information to pilots, processes flight plans and provides inflight advisory and emergency services.

Installation and materiel services.—This activity covers procurement, contracting and materiel management programs; administrative communications services provided through the Federal Telecommunications System (FTS); supply support for the National Airspace System (NAS) and agency aircraft, except for aircraft related to the research and development program; leased space for which payment is made to General

Services Administration (GSA); and other logistics support functions.

Maintenance of traffic control system.—This activity covers direction and engineering services related to the maintenance, improvement, and modification of facilities and equipment in the traffic control system; operational leased communications; and technical operation and maintenance of a national network of air navigation aids and traffic control facilities in the United States, and its territories and possessions.

Administration of aviation standards program.—This activity exists to promote flight safety of civil aviation by assuring: the airworthiness of aircraft; the competence of pilots, aviators and aviator technicians; the adequacy of flight procedures and air operations; the evaluation of inflight facility performance for compliance with prescribed standards. In addition, this activity covers the safe operation and the effective development, utilization, and maintenance of the FAA's aircraft fleet. Resources are also included under this activity for the conduct of the Federal Government's civil aviation security program, and to ensure the medical fitness of personnel in the national airspace system.

Development direction.—This activity covers the planning, direction, and evaluation of the engineering and development program, the direct project costs of which are financed under the Research, engineering and development appropriation.

Administration of airports program.—This activity includes the following work programs: (1) administration of an airport grant program for airport planning and development; (2) maintenance of the national plan of integrated airport systems; (3) development and application of airport engineering and safety standards; (4) collection, processing, and dissemination of airport data; (5) safety certification of airports serving air carriers utilizing aircraft with a seating capacity of more than 30 passengers; and (6) airport capacity enhancement.

Direction, staff and supporting services.—This activity covers administrative and housekeeping functions such as administrative supplies, communications, and payroll at Oklahoma City, Atlantic City, and regional offices. Included also for these locations are activities for direction and management, public affairs, plans, international aviation, legal, accounting, budget, civil rights, communication control, data systems, and management systems. Also included are centrally managed items such as certain data processing and Information Resources Management Plan requirements.

Human resource management (HRM).—This activity directly supports the other FAA activities. The most important goal of the HRM activity is to provide the highly skilled, competent, and motivated workforce necessary to accomplish FAA's objectives in improving air safety while promoting the Administration's goals in aviation-related activities. The HRM activity includes administration of FAA employee recruitment, development, compensation (including Federal Employee Compensation Program payments and unemployment compensation), training, and labor-management relations programs. Key activities funded within the HRM are: conduct and administration of FAA's technical and management training programs; recruitment and placement

ment of technical and administrative personnel; initiation of labor relations activities with the goal of increased employee participation in work-related decisions and increased productivity; human relations improvement activities resulting from reviews of internal surveys and external recommendations; and administration of an Airway Science Grant program intended to foster and encourage industry participation in aviation education.

Headquarters administration.—This activity was funded as a separate appropriation in 1986 but is being proposed as an activity within this appropriation in 1987. It provides administrative services at the Washington Headquarters location of the Federal Aviation Administration. The subactivities financed by this activity are: direction and management, communications control, public affairs, legal, aviation policy and plans, accounting, budget, civil rights, international aviation, management systems and data systems.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1301-0-1-402 | | | |
| FEDERAL AVIATION ADMINISTRATION | | | |
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 | 1,538,984 | 1,539,729 | 1,559,143 |
| 11.3 | 20,771 | 20,425 | 20,657 |
| 11.5 | 169,453 | 179,055 | 182,592 |
| 11.8 | 3,507 | 911 | 924 |
| 11.9 | 1,732,715 | 1,740,120 | 1,763,316 |
| 12.1 | 296,713 | 302,270 | 275,161 |
| 13.0 | 2,555 | 2,400 | 2,400 |
| 21.0 | 63,331 | 72,137 | 85,507 |
| 22.0 | 19,169 | 19,112 | 18,530 |
| 23.1 | 25,298 | 26,419 | 29,587 |
| 23.2 | 28,042 | 13,252 | 7,144 |
| 23.3 | 92,585 | 120,459 | 124,933 |
| 24.0 | 11,013 | 11,630 | 12,080 |
| 25.0 | 199,556 | 220,678 | 239,213 |
| 26.0 | 68,269 | 62,423 | 62,881 |
| 31.0 | 16,795 | 15,300 | 11,342 |
| 32.0 | 623 | 275 | 255 |
| 42.0 | 206 | 202 | 204 |
| 99.0 | 2,556,870 | 2,606,677 | 2,632,553 |
| 99.0 | 31,762 | 32,000 | 32,000 |
| ALLOCATION TO DEPARTMENT OF DEFENSE | | | |
| 23.3 | 156,243 | 157,700 | 167,227 |
| 99.9 | 2,744,875 | 2,796,377 | 2,831,780 |

Personnel Summary

| | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Direct: | | | |
| Total number of full-time permanent positions | 45,376 | 45,315 | 44,529 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 43,956 | 43,711 | 42,740 |
| Full-time equivalent of overtime and holiday hours | 1,295 | 1,229 | 1,228 |
| Reimbursable: | | | |
| Total number of full-time permanent positions | 460 | 460 | 427 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 323 | 446 | 405 |
| Full-time equivalent of overtime and holiday hours | 8 | 8 | 7 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1301-6-1-402 | | | |
| Program by activities: | | | |
| 10.00 | | -99,459 | |
| Financing: | | | |
| 40.00 | | -99,459 | |
| Relation of obligations to outlays: | | | |
| 71.00 | | -99,459 | |
| 72.40 | | | -10,206 |
| 74.40 | | 10,206 | |
| 90.00 | | -89,253 | -10,206 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

FACILITIES, ENGINEERING AND DEVELOPMENT

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1303-0-1-402 | | | |
| Program by activities: | | | |
| 00.01 | 61 | | |
| 00.02 | 450 | 2,382 | |
| 10.00 | 511 | 2,382 | |
| Financing: | | | |
| 21.40 | -2,893 | -2,382 | |
| 24.40 | 2,382 | | |
| 39.00 | | | |
| Relation of obligations to outlays: | | | |
| 71.00 | 511 | 2,382 | |
| 72.40 | 5,383 | 2,306 | |
| 74.40 | -2,306 | | |
| 90.00 | 3,588 | 4,688 | |

Facilities, engineering and development activities are now appropriated from the airport and airway trust fund in accord with section 506(b) of the Airport and Airway Improvement Act of 1982.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---------------------|-------------|-----------|-----------|
| 69-1303-0-1-402 | | | |
| 11.8 | 35 | | |
| 25.0 | 290 | 2,382 | |
| 26.0 | 56 | | |
| 31.0 | 132 | | |
| 99.9 | 511 | 2,382 | |

OPERATION AND MAINTENANCE, METROPOLITAN WASHINGTON AIRPORTS

For expenses incident to the care, operation, maintenance, improvement, and protection of the federally-owned civil airports in the vicinity of the District of Columbia, including purchase of [eight] six passenger motor vehicles for police use, for replacement only; purchase, cleaning, and repair of uniforms; and arms and ammunition, [\$34,100,000] \$34,600,000: Provided, That there may be credited to this appropriation funds received from air carriers, concessionaires, and non-federal tenants sufficient to cover utility and fuel costs which are in excess of \$6,682,000: Provided further, That there may be

General and special funds—Continued

OPERATION AND MAINTENANCE, METROPOLITAN WASHINGTON AIRPORTS—Continued

credited to this appropriation funds received from States, counties, municipalities, other public authorities, or private sources, for expenses incurred in the maintenance and operation of the federally-owned civil airports. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. | |
|-------------------------------------|---|-----------|-----------|--------|
| Program by activities: | | | | |
| Direct program: | | | | |
| Operating expenses: | | | | |
| 00.01 | Washington National Airport..... | 16,631 | 16,758 | 16,972 |
| 00.02 | Washington Dulles International Airport..... | 17,333 | 17,223 | 17,414 |
| 00.91 | Total operating expenses..... | 33,964 | 33,981 | 34,386 |
| Capital investment: | | | | |
| 01.01 | Washington National Airport..... | 1,160 | 48 | 139 |
| 01.02 | Washington Dulles International Airport..... | 1,241 | 71 | 75 |
| 01.91 | Total capital investment..... | 2,401 | 119 | 214 |
| 01.92 | Total direct program..... | 36,365 | 34,100 | 34,600 |
| 02.01 | Reimbursable program..... | 1,413 | 1,475 | 1,537 |
| 10.00 | Total obligations..... | 37,778 | 35,575 | 36,137 |
| Financing: | | | | |
| 11.00 | Offsetting collections from: Federal funds... | -1,413 | -1,475 | -1,537 |
| 22.40 | Unobligated balance transferred, net..... | -505 | | |
| 25.00 | Unobligated balance lapsing..... | 72 | | |
| 40.00 | Budget authority (appropriation) .. | 35,932 | 34,100 | 34,600 |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net..... | 36,365 | 34,100 | 34,600 |
| 72.40 | Obligated balance, start of year..... | 5,707 | 5,773 | 5,943 |
| 74.40 | Obligated balance, end of year..... | -5,773 | -5,943 | -6,343 |
| 77.00 | Adjustments in expired accounts..... | 143 | | |
| 90.00 | Outlays..... | 36,442 | 33,930 | 34,200 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | 35,932 | 34,100 | 34,600 |
| Outlays..... | 36,442 | 33,930 | 34,200 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -1,466 | |
| Outlays..... | | -1,245 | -188 |
| Total: | | | |
| Budget authority..... | 35,932 | 32,634 | 34,600 |
| Outlays..... | 36,442 | 32,685 | 34,012 |

This appropriation finances maintenance, operations, management, and capital investment costs for equipment and minor facility projects at the federally owned Washington National and Washington Dulles International Airports, which serve the Metropolitan Washington area.

The operation of the airports is conducted on a commercial basis with revenues derived from landing fees, concession activity, and lease arrangements being deposited as receipts in the general fund of the Treasury. The direct operating costs and capital investment are financed by direct appropriation.

In 1987, Washington National is expected to produce an operating profit of \$18.4 million and Washington

Dulles International an operating profit of \$4.6 million resulting in a combined operating profit of \$23.0 million. The deduction of \$8.7 million in depreciation and interest for the two airports results in a net profit of \$14.3 million.

The rate structures and concession arrangements are established so as to assure the recovery of operating costs, interest expenses, and an appropriate return on the Government's investment during the useful life of the airports.

The following table reflects activity at the airports:

| | 1985 actual | 1986 estimate | 1987 estimate |
|--|-------------|---------------|---------------|
| Washington National Airport: | | | |
| Passengers (thousands)..... | 14,906 | 15,204 | 15,508 |
| Air operations (thousands)..... | 330 | 340 | 350 |
| Air cargo (million pounds)..... | 143 | 148 | 154 |
| Freight..... | (42) | (43) | (44) |
| Mail..... | (101) | (105) | (110) |
| Washington Dulles International Airport: | | | |
| Passengers (thousands)..... | 4,510 | 5,412 | 6,765 |
| Domestic..... | (3,960) | (4,662) | (5,915) |
| International..... | (550) | (750) | (850) |
| Air operations (thousands)..... | 198 | 220 | 240 |
| Air cargo (million pounds)..... | 143 | 155 | 168 |
| Freight..... | (99) | (109) | (120) |
| Mail..... | (44) | (46) | (48) |

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. | |
|-------------------------|---|-----------|-----------|--------|
| Direct obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent..... | 15,895 | 17,323 | 17,012 |
| 11.3 | Other than full-time permanent..... | 504 | 229 | 212 |
| 11.5 | Other personnel compensation..... | 2,396 | 1,920 | 2,332 |
| 11.9 | Total personnel compensation..... | 18,795 | 19,472 | 19,556 |
| 12.1 | Personnel benefits: Civilian..... | 2,116 | 2,315 | 2,332 |
| 13.0 | Benefits for former personnel..... | 9 | | |
| 21.0 | Travel and transportation of persons..... | 182 | 142 | 142 |
| 22.0 | Transportation of things..... | 23 | 15 | |
| 23.2 | Rental payments to others..... | 200 | 209 | 200 |
| 23.3 | Communications, utilities, and miscellaneous charges..... | 5,948 | 5,647 | 5,647 |
| 24.0 | Printing and reproduction..... | 10 | 31 | |
| 25.0 | Other services..... | 3,650 | 3,112 | 3,332 |
| 26.0 | Supplies and materials..... | 3,310 | 3,032 | 3,112 |
| 31.0 | Equipment..... | 1,342 | 119 | 119 |
| 32.0 | Lands and structures..... | 774 | | |
| 42.0 | Insurance claims and indemnities..... | 6 | 6 | |
| 99.0 | Subtotal, direct obligations..... | 36,365 | 34,100 | 34,600 |
| 99.0 | Reimbursable obligations..... | 1,413 | 1,475 | 1,537 |
| 99.9 | Total obligations..... | 37,778 | 35,575 | 36,137 |

Personnel Summary

| | | | |
|---|-----|-----|-----|
| Total number of full-time permanent positions..... | 733 | 707 | 707 |
| Total compensable workyears: | | | |
| Full-time equivalent employment..... | 675 | 717 | 717 |
| Full-time equivalent of overtime and holiday hours..... | 92 | 69 | |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|------------------------|---------------------------------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 | Total obligations..... | -1,466 | |
| Financing: | | | |
| 40.00 | Budget authority (appropriation)..... | -1,466 | |

| Relation of obligations to outlays: | | | |
|-------------------------------------|----------------------------------|--------|------|
| 71.00 | Obligations incurred, net | -1,466 | |
| 72.40 | Obligated balance, start of year | | -221 |
| 74.40 | Obligated balance, end of year | 221 | 33 |
| 90.00 | Outlays | -1,245 | -188 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

CONSTRUCTION, METROPOLITAN WASHINGTON AIRPORTS

For necessary expenses for construction at the federally-owned civil airports in the vicinity of the District of Columbia, \$7,000,000, to remain available until September 30, [1988] 1989. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code 69-1333-0-1-402 | 1985 actual | 1986 est. | 1987 est. | |
|--|--|-----------|-----------|--------|
| Program by activities: | | | | |
| 00.01 | Washington National Airport | 5,922 | 11,551 | 6,050 |
| 00.02 | Washington Dulles International Airport | 4,831 | 1,745 | 950 |
| 10.00 | Total obligations | 10,753 | 13,296 | 7,000 |
| Financing: | | | | |
| 21.40 | Unobligated balance available, start of year | -7,460 | 6,296 | |
| 22.40 | Unobligated balance transferred, net | 910 | | |
| 24.40 | Unobligated balance available, end of year | 6,296 | | |
| 25.00 | Unobligated balance lapsing | 1 | | |
| 39.00 | Budget authority | 10,500 | 7,000 | 7,000 |
| Budget authority: | | | | |
| 40.00 | Appropriation | 13,000 | 7,000 | 7,000 |
| 41.00 | Transferred to other accounts | -2,500 | | |
| 43.00 | Appropriation (adjusted) | 10,500 | 7,000 | 7,000 |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net | 10,753 | 13,296 | 7,000 |
| 72.40 | Obligated balance, start of year | 16,258 | 9,256 | 5,052 |
| 74.40 | Obligated balance, end of year | -9,256 | -5,052 | -5,852 |
| 77.00 | Adjustments in expired accounts | -107 | | |
| 90.00 | Outlays | 17,648 | 17,500 | 6,200 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 10,500 | 7,000 | 7,000 |
| Outlays | 17,648 | 17,500 | 6,200 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -301 | |
| Outlays | | -130 | -60 |
| Total: | | | |
| Budget authority | 10,500 | 6,699 | 7,000 |
| Outlays | 17,648 | 17,370 | 6,140 |

This appropriation finances construction of major improvements and expansion of facilities at Washington National Airport and Washington Dulles International Airport. Projects are undertaken whenever necessary to ensure the capability of these airports to adequately, safely, and efficiently meet air travel needs of the public.

At Washington National Airport, funds are requested to rehabilitate airfield facilities and utility systems.

At Washington Dulles International Airport, funds are included to rehabilitate airfield facilities and utility systems.

Object Classification (in thousands of dollars)

| Identification code 69-1333-0-1-402 | 1985 actual | 1986 est. | 1987 est. |
|---|---|-----------|-----------|
| FEDERAL AVIATION ADMINISTRATION | | | |
| 23.3 | Communications, utilities, and miscellaneous charges | 46 | |
| 32.0 | Lands and structures | 8,275 | 12,121 |
| 99.0 | Subtotal obligations, Federal Aviation Administration | 8,321 | 12,121 |
| ALLOCATION TO FEDERAL HIGHWAY ADMINISTRATION | | | |
| 32.0 | Lands and structures | 2,432 | 1,175 |
| 99.9 | Total obligations | 10,753 | 13,296 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-1333-6-1-402 | 1985 actual | 1986 est. | 1987 est. |
|--|----------------------------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 | Total obligations | | -301 |
| Financing: | | | |
| 40.00 | Budget authority (appropriation) | | -301 |
| Relation of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net | | -301 |
| 72.40 | Obligated balance, start of year | | -171 |
| 74.40 | Obligated balance, end of year | | 171 |
| 90.00 | Outlays | | -130 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

AIRCRAFT PURCHASE LOAN GUARANTEE PROGRAM*

* See Part II for additional information.

The Secretary of Transportation may hereafter issue notes or other obligations to the Secretary of the Treasury, in such forms and denominations, bearing such maturities, and subject to such terms and conditions as the Secretary of the Treasury may prescribe. Such obligations may be issued to pay any necessary expenses required pursuant to any guarantee issued under the Act of September 7, 1957, Public Law 85-307, as amended (49 U.S.C. 1324 note). The aggregate amount of such obligations during fiscal year [1986] 1987 shall not exceed \$75,000,000 \$125,000,000. Such obligations shall be redeemed by the Secretary from appropriations authorized by this section. The Secretary of the Treasury shall purchase any such obligations, and for such purpose he may use as a public debt transaction the proceeds from the sale of any securities issued under the Second Liberty Bond Act, as now or hereafter in force. The purposes for which securities may be issued under such Act are extended to include any purchase of notes or other obligations issued under the subsection. The Secretary of the Treasury may sell any such obligations at such times and price and upon such terms and conditions as he shall determine in his discretion. All purchases, redemptions, and sales of such obligations by such Secretary shall be treated as public debt transactions of the United States. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code 69-1399-0-1-402 | 1985 actual | 1986 est. | 1987 est. |
|-------------------------------------|--|-----------|-----------|
| Program by activities: | | | |
| 10.00 | Total obligations (object class 33.0) | 13,985 | 195 |
| Financing: | | | |
| 21.40 | Unobligated balance available, start of year | -664 | |

General and special funds—Continued

AIRCRAFT PURCHASE LOAN GUARANTEE PROGRAM—Continued

Program and Financing (in thousands of dollars)—Continued

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1399-0-1-402 | | | |
| 47.00 Authority to borrow (97 Stat. 339) | 13,321 | 195 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 13,985 | 195 | |
| 72.47 Obligated balance, start of year | 3 | 118 | |
| 74.47 Obligated balance, end of year | -118 | | |
| 90.00 Outlays | 13,870 | 313 | |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|--|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 13,321 | 195 | |
| Outlays | 13,870 | 313 | |
| Supplemental under existing legislation: | | | |
| Budget authority | | 1,300 | |
| Outlays | | 1,300 | |
| Total: | | | |
| Budget authority | 13,321 | 1,495 | |
| Outlays | 13,870 | 1,613 | |

Status of Direct Loans (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1399-0-1-402 | | | |
| Position with respect to appropriations act limitation on obligations: | | | |
| 1111 Limitation on direct loans to the public | | | |
| Obligations exempt from limitation: | | | |
| 1132 Defaulted guaranteed loan claims | 13,264 | | |
| 1150 Total direct loan obligations | 13,264 | | |

Cumulative balance of direct loans outstanding:

| | | | |
|--|---------|--------|--------|
| 1210 Outstanding, start of year | 89,134 | 68,714 | 60,946 |
| 1232 Disbursements: Disbursements for guaranteed loan claims | 13,264 | | |
| 1264 Adjustment: Other adjustments, net | -33,684 | -7,768 | -5,680 |
| 1290 Outstanding, end of year | 68,714 | 60,946 | 55,266 |

Status of Guaranteed Loans (in thousands of dollars)

Cumulative balance of guaranteed loans outstanding:

| | | | |
|---|---------|---------|---------|
| 2210 Outstanding, start of year | 493,331 | 406,733 | 356,746 |
| 2251 Repayments: Repayments and prepayments | -75,382 | -49,987 | -46,111 |
| 2261 Adjustments: Termination for default that result in direct loans | -11,216 | | |
| 2290 Outstanding, end of year | 406,733 | 356,746 | 310,635 |

MEMORANDUM

| | | | |
|--|---------|---------|---------|
| 2299 U.S. contingent liability for guaranteed loans outstanding, end of year | 366,060 | 321,071 | 279,572 |
|--|---------|---------|---------|

This program is continuing only for the purpose of making payments to private lenders upon default of loans by air carriers.

Public enterprise funds:

AVIATION INSURANCE REVOLVING FUND

The Secretary of Transportation is hereby authorized to make such expenditures and investments, within the limits of funds available

pursuant to section 1306 of the Act of August 23, 1958, as amended (49 U.S.C. 1536), and in accordance with section 104 of the Government Corporation Control Act, as amended (31 U.S.C. 9104), as may be necessary in carrying out the program set forth in the budget for the current fiscal year for aviation insurance activities under said Act. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-4120-0-3-402 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | 91 | 147 | 1 |
| Financing: | | | |
| Offsetting collections from: | | | |
| 11.00 Federal funds | -2,830 | -3,208 | -3,311 |
| 14.00 Non-Federal sources | -10 | -10 | |
| Unobligated balance available, start of year: | | | |
| 21.98 Fund balance | -172 | -186 | -17 |
| 21.98 U.S. securities (par) | -30,875 | -33,610 | -36,610 |
| Unobligated balance available, end of year: | | | |
| 24.98 Fund balance | 186 | 172 | 17 |
| 24.98 U.S. securities (par) | 33,610 | 36,695 | 39,810 |
| 39.00 Budget authority | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | -2,750 | -3,071 | -3,111 |
| 72.98 Obligated balance, start of year: Fund balance | 16 | 10 | |
| 74.98 Obligated balance, end of year: Fund balance | -10 | -10 | -1 |
| 90.00 Outlays | -2,744 | -3,071 | -3,111 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | | | |
| Outlays | -2,744 | -3,071 | -3,111 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | | |
| Outlays | | -4 | |
| Total: | | | |
| Budget authority | | | |
| Outlays | -2,744 | -3,075 | -3,111 |

The fund currently provides direct support for the aviation insurance program authorized under title XII of the Federal Aviation Act.

Income to the fund is derived from premium deposit for premium insurance coverage issued, income from authorized investments, and binder fees for nonpremium coverage issued. The binders provide aviation insurance coverage for U.S. air carrier aircraft used in connection with certain Government contract operations entered into by the Department of Defense (DOD) and Department of State (DOS). There exist indemnity agreements under which the DOD and DOS agree to reimburse the Department of Transportation for all payments on account of losses to air carriers sustaining damage to their aircraft by an insured party with respect to the aircraft operating under contract to DOD and DOS.

Administrative costs are paid from the Aviation Insurance Revolving Fund, into which are credited receipts from premiums, salvage, and interest on assets of the fund (49 U.S.C. 1536).

Revenue and Expense (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|---------------------------|-------------|-----------|-----------|
| Administrative expenses: | | | |
| Revenue..... | 2,841 | 3,218 | 3,317 |
| Expense..... | -91 | -147 | -149 |
| Net operating income..... | 2,750 | 3,071 | 3,168 |

Financial Condition (in thousands of dollars)

| | 1984 actual | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-------------|-----------|-----------|
| Assets: | | | | |
| Fund balance with Treasury..... | 188 | 197 | 183 | 183 |
| U.S. securities (par)..... | 30,875 | 33,610 | 36,695 | 39,863 |
| Accounts receivable..... | | | | |
| Total..... | 31,063 | 33,807 | 36,878 | 40,046 |
| Liabilities: | | | | |
| Accounts payable..... | 16 | 10 | 10 | 10 |
| Government equity: | | | | |
| Retained earnings..... | 31,047 | 33,797 | 36,868 | 40,036 |
| Analysis of changes in Government equity: | | | | |
| Retained earnings: | | | | |
| Start of year..... | | 31,047 | 33,797 | 36,868 |
| Net income for year..... | | 2,750 | 3,071 | 3,168 |
| Total Government equity (end of year)..... | | 33,797 | 36,868 | 40,036 |

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-4120-0-3-402 | | | |
| 11.1 Personnel compensation: Full-time permanent..... | 78 | 83 | 85 |
| 12.1 Personnel benefits: Civilian..... | 7 | 9 | 9 |
| 21.0 Travel and transportation of persons..... | | 2 | 2 |
| 25.0 Other services..... | | 2 | 2 |
| 26.0 Supplies and materials..... | 6 | 1 | 1 |
| 42.0 Insurance claims and indemnities..... | | 50 | 50 |
| 99.9 Total obligations..... | 91 | 147 | 149 |

Personnel Summary

| | | | |
|---|---|---|---|
| Total number of full-time permanent positions..... | 2 | 2 | 2 |
| Total compensable workyears: Full-time equivalent employment..... | 2 | 2 | 2 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-4120-6-3-402 | | | |
| Program by activities: | | | |
| 10.00 Total obligations..... | | -4 | |
| Financing: | | | |
| 21.98 Unobligated balance available, start of year: U. S. securities (par)..... | | | -4 |
| 24.98 Unobligated balance available, end of year: U. S. securities (par)..... | | 4 | 4 |
| 39.00 Budget authority..... | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -4 | |
| 90.00 Outlays..... | | -4 | |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

Trust Funds

AIRPORT AND AIRWAY TRUST FUND

Amounts Available for Appropriation (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|------------|------------|
| Unappropriated balance, start of year..... | 4,565,251 | 4,595,724 | 6,033,875 |
| Revenue..... | 3,597,636 | 3,716,900 | 3,246,900 |
| Total available for appropriation..... | 8,162,887 | 8,312,624 | 9,280,775 |
| Appropriations: | | | |
| Facilities and equipment..... | -1,358,000 | -993,000 | -825,000 |
| Research, engineering and development.. | -265,000 | -190,000 | -134,500 |
| Grants-in-aid for airports: | | | |
| Appropriation to liquidate contract authority..... | -810,000 | -693,000 | -800,000 |
| Trust fund share of FAA operations..... | -1,110,000 | -446,000 | -276,750 |
| Proposed legislation: Operations..... | | | -1,823,085 |
| Department of Commerce: NOAA, operations, research and facilities..... | -27,000 | -28,000 | -77,500 |
| Reduction pursuant to P.L. 99-177..... | | 71,251 | |
| Total appropriations..... | -3,570,000 | -2,278,749 | -3,936,835 |
| Adjustments in expired accounts, return to unappropriated receipts..... | 2,837 | | |
| Unappropriated balance, end of year..... | 4,595,724 | 6,033,875 | 5,343,940 |

The Tax Equity and Fiscal Responsibility Act of 1982 (26 U.S.C. 9502) provides for the revenues received in the Treasury from the 8 percent passenger ticket tax and certain other taxes paid by airport and airway users to be transferred from the general fund of the Treasury to the Airport and Airway trust fund. The Secretary of the Treasury estimates the amount to be so transferred. In turn, appropriations are authorized from this fund to meet obligations for airport planning and development and noise compatibility planning and programs; facilities and equipment; research, engineering and development; and a portion of operations.

The status of the fund is as follows (in thousands of dollars):

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Unexpended balance brought forward: | | | |
| U.S. securities (par)..... | 6,433,702 | 7,410,218 | 8,939,003 |
| Cash..... | 7,134 | 15,289 | 10,000 |
| Balance of fund, start of year..... | 6,440,836 | 7,425,507 | 8,949,003 |
| Cash income during the year: | | | |
| Government receipts: | | | |
| From excise taxes: | | | |
| Passenger ticket tax..... | 2,508,777 | 2,606,900 | 2,881,500 |
| Waybill tax..... | 134,055 | 144,000 | 154,000 |
| Fuel tax..... | 104,557 | 113,600 | 118,300 |
| International departure tax..... | 108,290 | 94,000 | 98,100 |
| Refund of taxes..... | -4,341 | -4,500 | -5,000 |
| Intrabudgetary transaction: Interest on investments..... | 746,298 | 762,900 | 790,000 |
| Proposed legislation: Cancellation of interest transaction..... | | | -790,000 |
| Total annual income..... | 3,597,636 | 3,716,900 | 3,246,900 |
| Cash outgo during the year: | | | |
| Federal Aviation Administration: | | | |
| Grants-in-aid for airports..... | 788,657 | 781,000 | 844,000 |
| Facilities and equipment..... | 425,416 | 710,000 | 840,000 |
| Research, engineering and development..... | 261,894 | 262,000 | 181,000 |
| Operations..... | 1,109,998 | 446,000 | 276,750 |

AIRPORT AND AIRWAY TRUST FUND—Continued

| | | | |
|---|------------|------------|------------|
| Proposed legislation: Operations..... | | | 1,823,085 |
| Department of Commerce: NOAA..... | 27,000 | 28,000 | 77,500 |
| Reduction pursuant to P.L. 99-177..... | | -33,596 | -27,767 |
| Total annual outgo..... | 2,612,965 | 2,193,404 | 4,014,568 |
| Unexpended balance carried forward: | | | |
| U.S. securities (par)..... | 7,410,218 | 8,939,003 | |
| Treasury balance..... | 15,289 | 10,000 | 8,181,335 |
| Balance of fund, end of year..... | 7,425,507 | 8,949,003 | 8,181,335 |
| Commitments against unexpended balances: | | | |
| Appropriated but not expended..... | -2,829,783 | -2,952,783 | -2,847,283 |
| Reduction pursuant to P.L. 99-177..... | | 37,462 | 9,888 |
| Committed to future liquidating cash appropriations: | | | |
| To liquidate outstanding obligations (contract authority)..... | -1,401,210 | -1,633,210 | -1,545,710 |
| To reserve funds equivalent to cumulative shortfalls below minimum annual authorization levels (Public Law 97-248)..... | -1,155,401 | -1,656,401 | -2,363,601 |
| Reduction pursuant to P.L. 99-177..... | | -71,251 | -71,251 |
| Uncommitted balance, end of year..... | 2,039,113 | 2,672,820 | 1,363,378 |

Primarily because of penalty provisions built into the 1982 Act, actual appropriations from the Trust Fund for FAA's cost of operating the airport and airway system has been substantially lower than amount contemplated in the Act and have resulted in overall user share of system cost well below the 85 percent share appropriately allocated to non-Federal users.

Legislation is proposed to be effective in 1987, to amend the provisions governing Trust Fund share of FAA operating cost to provide for a flat 75 percent recovery rate, to eliminate the penalties, and to suspend investment of the fund in interest bearing securities.

GRANTS-IN-AID FOR AIRPORTS (LIQUIDATION OF CONTRACT AUTHORIZATION) (AIRPORT AND AIRWAY TRUST FUND)

For liquidation of obligations incurred for airport planning and development under section 14 of Public Law 91-258, as amended, and under other law authorizing such obligations, and obligations for noise compatibility planning and programs, **[\$698,000,000]** \$800,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the planning or execution of programs the commitments for which are in excess of **[\$925,000,000]** \$712,500,000 in fiscal year **[1986]** 1987 for grants-in-aid for airport planning and development, and noise compatibility planning and programs, notwithstanding section 506(e)(4) of the Airport and Airway Improvement Act of 1982. (*Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.*)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|------------|------------|
| 69-8106-0-7-402 | | | |
| Program by activities: | | | |
| 10.00 Total obligations (object class 41.0)..... | 934,756 | 925,000 | 712,500 |
| Financing: | | | |
| 17.00 Recovery of prior year obligations.. | -10,119 | | |
| 21.49 Unobligated balance available, start of year: Contract authority | -264,152 | -326,515 | -418,515 |
| 24.49 Unobligated balance available, end of year: Contract authority..... | 326,515 | 418,515 | 723,215 |
| 39.00 Budget authority..... | 987,000 | 1,017,000 | 1,017,200 |
| Budget authority: | | | |
| Current: | | | |
| 40.00 Appropriation..... | 810,000 | 693,000 | 800,000 |
| 40.49 Portion applied to liquidate contract authority..... | -810,000 | -693,000 | -800,000 |
| 43.00 Appropriation (adjusted)..... | | | |
| 69.10 Contract authority (96 Stat 676, 677) Permanent..... | 987,000 | 1,017,000 | 1,017,200 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 934,756 | 925,000 | 712,500 |
| Obligated balance, start of year: | | | |
| 72.40 Appropriation..... | 125,296 | 146,639 | 58,639 |
| 72.49 Contract authority..... | 1,290,794 | 1,401,210 | 1,633,210 |
| Obligated balance, end of year: | | | |
| 74.40 Appropriation..... | -146,639 | -58,639 | -14,639 |
| 74.49 Contract authority..... | -1,401,210 | -1,633,210 | -1,545,710 |
| 77.00 Adjustments in expired accounts..... | -4,222 | | |
| 78.00 Adjustments in unexpired accounts..... | -10,119 | | |
| 90.00 Outlays..... | 788,657 | 781,000 | 844,000 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| (in thousands of dollars) | | | |
| Enacted/requested | | | |
| Budget authority..... | 987,000 | 1,017,000 | 1,017,200 |
| Outlays..... | 788,657 | 781,000 | 844,000 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | 43,731 | |
| Outlays..... | | -5,091 | -16,300 |
| Total: | | | |
| Budget authority..... | 987,000 | 973,269 | 1,017,200 |
| Outlays..... | 788,657 | 775,909 | 827,600 |

Status of Unfunded Contract Authority (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8106-0-7-402 | | | |
| Unfunded balance, start of year..... | 1,554,946 | 1,727,725 | 2,007,946 |
| Contract authority..... | 987,000 | 1,017,000 | 1,017,200 |
| Reduction pursuant to P.L. 99-177: Contract authority..... | | -43,731 | |
| Unfunded adjustments in expired accounts..... | -4,222 | | |
| Appropriation to liquidate contract authority..... | -810,000 | -693,000 | -800,000 |
| Unfunded balance, end of year..... | 1,727,725 | 2,007,994 | 2,225,146 |

The Airport and Airway Improvement Act of 19 (Public Law 97-248, as amended) authorizes the Secretary of Transportation to incur obligations for grant airport planning and development, and noise compatibility planning and programs through 1987. Obligations in 1987 are proposed to be \$712,500 thousand.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | | -39,775 | |
| Financing: | | | |
| 21.49 Unobligated balance available, start of year: Contract authority..... | | | 3,956 |
| 24.49 Unobligated balance available, end of year: Contract authority..... | | -3,956 | -3,956 |
| 49.10 Budget authority (contract authority)..... | | -43,731 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -39,775 | |
| Obligated balance, start of year: | | | |
| 72.40 Appropriation..... | | | 5,091 |
| 72.49 Contract authority..... | | -39,775 | |
| Obligated balance, end of year: | | | |
| 74.40 Appropriation..... | | -5,091 | -21,423 |
| 74.49 Contract authority..... | | 39,775 | 39,775 |
| 90.00 Outlays..... | | -5,091 | -16,332 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

FACILITIES AND EQUIPMENT (AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for acquisition, establishment, and improvement by contract or purchase, and hire of air navigation and experimental facilities, including initial acquisition of necessary sites by lease or grant; engineering and service testing including construction of test facilities and acquisition of necessary sites by lease or grant; and construction and furnishing of quarters and related accommodations of officers and employees of the Federal Aviation Administration stationed at remote localities where such accommodations are not available; to be derived from the Airport and Airway Trust Fund and to remain available until September 30, [1990, \$993,000,000] 1991, \$825,000,000. *Provided*, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred in the establishment and modernization of air navigation facilities: *Provided further*, That of the funds available under this head, \$10,000,000 shall be available for the Secretary of Transportation to enter into grant agreements with universities or colleges to conduct demonstration projects in the development, advancement, or expansion of airway science curriculum programs, and such funds, which shall remain available until expended, shall be made available under such terms and conditions as the Secretary of Transportation may prescribe, to such universities or colleges for the purchase or lease of buildings and associated facilities, instructional materials, or equipment to be used in conjunction with airway science curriculum programs]. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 Air route traffic control centers..... | 511,171 | 176,204 | 224,176 |
| 00.02 Airport traffic control towers..... | 389,277 | 282,645 | 362,148 |
| 00.03 Flight service facilities..... | 73,465 | 136,821 | 35,645 |
| 00.04 Air navigation facilities..... | 93,507 | 92,279 | 154,825 |
| 00.05 Housing, utilities, and miscellaneous facilities..... | 122,236 | 122,911 | 157,097 |
| 00.06 Aircraft and related equipment.. | 1,415 | 56,006 | 19,520 |
| 00.07 Development, test, and evaluation facilities..... | 8,475 | 18,409 | 16,489 |

| | | | |
|---|------------|------------|------------|
| 00.91 Total direct program..... | 1,199,546 | 880,000 | 969,900 |
| 01.01 Reimbursable program..... | 7,666 | 11,000 | 11,000 |
| 10.00 Total obligations..... | 1,207,212 | 891,000 | 980,900 |
| Financing: | | | |
| Offsetting collections from: | | | |
| 11.00 Federal funds..... | -5,328 | -7,650 | -7,650 |
| 14.00 Non-Federal sources..... | -2,338 | -3,350 | -3,350 |
| 21.40 Unobligated balance available, start of year..... | -1,113,888 | -1,270,161 | -1,368,161 |
| 22.40 Unobligated balance transferred, net..... | | 15,000 | |
| 24.40 Unobligated balance available, end of year..... | 1,270,161 | 1,368,161 | 1,223,261 |
| 25.00 Unobligated balance lapsing..... | 2,181 | | |
| 40.00 Budget authority (appropriation)..... | 1,358,000 | 993,000 | 825,000 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 1,199,546 | 880,000 | 969,900 |
| 72.40 Obligated balance, start of year..... | 452,796 | 1,226,129 | 1,396,129 |
| 74.40 Obligated balance, end of year..... | -1,226,129 | -1,396,129 | -1,526,029 |
| 77.00 Adjustments in expired accounts..... | -796 | | |
| 90.00 Outlays..... | 425,416 | 710,000 | 840,000 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | (in thousands of dollars) | | |
|------------------------------------|---------------------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Enacted/requested: | | | |
| Budget authority..... | 1,358,000 | 993,000 | 825,000 |
| Outlays..... | 425,416 | 710,000 | 840,000 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -42,699 | |
| Outlays..... | | -4,180 | -7,974 |
| Total: | | | |
| Budget authority..... | 1,358,000 | 950,301 | 825,000 |
| Outlays..... | 425,416 | 705,820 | 832,026 |

Under this appropriation, the Federal airway system is improved by the installation of new equipment and the construction and modernization of facilities to keep pace with aeronautical activity. The appropriation also finances major capital investments required by other agency programs, such as aircraft for flight inspection of facilities, and related training, and experimental facilities for the engineering and development program. The operating costs of facilities procured under this appropriation are financed under the Operations appropriation.

The funding requested for 1987 is in accordance with the Federal Aviation Administration's comprehensive plan for modernizing and improving air traffic control and airway facilities services.

Budget activities include:

Air route traffic control centers.—Long-range radar, communications and automation equipment provide air traffic controllers information on aircraft positions and weather at distances up to 185 miles.

Airport traffic control towers.—Terminal area radar, doppler weather radar, communications and automation equipment aid air traffic controllers in handling air traffic at distances up to 60 miles.

Flight service facilities.—Flight service stations and associated facilities provide flight assistance to pilots, particularly general aviation pilots.

Air navigation facilities.—Very high, medium and low frequency facilities are used to define airways and air routes and provide distance, direction and weather

FACILITIES AND EQUIPMENT (AIRPORT AND AIRWAY TRUST FUND)—
Continued

information to pilots. Precision landing systems, distance measuring equipment and visual lighting aids assist pilots in making final approaches to airport runways.

Housing, utilities, and miscellaneous facilities.—This activity includes general facility support requirements which apply to a wide range of FAA installations.

Aircraft and related equipment.—This activity includes the procurement, modification and/or replacement of aircraft and equipment both to inspect the accuracy and other characteristics of navigation and traffic control aids from the air and to conduct research and development activities.

Development, test and evaluation facilities.—This activity provides for leasing and improvements of the plant and facilities at the Federal Aviation Administration Technical Center in Atlantic City, NJ.

Object Classification (in thousands of dollars)

| Identification code | 69-8107-0-7-402 | 1985 actual | 1986 est. | 1987 est. |
|--------------------------------|--|-------------|-----------|-----------|
| Direct obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 35,573 | 35,139 | 29,872 |
| 11.3 | Other than full-time permanent | 343 | 339 | 288 |
| 11.5 | Other personnel compensation | 2,724 | 2,691 | 2,287 |
| 11.8 | Special personal services payments | 56 | 55 | 47 |
| 11.9 | Total personnel compensation | 38,696 | 38,224 | 32,494 |
| 12.1 | Personnel benefits: Civilian | 5,465 | 5,398 | 4,589 |
| 21.0 | Travel and transportation of persons | 9,639 | 9,520 | 12,706 |
| 22.0 | Transportation of things | 1,874 | 2,575 | 2,550 |
| 23.2 | Rental payments to others | 5,577 | 5,600 | 13,968 |
| 23.3 | Communications, utilities, and miscellaneous charges | 7,767 | 2,990 | 10,905 |
| 24.0 | Printing and reproduction | 207 | 163 | 182 |
| 25.0 | Other services | 336,230 | 150,224 | 153,729 |
| 26.0 | Supplies and materials | 33,512 | 11,101 | 12,900 |
| 31.0 | Equipment | 696,108 | 605,412 | 677,473 |
| 32.0 | Lands and structures | 61,099 | 39,793 | 48,404 |
| 41.0 | Grants, subsidies, and contributions | 3,250 | 9,000 | |
| 42.0 | Insurance claims and indemnities | 122 | | |
| 99.0 | Subtotal, direct obligations | 1,199,546 | 880,000 | 969,900 |
| 99.0 | Reimbursable obligations | 7,666 | 11,000 | 11,000 |
| 99.9 | Total obligations | 1,207,212 | 891,000 | 980,900 |

Personnel Summary

| | | | |
|--|-------|-------|-------|
| Direct: | | | |
| Total number of full-time permanent positions | 962 | 962 | 1,162 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 1,117 | 1,134 | 964 |
| Full-time equivalent of overtime and holiday hours | 31 | 42 | 34 |
| Reimbursable: | | | |
| Total number of full-time permanent positions | 53 | 53 | 53 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 41 | 53 | 53 |
| Full-time equivalent of overtime and holiday hours | 1 | 1 | 2 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 69-8107-6-7-402 | 1985 actual | 1986 est. | 1987 est. |
|--|--|-------------|-----------|-----------|
| Program by activities: | | | | |
| 10.00 | Total obligations | | -14,688 | -13.8 |
| Financing: | | | | |
| 21.40 | Unobligated balance available, start of year | | | 28.0 |
| 24.40 | Unobligated balance available, end of year | | -28,011 | -14.1 |
| 40.00 | Budget authority (appropriation) | | -42,699 | |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net | | -14,688 | -13.8 |
| 72.40 | Obligated balance, start of year | | | -10.5 |
| 74.40 | Obligated balance, end of year | | 10,508 | 16.4 |
| 90.00 | Outlays | | -4,180 | -7.9 |

These reductions are required by the Balance Budget and Emergency Deficit Control Act of 1988 (Public Law 99-177).

RESEARCH, ENGINEERING AND DEVELOPMENT (AIRPORT AND AIRWAY TRUST FUND)

[(INCLUDING TRANSFER OF FUNDS)]

For necessary expenses, not otherwise provided for, for research engineering and development, in accordance with the provisions of the Federal Aviation Act (49 U.S.C. 1301-1542), including construction of experimental facilities and acquisition of necessary sites by lease or grant, \$134,500,000, to be derived from the Airport and Airway Trust Fund and to remain available until expended [\$190,000,000, together with \$15,000,000 to be transferred from unobligated balances of "Facilities and equipment", of which \$3,036,412 shall be available for icing and related next generation weather radar atmospheric research to be conducted by the University of North Dakota, \$2,000,000 shall be available for the Center for Research and Training in Information-based Aviation and Transportation Management at Barringer University and \$2,000,000 shall be available for the Institute for Aviation Safety Research at Wichita State University]: *Provided* That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred for research, engineering and development. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 69-8108-0-7-402 | 1985 actual | 1986 est. | 1987 est. |
|-------------------------------------|--|-------------|-----------|-----------|
| Program by activities: | | | | |
| Direct program: | | | | |
| 00.01 | Air traffic control | 66,102 | 57,390 | 64,760 |
| 00.02 | Advanced computer | 168,255 | 99,811 | 22,890 |
| 00.03 | Navigation | 7,010 | 3,890 | 6,210 |
| 00.04 | Aviation weather | 13,338 | 9,400 | 12,810 |
| 00.05 | Aviation medicine | 3,519 | 4,793 | 3,767 |
| 00.06 | Aircraft safety | 17,224 | 28,496 | 22,440 |
| 00.07 | Environment | 1,938 | 2,094 | 1,600 |
| 00.91 | Total direct program | 277,386 | 205,874 | 134,500 |
| 01.01 | Reimbursable program | 450 | 1,800 | 1,800 |
| 10.00 | Total obligations | 277,836 | 207,674 | 136,300 |
| Financing: | | | | |
| Offsetting collections from: | | | | |
| 11.00 | Federal funds | -400 | -1,200 | -1,200 |
| 14.00 | Non-Federal sources | -50 | -600 | -600 |
| 21.40 | Unobligated balance available, start of year | -13,260 | -874 | |
| 22.40 | Unobligated balance transferred, net | | -15,000 | |
| 24.40 | Unobligated balance available, end of year | 874 | | |
| 40.00 | Budget authority (appropriation) | 265,000 | 190,000 | 134,500 |

| | | | | |
|-------------------------------------|--|----------|----------|---------|
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net | 277,386 | 205,874 | 134,500 |
| 72.40 | Obligated balance, start of year | 170,488 | 185,980 | 129,854 |
| 74.40 | Obligated balance, end of year | -185,980 | -129,854 | -83,354 |
| 90.00 | Outlays | 261,894 | 262,000 | 181,000 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| (In thousands of dollars) | | | | |
|---|-------------|---------------|---------------|--|
| | 1985 actual | 1986 estimate | 1987 estimate | |
| Enacted/requested: | | | | |
| Budget authority | 265,000 | 190,000 | 134,500 | |
| Outlays | 261,894 | 262,000 | 181,000 | |
| Reduction pursuant to P.L. 99-177: | | | | |
| Budget authority | | -8,170 | | |
| Outlays | | -4,136 | -3,268 | |
| Total: | | | | |
| Budget authority | 265,000 | 181,830 | 134,500 | |
| Outlays | 261,894 | 257,864 | 177,732 | |

The FAA conducts engineering and development programs to improve the national air traffic control system and to increase its safety, productivity and capacity to meet the expected air traffic demands of the future. The agency also administers aviation medical research aimed at increasing the personal effectiveness of air traffic controllers and the safety of aircrewmembers.

These programs are conducted by the agency's technical personnel, and through contracts with qualified private firms, universities, individuals and other Government agencies.

Air traffic control.—Existing technology is applied to air traffic control problems to keep the current system operating safely and system improvements are made to increase the productivity and capacity of the current system. These improvements include the development and implementation of a collision avoidance capability which operates independently of the ATC system and development of a communications switching system for improved voice communications between controllers within an ATC facility, between controllers at adjacent facilities, and between controllers and pilots over air-ground radio outlets.

This program also includes activities designed to insure that the air traffic control system will satisfy the demands of the 1990's, particularly those affecting the interface between pilots and traffic controllers. This work includes developing new traffic control concepts; assessing their technical, economic, and operational feasibility and conducting selected feasibility demonstrations.

Advanced computer.—This activity modernizes the air traffic control system by providing for an advanced automation system to accommodate the demand for air traffic services forecasted for the post-1990's. Program efforts include design, development, and testing of a host computer and advanced automation system.

Navigation.—This activity provides for modernization, expansion, and improvement of the common navigation system facilities. The requested funds will support research and development activities for enroute navigation to assess and develop standards for candidate navigation systems for use in the post-1995 period.

Aviation weather.—This activity provides for a program, coordinated with the Departments of Defense and Commerce, to modernize the acquisition, processing, dissemination, and display of weather information tailored

to the needs of aviation users. Development of weather radars and weather processors, and research into hazardous weather phenomena in terminal areas will be emphasized.

Aviation medicine.—Aeromedical research is directed toward identifying and eliminating those physiological and psychological factors harmful to personnel engaged in operating the traffic control system or which may jeopardize flight safety.

Aircraft safety.—This activity covers the research work in support of developing improved regulations to promote flight safety of civil aircraft. Development of systems and devices to prevent and deter sabotage in the civil air transportation system will also continue. Funds requested will continue research in fire safety, including cabin fires, and will continue research in air transport, general aviation, and flight safety.

Environment.—Principal efforts under this activity are the development of data to support rulemaking and to minimize the undesired environmental effects on the public attributable to the air transportation system. Research will continue into air pollution and noise control, primarily associated with certification and enforcement requirements.

Object Classification (in thousands of dollars)

| Identification code 69-8108-0-7-402 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 26,030 | 27,625 | 27,305 |
| 11.3 Other than full-time permanent | 676 | 681 | 684 |
| 11.5 Other personnel compensation | 560 | 483 | 558 |
| 11.8 Special personal services payments | 284 | 341 | 348 |
| 11.9 Total personnel compensation | 27,550 | 29,131 | 28,895 |
| 12.1 Personnel benefits: Civilian | 3,628 | 3,850 | 3,805 |
| 21.0 Travel and transportation of persons | 1,728 | 2,731 | 2,934 |
| 22.0 Transportation of things | 294 | 136 | 150 |
| 23.3 Communications, utilities, and miscellaneous charges | 204 | 205 | 224 |
| 24.0 Printing and reproduction | 38 | 4 | 4 |
| 25.0 Other services | 240,261 | 167,236 | 94,754 |
| 26.0 Supplies and materials | 1,522 | 1,830 | 2,336 |
| 31.0 Equipment | 2,161 | 751 | 1,398 |
| 99.0 Subtotal, direct obligations | 277,386 | 205,874 | 134,500 |
| 99.0 Reimbursable obligations | 450 | 1,800 | 1,800 |
| 99.9 Total obligations | 277,836 | 207,674 | 136,300 |

Personnel Summary

| | | | |
|--|-----|-----|-----|
| Direct: | | | |
| Total number of full-time permanent positions | 845 | 845 | 645 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 663 | 691 | 552 |
| Full-time equivalent of overtime and holiday hours | 7 | 7 | 7 |
| Reimbursable: | | | |
| Total number of full-time permanent positions | 8 | 8 | 8 |
| Total compensable workyears: Full-time equivalent employment | 6 | 13 | 13 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-8108-6-7-402 | 1985 actual | 1986 est. | 1987 est. |
|-------------------------------------|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations | | -8,170 | |

RESEARCH, ENGINEERING AND DEVELOPMENT (AIRPORT AND AIRWAY TRUST FUND)—Continued

[(INCLUDING TRANSFER OF FUNDS)]—Continued
Reduction Pursuant to Public Law 99-177—Continued

Program and Financing (in thousands of dollars)—Continued

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8108-6-7-402 | | | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -8,170 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -8,170 | |
| 72.40 Obligated balance, start of year | | | -4,034 |
| 74.40 Obligated balance, end of year | | 4,034 | 766 |
| 90.00 Outlays | | -4,136 | -3,268 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

TRUST FUND SHARE OF FAA OPERATIONS

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-8104-0-7-402 | | | |
| Program by activities: | | | |
| 10.00 Total obligations (object class 25.0) | 1,110,000 | 446,000 | 2,099,835 |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | 1,110,000 | 446,000 | 2,099,835 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 1,110,000 | 446,000 | 2,099,835 |
| 77.00 Adjustments in expired accounts | | -2 | |
| 90.00 Outlays | 1,109,998 | 446,000 | 2,099,835 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 1,110,000 | 446,000 | 2,099,835 |
| Outlays | 1,109,998 | 446,000 | 2,099,835 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -19,178 | |
| Outlays | | -19,178 | |
| Total: | | | |
| Budget authority | 1,110,000 | 426,822 | 2,099,835 |
| Outlays | 1,109,998 | 426,822 | 2,099,835 |

The Airport and Airway Improvement Act of 1982 authorizes use of the Airport and Airway trust fund as the source of financing a portion of FAA's operating costs. Cost allocation studies by the FAA show that, overall, 85 percent of the cost of the FAA's programs is attributable to the users contributing to the trust fund. In 1987, it is proposed accordingly that 75 percent of the Operations appropriation be financed from the trust fund to provide an overall 85 percent recovery. Appropriate legislation is proposed to effect this level of financing.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8104-6-7-402 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | -19,178 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -19,178 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -19,178 | |
| 90.00 Outlays | | -19,178 | |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

COAST GUARD

The following table depicts funding for all Coast Guard programs for which detail is furnished in the budget schedule including net transfers:

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|------------------|------------------|------------------|
| Budget authority: | | | |
| Operating expenses | 1,753,572 | 1,557,039 | 1,832,777 |
| Acquisition, construction and improvements | 374,200 | 207,956 | 77 |
| Alteration of bridges | 13,600 | 4,976 | |
| Retired pay | 330,800 | 341,489 | 354 |
| Reserve training | 59,223 | 58,857 | 65 |
| Research, development, test and evaluation | 23,000 | 20,097 | 20 |
| Boat safety | 28,625 | 42,900 | 45 |
| Pollution fund | 7,390 | 6,699 | 7 |
| Offshore oil pollution compensation fund | 1,000 | 957 | 1 |
| Deepwater port liability fund | 1,000 | 957 | 1 |
| Trust funds | 22 | 80 | |
| Total net | 2,592,432 | 2,242,007 | 2,403,777 |
| Program level: | | | |
| Operating expenses | 1,753,443 | 1,712,039 | 1,862,777 |
| Acquisition, construction, and improvements | 505,122 | 415,800 | 218 |
| Alteration of bridges | | 17,976 | |
| Retired pay | 326,788 | 341,489 | 354 |
| Reserve training | 59,813 | 58,857 | 65 |
| Research, development, test, and evaluation | 18,958 | 24,097 | 20 |
| Boat safety | 13,750 | 28,065 | 11 |
| Pollution fund | 4,447 | 6,699 | 7 |
| Offshore oil pollution compensation fund | 379 | 957 | 1 |
| Deepwater port liability fund | 1 | 957 | 1 |
| Supply fund | 69 | 105 | |
| Coast Guard yard fund | 6,207 | 14,763 | |
| Trust funds | -26 | 132 | |
| Total net | 2,688,951 | 2,621,936 | 2,55 |

¹ Includes proposed legislation.

² Includes reimbursements from Navy of \$115,000,000.

Federal Funds

General and special funds:

OPERATING EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for the operation and maintenance of Coast Guard, not otherwise provided for; purchase of not to exceed eight passenger motor vehicles for replacement only; and recreation and welfare, \$1,652,000,000, \$1,862,800,000, [of which \$10,000,000 shall be derived from unobligated balances of "Pollution fund" of which \$15,000,000 shall be expended from the Safety Account. Provided, That, notwithstanding any other

sion of law, of the funds available under this head \$789,800,000 shall be available for compensation and benefits of military personnel: *Provided further*, That, of the funds available under this head, not less than \$328,000,000 shall be available for drug enforcement activities: *Provided*, That the number of aircraft on hand at any one time shall not exceed two hundred and ten, exclusive of planes and parts stored to meet future attrition: *Provided further*, That none of the funds appropriated in this or any other Act shall be available for pay or administrative expenses in connection with shipping commissioners in the United States: *Provided further*, That none of the funds provided in this Act shall be available for expenses incurred for yacht documentation under 46 U.S.C. 12109 except to the extent fees are collected from yacht owners and credited to this appropriation. (*Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190; additional authorizing legislation to be proposed.*)

Program and Financing (in thousands of dollars)

| Verification code: 69-0201-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 Search and rescue | 385,909 | 387,153 | 388,929 |
| 00.02 Aids to navigation | 362,350 | 366,908 | 370,157 |
| 00.03 Marine safety | 114,901 | 117,033 | 119,516 |
| 00.04 Marine environmental protection | 112,594 | 112,541 | 114,142 |
| 00.05 Enforcement of laws and treaties | 531,716 | 542,150 | 582,878 |
| 00.06 Ice operations | 50,549 | 43,752 | 71,704 |
| 00.07 Defense readiness | 99,523 | | 101,450 |
| 00.08 Headquarters administration | 95,901 | 97,463 | 99,024 |
| 00.91 Total direct program | 1,753,443 | 1,667,000 | 1,847,800 |
| 01.01 Reimbursable program | 45,466 | 181,000 | 55,500 |
| 10.00 Total obligations | 1,798,908 | 1,848,000 | 1,903,300 |
| Financing: | | | |
| Offsetting collections from: | | | |
| 11.00 Federal funds | -43,268 | -159,280 | -48,840 |
| 13.00 Trust funds | -15,000 | -15,000 | -15,000 |
| 14.00 Non-Federal sources | -2,198 | -21,720 | -6,660 |
| 21.40 Unobligated balance available, start of year | | -15,000 | |
| 22.40 Unobligated balance transferred, net | | -10,000 | |
| 24.40 Unobligated balance available, end of year | 15,000 | | |
| 25.00 Unobligated balance lapsing | 129 | | |
| 39.00 Budget authority | 1,753,572 | 1,627,000 | 1,832,800 |
| Budget authority: | | | |
| 40.00 Appropriation | 1,740,000 | 1,627,000 | 1,832,800 |
| 40.47 Portion applied to debt reduction | -203 | | |
| 42.00 Transferred from other accounts | 13,775 | | |
| 43.00 Appropriation (adjusted) | 1,753,572 | 1,627,000 | 1,832,800 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 1,738,443 | 1,652,000 | 1,832,800 |
| 72.40 Obligated balance, start of year | 298,371 | 315,373 | 316,373 |
| 74.40 Obligated balance, end of year | -315,373 | -316,373 | -353,773 |
| 77.00 Adjustments in expired accounts | -19,755 | | |
| 90.00 Outlays | 1,701,686 | 1,651,000 | 1,795,400 |

Note—Includes \$25,500 thousand in 1987 for polar icebreaking activities previously financed from (in thousands of dollars):

| | 1985 | 1986 |
|--|--------|--------|
| National Science Foundation: United States Antarctic program | 13,800 | 13,900 |
| Department of Defense: Operation and maintenance, Navy | 10,500 | 10,700 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| (in thousands of dollars) | | | |
| Enacted/requested: | | | |
| Budget authority | 1,753,572 | 1,627,000 | 1,832,800 |
| Outlays | 1,701,686 | 1,651,000 | 1,795,400 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -69,961 | |
| Outlays | | -58,420 | -11,541 |

| | | | |
|------------------|-----------|-----------|-----------|
| Total: | | | |
| Budget authority | 1,753,572 | 1,557,039 | 1,832,800 |
| Outlays | 1,701,686 | 1,592,580 | 1,783,859 |

Funds for operating expenses represent more than two-thirds of the total Coast Guard program level. To carry out its unique duties as a peacetime operating agency and one of the military services, the Coast Guard employs multipurpose vessels, aircraft and shore units, strategically located along the coasts and inland waterways of the United States and in selected areas overseas. In 1987, search and rescue and enforcement of laws and treaties still account for the major portion of the budget. Amounts requested for the activities described below reflect the continued introduction into the operating forces of new equipment and capital facilities, including new medium endurance cutters, patrol vessels, and specialized law enforcement platforms. Although program increases are included for these new resources, they are partially offset by savings achieved through a reduction in older existing platforms. Additional savings will be achieved through continued management improvements, including productivity increases, improved efficiency of operations, and continued contracting out of support activities. The modest growth in the budget reflects built-in changes required by military pay raises, cost-of-living adjustments, and a return to the Coast Guard's budget base of the fixed costs associated with polar icebreaking operations.

Search and rescue.—The Coast Guard maintains a nationwide system of boats, aircraft, cutters, and rescue coordination centers on 24-hour alert capable of responding to notice of a distress incident.

WORKLOAD DATA

| | 1985 actual | 1986 estimate | 1987 estimate |
|--|-------------|---------------|---------------|
| Responses to search and rescue cases | 70,062 | 70,780 | 71,440 |
| Sorties | 86,665 | 87,500 | 88,370 |
| Time on sorties (hours) | 239,777 | 240,800 | 211,220 |
| Lives saved | 6,303 | 6,350 | 6,425 |
| Persons otherwise assisted | 136,341 | 137,341 | 139,020 |
| Property saved (in thousands of dollars) | 820,252 | 828,300 | 836,385 |
| Value of property assisted (in thousands of dollars) | 2,277,977 | 2,300,375 | 2,322,780 |

Aids to navigation.—A network of manned and unmanned aids to navigation is maintained along our coasts and on inland waterways to insure the safe passage of the mariner. Eight OMEGA stations, which are operated and funded to various levels by the seven host partner nations, provide navigational coverage to military and civilian ships and aircraft nearly worldwide. Loran C stations are operated in the United States and abroad to serve the needs of the armed services and marine and air commerce. Radiobeacons operated in the United States provide mariners with an all-weather, low user cost navigational system along our coasts and in the Great Lakes. Administrative control is exercised over the construction, maintenance, and operation of bridges across navigable waters of the United States.

WORKLOAD DATA

| | 1985 actual | 1986 estimate | 1987 estimate |
|---------------------------------------|-------------|---------------|---------------|
| Federal floating aids | 23,816 | 24,500 | 24,500 |
| Federal fixed aids | 22,712 | 22,500 | 22,500 |
| Private aids authorized | 43,317 | 43,000 | 43,000 |
| Bridge permits and regulations issued | 208 | 210 | 250 |

General and special funds—Continued

OPERATING EXPENSES—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

Marine safety.—The Coast Guard reviews plans and specifications for the construction or alteration of merchant vessels; conducts periodic inspections; investigates casualties; and sets standards, procedures, and practices under which merchant marine personnel are licensed and regulated. The Coast Guard operates a boat safety standards program and provides boater information and support of the Coast Guard Auxiliary and the individual State boating safety programs.

WORKLOAD DATA

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Commercial vessel safety: | | | |
| U.S. commercial vessels undergoing construction, average yearly totals | 365 | 425 | 460 |
| Seamen's documents | 30,000 | 32,000 | 33,000 |
| Merchant marine investigations | 12,894 | 11,750 | 12,000 |
| Seamen's license transactions | 40,000 | 42,000 | 45,000 |
| U.S. commercial vessels inspected | 40,387 | 43,500 | 47,000 |
| Foreign-flag vessels subject to SOLAS and U.S. safety requirements examined | 3,874 | 3,300 | 2,850 |
| Boating safety: | | | |
| Factory visits/inspections | 1,300 | 1,300 | 1,300 |
| Defect campaigns | 184 | 190 | 190 |
| Potential units affected | 588,000 | 593,000 | 598,000 |
| Persons enrolled in auxiliary boating education courses | 323,823 | 330,000 | 350,000 |
| Courtesy marine examinations (auxiliary) | 278,315 | 285,000 | 295,000 |

Marine environmental protection.—Under various laws, international agreements, and conventions, the Coast Guard is charged with the prevention of damage to the marine environment, response to pollution incidents, and the enhancement of environmental quality. Also, under statutory requirements the Coast Guard must safeguard and secure U.S. ports and waterways.

WORKLOAD DATA

| | 1985 actual | 1986 estimate | 1987 estimate |
|-------------------------------------|-------------|---------------|---------------|
| Environmental protection: | | | |
| Oil and hazardous substances spills | 12,738 | 12,900 | 13,100 |
| Cargo transfer operations monitored | 11,154 | 11,200 | 11,200 |
| Oil pollution removal operations | 314 | 320 | 320 |
| Aircraft Patrols (flight hours) | 3,226 | 3,000 | 2,750 |
| Port safety: | | | |
| Cargo vessels/barges boarded | 12,700 | 12,700 | 12,700 |
| Waterfront facilities inspected | 3,800 | 3,850 | 3,900 |
| Harbor patrols (operating hours) | 57,378 | 44,000 | 44,000 |

Enforcement of laws and treaties.—Maritime enforcement of laws and treaties is accomplished by cutters and aircraft conducting patrols to enforce international agreements and Federal laws on the high seas and waters over which the U.S. exercises jurisdiction. Fisheries enforcement involves living marine resources, while general law enforcement concerns drug smuggling, illegal immigration, hijacking/theft of vessels and other unlawful activities. In 1987 the drug law enforcement program will continue to receive major emphasis.

WORKLOAD DATA

| | 1985 actual | 1986 estimate | 1987 estimate |
|-------------------------|-------------|---------------|---------------|
| Cutter operating hours: | | | |
| Fisheries enforcement | 67,451 | 64,000 | 63,000 |
| General law enforcement | 208,988 | 210,000 | 217,500 |
| Aircraft flight hours: | | | |
| Fisheries enforcement | 8,650 | 8,950 | 9,350 |
| General law enforcement | 26,117 | 30,400 | 31,850 |
| Seizures—vessels | 186 | 230 | 250 |

Ice operations.—Polar ice operations are performed by icebreakers in support of programs of the Coast Guard and other agencies. In 1987 funding of the fixed costs of operating polar icebreakers is proposed to be transferred to the Coast Guard from the Navy and the National Science Foundation. Domestic ice operations are performed on the navigable waters of the United States. Also included are certain marine science activities.

WORKLOAD DATA

| | 1985 actual | 1986 estimate | 1987 estimate |
|--|-------------|---------------|---------------|
| Polar ice operations: Icebreaker deployment days | 731 | 770 | 7 |
| Domestic ice operations: | | | |
| Cutter operating hours | 3,113 | 4,400 | 4,4 |
| Aircraft reconnaissance operating hours | 153 | 250 | 2 |
| Vessels assisted | 394 | 440 | 4 |
| International Ice Patrol: Aircraft hours | 541 | 500 | 5 |

Defense readiness.—The Coast Guard operates as service in the Navy in time of war or national emergency at the direction of the President. During peacetime an effective state of military preparedness is maintained through individual and unit training, by joint naval training exercises, and by Coast Guard single and multiship operations.

WORKLOAD DATA

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Cutters participating in naval fleet training exercises | 38 | 45 | |
| Cutter days | 383 | 500 | |
| Joint service or Coast Guard exercises | 63 | 60 | |
| Units participating | 234 | 220 | |

Headquarters administration.—Headquarters administration provides funding for administrative services, the headquarters location of the Coast Guard, including executive direction; budget, planning and policy; command, control, communication, and operations; financial management; legal; engineering; civil rights; and personnel and health services for the Coast Guard.

Caphart family housing indebtedness.—The following schedule shows the status of the indebtedness assumed from the Department of Defense for transfer of family housing units for assignment as public quarters. The payment for 1985 completed the indebtedness repayment and concluded the debt reduction program.

STATUS OF INDEBTEDNESS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|-----------------------------|-------------|---------------|---------------|
| Total debt incurred | 3,556 | | |
| Debt retirement: | | | |
| (a) Prior years | —3,353 | | |
| (b) Current year | —203 | | |
| Cumulative total | —3,556 | | |
| Remaining debt, end of year | | | |

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0201-0-1-403 | | | |
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 114,262 | 103,475 | 110 |
| 11.3 Other than full-time permanent | 3,886 | 3,766 | 3 |
| 11.5 Other civilian personnel compensation | 3,078 | 2,988 | 2 |
| 11.7 Defense personnel | 728,721 | 715,706 | 766 |
| 11.8 Special personal services payments | 1,356 | 1,402 | 1 |
| 11.9 Total personnel compensation | 851,303 | 827,337 | 885 |

| Personnel benefits: | | | | |
|---------------------|--|-----------|-----------|-----------|
| 12.1 | Civilian | 18,246 | 15,802 | 16,755 |
| 12.2 | Military personnel | 45,405 | 49,185 | 52,684 |
| 21.0 | Travel and transportation of persons | 37,594 | 38,540 | 41,205 |
| 22.0 | Transportation of things | 30,611 | 29,418 | 31,695 |
| 23.1 | Standard level user charges | 21,424 | 21,875 | 21,629 |
| 23.2 | Rental payments to others | 20,359 | 24,079 | 24,079 |
| 23.3 | Communications, utilities, and miscellaneous charges | 71,157 | 66,859 | 71,786 |
| 24.0 | Printing and reproduction | 4,813 | 4,823 | 4,833 |
| 25.0 | Other services | 303,490 | 274,828 | 315,986 |
| 26.0 | Supplies and materials | 289,066 | 257,538 | 321,552 |
| 31.0 | Equipment | 54,505 | 51,212 | 54,986 |
| 32.0 | Lands and structures | 3,312 | 3,327 | 3,342 |
| 42.0 | Insurance claims and indemnities | 1,801 | 1,809 | 1,817 |
| 43.0 | Interest and dividends | 357 | 368 | 379 |
| 99.0 | Subtotal, direct obligations | 1,753,443 | 1,667,000 | 1,847,800 |
| 99.0 | Reimbursable obligations | 45,466 | 181,000 | 55,500 |
| 99.9 | Total obligations | 1,798,908 | 1,848,000 | 1,903,300 |

Personnel Summary

| Direct: | | | | |
|----------------------|--|--------|--------|--------|
| Military: | | | | |
| | Total number of full-time permanent positions | 37,202 | 34,639 | 37,054 |
| | Total compensable workyears: Full-time equivalent employment | 37,069 | 34,834 | 36,943 |
| Civilian: | | | | |
| | Total number of full-time permanent positions | 4,798 | 4,349 | 4,549 |
| | Total compensable workyears: | | | |
| | Full-time equivalent employment | 4,771 | 4,320 | 4,524 |
| | Full-time equivalent of overtime and holiday hours | 92 | 75 | 75 |
| Reimbursable: | | | | |
| Military: | | | | |
| | Total number of full-time permanent positions | 663 | 3,356 | 599 |
| | Total compensable workyears: Full-time equivalent employment | 641 | 3,356 | 599 |
| Civilian: | | | | |
| | Total number of full-time permanent positions | 20 | 261 | 20 |
| | Total compensable workyears: Full-time equivalent employment | 18 | 259 | 18 |

OPERATING EXPENSES

(Proposed for later transmittal, proposed legislation)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--------------------------------------|--|-----------|-----------|
| Program by activities: | | | |
| 10.00 | Total obligations (object class 25.0) | | 15,000 |
| Financing: | | | |
| 13.00 | Offsetting collections from: Trust funds | | -15,000 |
| 39.00 | Budget authority | | |
| Relations of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net | | |
| 90.00 | Outlays | | |

Legislation will be proposed to authorize an additional expenditure of \$15 million from Boat Safety to be used to offset Coast Guard Operating Expenses in 1987 for a total of \$30 million of which \$15 million is currently authorized for these purposes by Public Law 98-869.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|-------------------------------------|----------------------------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 | Total obligations | -69,961 | |
| Financing: | | | |
| 40.00 | Budget authority (appropriation) | -69,961 | |
| Relation of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net | -69,961 | |
| 72.40 | Obligated balance, start of year | | -11,541 |
| 74.40 | Obligated balance, end of year | 11,541 | |
| 90.00 | Outlays | -58,420 | -11,541 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

ACQUISITION, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of acquisition, construction, rebuilding, and improvement of aids to navigation, shore facilities, vessels, and aircraft, including equipment related thereto; to remain available until September 30, [1990, \$217,300,000; Provided, That the Secretary of Transportation shall issue regulations requiring that written warranties shall be included in all contracts with prime contractors for major systems acquisitions of the Coast Guard; Provided further, That any such written warranty shall not apply in the case of any system or component thereof that has been furnished by the Government to a contractor; Provided further, That the Secretary of Transportation may provide for a waiver of the requirements for a warranty where: (1) the waiver is necessary in the interest of the national defense or the warranty would not be cost effective; and (2) the Committees on Appropriations of the Senate and the House of Representatives are notified in writing of the Secretary's intention to waive and reasons for waiving such requirements; Provided further, That the requirements for such written warranties shall not cover combat damage] 1991, \$77,100,000. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190; additional authorizing legislation to be proposed.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. | |
|-------------------------------------|--|-----------|-----------|----------|
| Program by activities: | | | | |
| Direct program: | | | | |
| 00.01 | Search and rescue | 96,983 | 80,187 | 41,192 |
| 00.02 | Aids to navigation | 77,284 | 65,194 | 32,520 |
| 00.03 | Marine safety | 6,061 | 5,516 | 2,168 |
| 00.04 | Marine environmental protection | 10,608 | 9,253 | 4,336 |
| 00.05 | Enforcement of laws and treaties | 276,302 | 229,184 | 120,758 |
| 00.06 | Ice operations | 1,515 | 1,290 | 650 |
| 00.07 | Defense readiness | 36,369 | 28,176 | 15,176 |
| 00.91 | Total direct program | 505,122 | 418,800 | 216,800 |
| 01.01 | Reimbursable program | 10,561 | 11,200 | 11,200 |
| 10.00 | Total obligations | 515,683 | 430,000 | 228,000 |
| Financing: | | | | |
| 11.00 | Offsetting collections from: Federal sources | -9,108 | -11,200 | -11,200 |
| 17.00 | Recovery of prior year obligations | -6,250 | | |
| 21.40 | Unobligated balance available, start of year | -531,657 | -411,024 | -209,524 |
| 22.40 | Unobligated balance transferred, net | -5,740 | | |
| 24.40 | Unobligated balance available, end of year | 411,024 | 209,524 | 69,824 |
| 25.00 | Unobligated balance lapsing | 248 | | |
| 40.00 | Budget authority (appropriation) | 374,200 | 217,300 | 77,100 |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net | 506,575 | 418,800 | 216,800 |
| 72.40 | Obligated balance, start of year | 614,849 | 671,688 | 676,246 |
| 74.40 | Obligated balance, end of year | -671,688 | -676,246 | -538,046 |

General and special funds—Continued

ACQUISITION, CONSTRUCTION, AND IMPROVEMENTS—Continued

Program and Financing (in thousands of dollars)—Continued

| Identification code | 69-0240-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|---------------------|--|-------------|-----------|-----------|
| 77.00 | Adjustments in expired accounts..... | 79 | | |
| 78.00 | Adjustments in unexpired accounts..... | -6,250 | | |
| 90.00 | Outlays..... | 443,565 | 414,242 | 355,000 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | 374,200 | 217,300 | 77,100 |
| Outlays..... | 443,565 | 414,242 | 355,000 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -9,344 | |
| Outlays..... | | -1,327 | -2,725 |
| Total: | | | |
| Budget authority..... | 374,200 | 207,956 | 77,100 |
| Outlays..... | 443,565 | 412,915 | 352,275 |

This appropriation provides for the major acquisition, construction, and improvement of vessels, aircraft, shore units, and aids to navigation, excluding minor acquisitions, additions, renewals, and replacements funded in the Operating Expenses appropriation where estimated costs of a program are \$150 thousand or less, or where renewals and replacements involve less than 75 percent of the original facility. Most of the facilities financed by this appropriation are multi-mission in nature and are required by the Coast Guard in the performance of its operational and regulatory missions.

The budget request of \$77,100,000, together with \$190,000,000 appropriated to the Navy in 1986 for Coastal Defense Augmentation, will provide for Coast Guard requirements for vessels, aircraft, equipment, and shore facilities. The planned use of this combination of resources includes:

Vessels.—By the end of 1987, ten of the thirteen 270-foot Medium Endurance Class cutters (WMEC) will be operational or delivered. Construction will be well underway on the ninth vessel of the nine cutter 140-foot Icebreaking Tug (WTGB) program. In addition, construction and delivery of sixteen (16) new 110-foot Patrol Boats (WPB) will be completed. During Fiscal Year 1987, a multi-year program will be initiated to replace the Coast Guard's "CAPE" class and "POINT" class patrol boats; construction of a prototype vessel will begin. Major accomplishments will also be realized in the area of vessel renovation and modernization. By the end of 1987, the first seven of twelve 378-foot High Endurance Cutters (WHEC) and six of sixteen 210-foot Medium Endurance Cutters (WMEC) will have entered shipyards for major renovations. Cumulatively these accomplishments will enhance critical multi-mission capabilities of the Coast Guard's major vessel capital assets and improve the fleet's readiness and maintenance posture.

Aircraft.—The twelve HC-130 Long Range Surveillance fixed-wing aircraft funded in 1984 and 1985 will be delivered, bringing the C-130 fleet to twenty-six. Considerable progress will be made in acquiring aircraft sensors to enhance search and rescue and law enforce-

ment missions. Twenty-three of thirty-six HC-130 Search Radars will be ordered and one Airborne Surveillance Systems (AIREYE) will be in operational use on HH-25A Medium Range Search aircraft. Seventy-eight of ninety-six HH-65A Short Range Recoverable (SRR) helicopters will be delivered by the end of 1987. The HH-65A SRR helicopter promises enhanced mission performance for the Coast Guard helicopter fleet. These improvements will include faster response times, safer operations, and more capable detection systems.

Shore facilities.—Recent shore facility projects have emphasized both new construction and renovations, improvements, or replacements of existing facilities. Although considerable maintenance requirements remain, significant progress has been made in upgrading the Coast Guard's major shore facilities at Miami, FL; Kodiak, AK; and Petaluma, CA. Upgrades at Alameda, CA; Portsmouth, VA; Boston, MA; New Bedford, MA; and Long Beach, CA will insure adequate support for new and renovated WHECs and WMECs. Projects have also been initiated to provide advanced electronic data processing and communications to Coast Guard commands, to enhance productivity and management decisions.

Object Classification (in thousands of dollars)

| Identification code | 69-0240-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|-------------------------|---|-------------|-----------|-----------|
| Direct obligations: | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent..... | 5,923 | 6,520 | 6,100 |
| 11.3 | Other than full-time permanent..... | 34 | 40 | |
| 11.5 | Other civilian personnel compensation..... | 162 | 180 | |
| 11.7 | Military personnel..... | 9,983 | 10,300 | 10,300 |
| 11.9 | Total personnel compensation..... | 16,102 | 17,040 | 17,000 |
| Personnel benefits: | | | | |
| 12.1 | Civilian..... | 717 | 855 | |
| 12.2 | Military personnel..... | 459 | 480 | |
| 21.0 | Travel and transportation of persons..... | 2,131 | 1,600 | |
| 22.0 | Transportation of things..... | 1,446 | 1,223 | |
| 23.3 | Communications, utilities, and miscellaneous charges..... | 870 | 815 | |
| 24.0 | Printing and reproduction..... | 131 | 81 | |
| 25.0 | Other services..... | 48,781 | 40,763 | 19,000 |
| 26.0 | Supplies and materials..... | 45,003 | 36,687 | 17,000 |
| 31.0 | Equipment..... | 291,606 | 237,730 | 118,000 |
| 32.0 | Lands and structures..... | 97,876 | 81,526 | 39,000 |
| 99.0 | Subtotal, direct obligations..... | 505,122 | 418,800 | 216,000 |
| 99.0 | Reimbursable obligations..... | 10,561 | 11,200 | 11,000 |
| 99.9 | Total obligations..... | 515,683 | 430,000 | 228,000 |

Personnel Summary

| | | | |
|---|-----|-----|--|
| Military: | | | |
| Total number of full-time permanent positions..... | 297 | 297 | |
| Total compensable workyears: Full-time equivalent employment..... | 297 | 297 | |
| Civilian: | | | |
| Total number of full-time permanent positions..... | 224 | 224 | |
| Total compensable workyears: | | | |
| Full-time equivalent employment..... | 197 | 219 | |
| Full-time equivalent of overtime and holiday hours..... | 4 | 4 | |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-0240-6-1-403 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations | | -9,344 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -9,344 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -9,344 | |
| 72.40 Obligated balance, start of year | | | -8,017 |
| 74.40 Obligated balance, end of year | | 8,017 | 5,292 |
| 90.00 Outlays | | -1,327 | -2,725 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

[ALTERATION OF BRIDGES]

[For necessary expenses for alteration or removal of obstructive bridges, \$5,200,000, to remain available until expended.] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code 69-0244-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations (object class 41.0) | | 18,200 | 2,000 |
| Financing: | | | |
| 17.00 Recovery of prior year obligations | -5 | | |
| 21.40 Unobligated balance available, start of year | -3,712 | -17,317 | -4,317 |
| 24.40 Unobligated balance available, end of year | 17,317 | 4,317 | 2,317 |
| 40.00 Budget authority (appropriation) | 13,600 | 5,200 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | 18,200 | 2,000 |
| 72.40 Obligated balance, start of year | 13,930 | 9,682 | 11,882 |
| 74.40 Obligated balance, end of year | -9,682 | -11,882 | -11,882 |
| 78.00 Adjustments in unexpired accounts | -5 | | |
| 90.00 Outlays | 4,242 | 15,953 | 1,823 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

[In thousands of dollars]

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 13,600 | 5,200 | |
| Outlays | 4,242 | 16,000 | 2,000 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -224 | |
| Outlays | | -47 | -177 |
| Total: | | | |
| Budget authority | 13,600 | 4,976 | |
| Outlays | 4,242 | 15,953 | 1,823 |

This appropriation provides the Government's share of the costs for altering or removing bridges determined to be obstructions to navigation. Generally, bridges to be altered were built with what are now insufficient vertical and/or horizontal clearances for free navigation on navigable waters of the United States. No funds are requested in 1987.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-0244-6-1-403 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations | | -224 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -224 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -224 | |
| 72.40 Obligated balance, start of year | | | -177 |
| 74.40 Obligated balance, end of year | | 177 | |
| 90.00 Outlays | | -47 | -177 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

RETIRED PAY

For retired pay, including the payment of obligations therefor otherwise chargeable to lapsed appropriations for this purpose, and payments under the Retired Serviceman's Family Protection and Survivor Benefits Plans, and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C., ch. 55), [\$351,800,000] \$364,000,000. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code 69-0241-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 00.01 Regular military personnel | 285,695 | 308,000 | 322,700 |
| 00.02 Former Lighthouse Service personnel | 1,608 | 1,300 | 1,100 |
| 00.03 Reserve personnel | 11,556 | 12,300 | 13,600 |
| 00.04 Survivor benefit programs | 2,129 | 3,200 | 3,110 |
| 00.05 Medical care | 25,800 | 27,000 | 33,000 |
| 10.00 Total obligations | 326,788 | 351,800 | 373,510 |
| Financing: | | | |
| 25.00 Unobligated balance lapsing | 4,012 | | |
| 40.00 Budget authority (appropriation) ¹ | 330,800 | 351,800 | 373,510 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 326,788 | 351,800 | 373,510 |
| 72.40 Obligated balance, start of year | 11,629 | 36,092 | 37,092 |
| 74.40 Obligated balance, end of year | -36,092 | -37,092 | -38,180 |
| 77.00 Adjustments in expired accounts | -3,544 | | |
| 90.00 Outlays | 298,781 | 350,800 | 372,422 |

¹ The effect on 1987 amounts of reductions in 1986 are shown in the schedule "Reduction Pursuant to Public Law 99-177", following this presentation.

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

[In thousands of dollars]

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 330,800 | 351,800 | 373,510 |
| Outlays | 298,781 | 350,800 | 372,422 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -10,311 | -9,510 |
| Outlays | | -9,733 | -10,000 |
| Proposed for later transmittal under proposed legislation: | | | |
| Budget authority | | | -10,000 |
| Outlays | | | -9,900 |
| Total: | | | |
| Budget authority | 330,800 | 341,489 | 354,000 |
| Outlays | 298,781 | 341,067 | 352,522 |

General and special funds—Continued

RETIRED PAY—Continued

This appropriation provides for retired pay of military personnel of the Coast Guard and Coast Guard Reserve, members of the former Lighthouse Service, and for annuities payable to beneficiaries of retired military personnel under the retired serviceman's family protection plan (10 U.S.C. 1431-46) and survivor benefits plans (10 U.S.C. 1447-55); and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C., ch. 55).

The following tabulation shows the average number of personnel on the rolls during 1985 compared with estimated numbers for 1986 and 1987:

| Category: | 1985 actual | 1986 estimate | 1987 estimate |
|--|-------------|---------------|---------------|
| Commissioned officers..... | 3,920 | 4,077 | 4,129 |
| Warrant officers..... | 3,237 | 3,328 | 3,392 |
| Enlisted personnel..... | 14,663 | 14,900 | 15,079 |
| Former Lighthouse Service personnel..... | 102 | 87 | 71 |
| Reserve personnel..... | 1,833 | 1,920 | 2,002 |
| Total..... | 23,755 | 24,312 | 24,673 |

Object Classification (in thousands of dollars)

| Identification code 69-0241-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 13.0 Benefits for former personnel..... | 300,988 | 324,800 | 340,510 |
| 25.0 Other services..... | 25,792 | 27,000 | 33,000 |
| 26.0 Supplies and materials..... | 8 | | |
| 99.9 Total obligations..... | 326,788 | 351,800 | 373,510 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-0241-6-1-403 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | | -10,311 | -9,510 |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -10,311 | -9,510 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -10,311 | -9,510 |
| 72.40 Obligated balance, start of year..... | | | -578 |
| 74.40 Obligated balance, end of year..... | | 578 | 88 |
| 90.00 Outlays..... | | -9,733 | -10,000 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

RETIRED PAY

(Proposed for later transmittal, proposed legislation)

Program and Financing (in thousands of dollars)

| Identification code 69-0241-2-1-403 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 00.01 Regular military personnel..... | | | -9,540 |
| 00.02 Former Lighthouse Service personnel..... | | | -60 |
| 00.03 Reserve personnel..... | | | -400 |
| 10.00 Total obligations (object class 13.0)..... | | | -10,000 |

| Financing: | | |
|--|---------------------------------------|-----|
| 40.00 | Budget authority (appropriation)..... | -10 |
| Relation of obligations to outlays: | | |
| 71.00 | Obligations incurred, net..... | -10 |
| 74.40 | Obligated balance, end of year..... | |
| 90.00 | Outlays..... | -9 |

Legislation will be proposed to forego cost-of-living adjustments for 1987.

RESERVE TRAINING

For all necessary expenses for the Coast Guard Reserve, as authorized by law; maintenance and operation of facilities; and supply equipment, and services, ~~[\$61,502,000]~~ \$65,200,000. (Department Transportation and Related Agencies Appropriations Act, as included in Public Law 99-190; additional authorizing legislation to be proposed.)

Program and Financing (in thousands of dollars)

| Identification code 69-0242-0-1-403 | 1985 actual | 1986 est. | 1987 est. | |
|--|---|-----------|-----------|----|
| Program by activities: | | | | |
| Direct program: | | | | |
| 00.01 | Initial training..... | 2,468 | 4,116 | 4 |
| 00.02 | Continuing training..... | 34,818 | 35,830 | 38 |
| 00.03 | Operation and maintenance of training facilities..... | 11,539 | 11,629 | 11 |
| 00.04 | Administration..... | 10,988 | 9,927 | 10 |
| 00.91 | Total direct program..... | 59,813 | 61,502 | 63 |
| 01.01 | Reimbursable program..... | 44 | 154 | |
| 10.00 | Total obligations..... | 59,857 | 61,656 | 63 |
| Financing: | | | | |
| 11.00 | Offsetting collections from: Federal funds..... | -44 | -154 | |
| 22.40 | Unobligated balance transferred, net..... | -635 | | |
| 25.00 | Unobligated balance lapsing..... | 46 | | |
| 39.00 | Budget authority..... | 59,223 | 61,502 | 63 |
| Budget authority: | | | | |
| 40.00 | Appropriation..... | 58,833 | 61,502 | 63 |
| 42.00 | Transferred from other accounts..... | 390 | | |
| 43.00 | Appropriation (adjusted)..... | 59,223 | 61,502 | 63 |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net..... | 59,813 | 61,502 | 63 |
| 72.40 | Obligated balance, start of year..... | 6,335 | 7,918 | |
| 74.40 | Obligated balance, end of year..... | -7,918 | -7,918 | |
| 77.00 | Adjustments in expired accounts..... | -701 | | |
| 90.00 | Outlays..... | 57,529 | 61,502 | 63 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 est. |
|---|-------------|---------------|-----------|
| Enacted/requested: | | | |
| Budget authority..... | 59,223 | 61,502 | 63 |
| Outlays..... | 57,529 | 61,502 | 63 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -2,645 | |
| Outlays..... | | -2,333 | |
| Total: | | | |
| Budget authority..... | 59,223 | 58,857 | 63 |
| Outlays..... | 57,529 | 59,169 | 63 |

The Coast Guard Reserve Forces provide qualified individuals and trained units for active duty in even war, national emergency or domestic disaster. The forces keep ready through realistic, coordinated training

in wartime skills, formal training in military skills, and performing peace time duties with the Regular Forces during normal and emergency operations. The Ready Reserve program will be expanded to provide a Ready Reserve of 19,000 including a Selected Reserve of 13,000.

Initial training—Direct costs of initial training for superior service recruits are programmed under this activity.

Continuing training—Direct costs of officer and enlisted drills and annual active duty for training are programmed under this activity.

Operation and maintenance of training facilities—Reserve training facilities for operation and maintenance are programmed under this activity.

Administration—All administrative costs for the Reserve Forces program are programmed under this category.

Miscellaneous services for other accounts—Coast Guard mobilization-related activities for the Selected Service System are reimbursed under this activity.

DAYS OF TRAINING

| | 1985 actual | 1986 estimate | 1987 estimate |
|---------------------------------------|-------------|---------------|---------------|
| Initial active duty for training..... | 72,667 | 110,964 | 128,975 |
| Selected Reserve (with pay): | | | |
| Active duty for training..... | 115,839 | 135,972 | 139,620 |
| Reserve (12-48 per year)..... | 501,335 | 524,482 | 528,106 |
| Ready Reserve (without pay): | | | |
| Active duty..... | 15,206 | 15,000 | 15,000 |
| Active duty for training..... | 800 | 800 | 800 |

Object Classification (in thousands of dollars)

| Identification code 69-0242-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Direct obligations: | | | |
| Personnel compensation: | | | |
| Full-time permanent..... | 1,819 | 1,980 | 1,980 |
| Other than full-time permanent..... | 17 | 8 | 8 |
| Other personnel compensation..... | 115 | 120 | 120 |
| Military personnel..... | 45,587 | 47,975 | 49,410 |
| Total personnel compensation..... | 47,538 | 50,083 | 51,518 |
| Personnel benefits: | | | |
| Civilian..... | 260 | 312 | 312 |
| Military personnel..... | 1,360 | 1,457 | 3,280 |
| Travel and transportation of persons..... | 1,607 | 2,260 | 2,355 |
| Transportation of things..... | 456 | 414 | 431 |
| Standard level user charges..... | 494 | 494 | 494 |
| Communications, utilities, and miscellaneous charges..... | 531 | 533 | 559 |
| Printing and reproduction..... | 89 | 95 | 99 |
| Other services..... | 2,170 | 1,903 | 2,035 |
| Supplies and materials..... | 4,821 | 3,592 | 3,743 |
| Equipment..... | 487 | 330 | 344 |
| Insurance claims and indemnities..... | | 29 | 30 |
| Subtotal, direct obligations..... | 59,813 | 61,502 | 65,200 |
| Reimbursable obligations..... | 44 | 154 | 154 |
| Total obligations..... | 59,857 | 61,656 | 65,354 |

Personnel Summary

| | | | |
|---|-----|-----|-----|
| Total number of full-time permanent positions..... | 608 | 599 | 599 |
| Total compensable workyears: Full-time equivalent employment..... | 606 | 599 | 599 |
| Total number of full-time permanent positions..... | 123 | 123 | 123 |

| | | | |
|---|-----|-----|-----|
| Total compensable workyears: | | | |
| Full-time equivalent employment..... | 103 | 106 | 106 |
| Full-time equivalent of overtime and holiday hours..... | 6 | 6 | 6 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-0242-6-1-403 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | | -2,645 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -2,645 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -2,645 | |
| 72.40 Obligated balance, start of year..... | | | -312 |
| 74.40 Obligated balance, end of year..... | | 312 | |
| 90.00 Outlays..... | | -2,333 | -312 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

RESEARCH, DEVELOPMENT, TEST, AND EVALUATION

For necessary expenses, not otherwise provided for, for basic and applied scientific research, development, test, and evaluation; maintenance, rehabilitation, lease, and operation of facilities and equipment, as authorized by law, ["\$21,000,000"] \$20,500,000, to remain available until expended: *Provided*, That there may be credited to this appropriation funds received from State and local governments, other public authorities, private sources and foreign countries, for expenses incurred for research, development, testing, and evaluation. (*Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190; additional authorizing legislation to be proposed.*)

Program and Financing (in thousands of dollars)

| Identification code 69-0243-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 Search and rescue..... | 5,207 | 4,730 | 4,162 |
| 00.02 Aids to navigation..... | 2,959 | 4,760 | 4,189 |
| 00.03 Marine safety..... | 4,772 | 2,413 | 2,123 |
| 00.04 Marine environmental protection..... | 3,022 | 2,538 | 2,233 |
| 00.05 Enforcement of laws and treaties..... | 2,040 | 8,491 | 7,474 |
| 00.06 Ice operations..... | 584 | 600 | 528 |
| 00.07 Defense readiness..... | 374 | 1,468 | 1,291 |
| 00.91 Total direct program..... | 18,958 | 25,000 | 22,000 |
| 01.01 Reimbursable program..... | 754 | 1,200 | 1,200 |
| 10.00 Total obligations..... | 19,712 | 26,200 | 23,200 |
| Financing: | | | |
| 11.00 Offsetting collections from: Federal funds... | -753 | -1,200 | -1,200 |
| 17.00 Recovery of prior year obligations..... | -450 | | |
| 21.40 Unobligated balance available, start of year..... | -4,389 | -8,745 | -4,745 |
| 22.40 Unobligated balance transferred, net..... | 135 | | |
| 24.40 Unobligated balance available, end of year.. | 8,745 | 4,745 | 3,245 |
| 40.00 Budget authority (appropriation)..... | 23,000 | 21,000 | 20,500 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 18,958 | 25,000 | 22,000 |
| 72.40 Obligated balance, start of year..... | 8,681 | 8,820 | 9,820 |
| 74.40 Obligated balance, end of year..... | -8,820 | -9,820 | -10,120 |
| 78.00 Adjustments in unexpired accounts..... | -450 | | |
| 90.00 Outlays..... | 18,369 | 24,000 | 21,700 |

General and special funds—Continued
RESEARCH, DEVELOPMENT, TEST, AND EVALUATION—Continued

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | [In thousands of dollars] | | |
|------------------------------------|---------------------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Enacted/requested: | | | |
| Budget authority | 23,000 | 21,000 | 20,500 |
| Outlays | 18,369 | 24,000 | 21,700 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -903 | |
| Outlays | | -390 | -513 |
| Total: | | | |
| Budget authority | 23,000 | 20,097 | 20,500 |
| Outlays | 18,369 | 23,610 | 21,187 |

The Coast Guard's Research and Development program seeks to maintain the technological base in areas crucial to the successful execution of the Coast Guard's operational and regulatory missions. The R&D program includes the development of techniques, methods, hardware, and systems which directly contribute to increasing the productivity and effectiveness of operating forces. Tests and evaluations are carried out in conjunction with research and development. The 1987 request will provide for: development of improved search and rescue capability; support for replacement of patrol boat (WPB) and buoy tender (WLB/WLM) capabilities; development of improved aid to navigation performance techniques; development of improved commercial vessel safety and recreational boating safety knowledge bases to support establishment, modification, or discontinuance of various regulations and standards; development of systems and procedures for control and cleanup of pollutant spills; development of techniques to support law enforcement efforts; and research, development, test, and evaluation in multi-mission project areas.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-0243-0-1-403 | | | |
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 3,287 | 3,295 | 3,295 |
| 11.3 Other than full-time permanent | 79 | 208 | 208 |
| 11.5 Other personnel compensation | 38 | 17 | 17 |
| 11.7 Military personnel | 3,255 | 3,266 | 3,266 |
| 11.9 Total personnel compensation | 6,659 | 6,786 | 6,786 |
| Personnel benefits: | | | |
| 12.1 Civilian | 400 | 425 | 425 |
| 12.2 Military personnel | 111 | 126 | 126 |
| 21.0 Travel and transportation of persons | 435 | 550 | 550 |
| 22.0 Transportation of things | 105 | 160 | 160 |
| 23.1 Standard level user charges | 235 | 212 | 208 |
| 23.3 Communications, utilities, and miscellaneous charges | 534 | 1,200 | 1,200 |
| 24.0 Printing and reproduction | 12 | 16 | 16 |
| 25.0 Other services | 7,790 | 13,495 | 10,449 |
| 26.0 Supplies and materials | 1,129 | 1,150 | 1,200 |
| 31.0 Equipment | 1,548 | 880 | 880 |
| 99.0 Subtotal, direct obligations | 18,958 | 25,000 | 22,000 |
| 99.0 Reimbursable obligations | 754 | 1,200 | 1,200 |
| 99.9 Total obligations | 19,712 | 26,200 | 23,200 |

Personnel Summary

| | | | |
|---|----|----|----|
| Military: | | | |
| Total number of full-time permanent positions | 85 | 79 | 79 |

| | | |
|--|-----|-----|
| Total compensable workyears: Full-time equivalent employment | 85 | 79 |
| Civilian: | | |
| Total number of full-time permanent positions | 104 | 104 |
| Total compensable workyears: | | |
| Full-time equivalent employment | 99 | 103 |
| Full-time equivalent of overtime and holiday hours | 1 | 1 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0243-6-1-403 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | -903 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -903 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -903 | |
| 72.40 Obligated balance, start of year | | | |
| 74.40 Obligated balance, end of year | | 513 | |
| 90.00 Outlays | | -390 | |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of (Public Law 99-177).

POLLUTION FUND

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-5168-0-2-304 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | 4,447 | 7,000 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year | -21,822 | -24,765 | |
| 22.40 Unobligated balance transferred, net | | 10,000 | |
| 24.40 Unobligated balance available, end of year | 24,765 | 14,765 | |
| 60.00 Budget authority (appropriation) (permanent, indefinite) | 7,390 | 7,000 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 4,447 | 7,000 | |
| 72.40 Obligated balance, start of year | 4,719 | 5,282 | |
| 74.40 Obligated balance, end of year | -5,282 | -5,282 | |
| 90.00 Outlays | 3,885 | 7,000 | |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| | [In thousands of dollars] | | |
|------------------------------------|---------------------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Enacted/requested: | | | |
| Budget authority | 7,390 | 7,000 | |
| Outlays | 3,885 | 7,000 | |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -301 | |
| Outlays | | -94 | |
| Total: | | | |
| Budget authority | 7,390 | 6,699 | |
| Outlays | 3,885 | 6,906 | |

This fund insures immediate cleanup of oil or other hazardous polluting substances spilled into the navigable waters of the United States, adjoining shoreline waters of the contiguous zone. The fund is used when a spill occurs and the responsible owners or operators of a vessel, onshore facility, or offshore facility do not

complete immediate cleanup with their own resources. Expenditures from the fund are later reimbursed by the responsible owners or operators.

Object Classification (in thousands of dollars)

| Identification code 69-5168-0-2-304 | 1985 actual | 1986 est. | 1987 est. |
|---|--------------|--------------|--------------|
| Travel and transportation of persons..... | 18 | 10 | 10 |
| Transportation of things..... | | 2 | 2 |
| Communications, utilities, and miscellaneous charges..... | | 7 | 7 |
| Other services..... | 4,140 | 6,838 | 6,838 |
| Supplies and materials..... | 284 | 120 | 120 |
| Equipment..... | 1 | | |
| Insurance claims and indemnities..... | 4 | 23 | 23 |
| Total obligations..... | 4,447 | 7,000 | 7,000 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-5168-6-2-304 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | | -301 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -301 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -301 | |
| 72.40 Obligated balance, start of year..... | | | -207 |
| 74.40 Obligated balance, end of year..... | | 207 | |
| 90.00 Outlays..... | | -94 | -207 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

OFFSHORE OIL POLLUTION COMPENSATION FUND

The necessary expenses to carry out the provisions of title III of the Outer Continental Shelf Lands Act Amendments of 1978 (Public Law 95-523), \$1,000,000, to be derived from the Offshore Oil Pollution Compensation Fund and to remain available until expended. In addition, in the extent that available appropriations are not adequate to meet the obligations of the Fund, the Secretary of Transportation is authorized to issue to the Secretary of the Treasury notes or other obligations in such amounts and at such times as may be necessary: Provided, That none of the funds in this Act shall be available for the implementation or execution of programs the obligations for which are in excess of \$60,000,000 in fiscal year [1986] 1987 for the "Offshore Oil Pollution Compensation Fund". (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Amounts Available for Appropriation (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|---|---------------|---------------|----------------|
| Unobligated balance brought forward: | | | |
| U.S. securities (par)..... | 53,409 | 70,692 | 89,135 |
| Balance of fund, start of year..... | 53,409 | 70,692 | 89,135 |
| Revolving fund..... | 11,935 | 12,000 | 12,000 |
| Investment in investments..... | 6,348 | 7,400 | 8,800 |
| Total available for appropriation..... | 71,692 | 90,092 | 109,935 |
| Reduction (adjusted)..... | -1,000 | -957 | -1,000 |
| Unobligated balance carried forward: | | | |
| U.S. securities (par)..... | 70,692 | 89,135 | 108,935 |
| Balance of fund, end of year..... | 70,692 | 89,135 | 108,935 |

Program and Financing (in thousands of dollars)

| Identification code 69-5167-0-2-304 | 1985 actual | 1986 est. | 1987 est. |
|--|--------------|--------------|--------------|
| Program by activities: | | | |
| 10.00 Total obligations..... | 379 | 1,000 | 1,000 |
| Financing: | | | |
| Unobligated balance available, start of year: | | | |
| 21.40 Treasury balance..... | -793 | -1,254 | -1,254 |
| 21.40 U.S. securities (par)..... | -1,012 | -1,172 | -1,172 |
| Unobligated balance available, end of year: | | | |
| 24.40 Treasury balance..... | 1,254 | 1,254 | 1,254 |
| 24.40 U.S. securities (par)..... | 1,172 | 1,172 | 1,172 |
| 40.00 Budget authority (appropriation) .. | 1,000 | 1,000 | 1,000 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 379 | 1,000 | 1,000 |
| 72.40 Obligated balance, start of year..... | 244 | 248 | 248 |
| 74.40 Obligated balance, end of year..... | -248 | -248 | -248 |
| 90.00 Outlays..... | 375 | 1,000 | 1,000 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | 1,000 | 1,000 | 1,000 |
| Outlays..... | 375 | 1,000 | 1,000 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -43 | |
| Outlays..... | | -18 | -25 |
| Total: | | | |
| Budget authority..... | 1,000 | 957 | 1,000 |
| Outlays..... | 375 | 982 | 975 |

The Outer Continental Shelf Lands Act Amendments of 1978 require the Coast Guard to perform additional Marine Safety and Environmental Protection missions on the Outer Continental Shelf. Title III of the law provides for an oil pollution compensation fund to pay compensation for damages, including cleanup, resulting from oil spills.

Object Classification (in thousands of dollars)

| Identification code 69-5167-0-2-304 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent..... | 238 | 243 | |
| 11.5 Other personnel compensation..... | 10 | 10 | |
| 11.9 Total personnel compensation..... | 248 | 253 | |
| 12.1 Personnel benefits: Civilian..... | 33 | 34 | |
| 21.0 Travel and transportation of persons..... | 9 | 5 | 5 |
| 22.0 Transportation of things..... | | 5 | 5 |
| 23.3 Communications, utilities, and miscellaneous charges..... | 43 | 31 | 31 |
| 24.0 Printing and reproduction..... | | 3 | 3 |
| 25.0 Other services..... | 42 | 153 | 164 |
| 26.0 Supplies and materials..... | 4 | 14 | 14 |
| 31.0 Equipment..... | | 2 | 2 |
| 42.0 Insurance claims and indemnities..... | | 500 | 776 |
| 99.9 Total obligations..... | 379 | 1,000 | 1,000 |

Personnel Summary

| | | | |
|---|---|---|--|
| Total number of full-time permanent positions..... | 7 | 7 | |
| Total compensable workyears: Full-time equivalent employment..... | 7 | 7 | |

General and special funds—Continued

OFFSHORE OIL POLLUTION COMPENSATION FUND—Continued

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-5167-6-2-304 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | | -43 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -43 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -43 | |
| 72.40 Obligated balance, start of year..... | | | -25 |
| 74.40 Obligated balance, end of year..... | | 25 | |
| 90.00 Outlays..... | | -18 | 25 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

DEEPWATER PORT LIABILITY FUND

For necessary expenses to carry out the provisions of section 18 of the Deepwater Port Act of 1974 (Public Law 93-627), \$1,000,000, to be derived from the Deepwater Port Liability Fund and to remain available until expended. In addition, to the extent that available appropriations are not adequate to meet the obligations of the Fund, the Secretary of Transportation is authorized to issue, and the Secretary of the Treasury is authorized to purchase, without fiscal year limitation, notes or other obligations in such amounts and at such times as may be necessary: *Provided*, That none of the funds in this Act shall be available for the implementation or execution of programs the obligations for which are in excess of \$50,000,000 in fiscal year [1986] 1987 for the "Deepwater Port Liability Fund". (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Amounts Available for Appropriation (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Unappropriated balance brought forward: | | | |
| U.S. securities (par)..... | 3,727 | 3,856 | 3,899 |
| Cash..... | 16 | | |
| Balance of fund, start of year..... | 3,743 | 3,856 | 3,899 |
| Collections..... | 133 | | |
| Interest on investments..... | 980 | 1,000 | 1,000 |
| Total available for appropriation..... | 4,856 | 4,856 | 4,899 |
| Appropriation (adjusted)..... | -1,000 | -957 | -1,000 |
| Unappropriated balance carried forward: | | | |
| U.S. securities (par)..... | 3,856 | 3,899 | 3,899 |
| Cash..... | | | |
| Balance of fund, end of year..... | 3,856 | 3,899 | 3,899 |

Program and Financing (in thousands of dollars)

| Identification code 69-5170-0-2-304 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | 1 | 1,000 | 1,000 |
| Financing: | | | |
| Unobligated balance available, start of year: | | | |
| 21.40 Treasury balance..... | -86 | -30 | -30 |
| 21.40 U.S. securities (par)..... | -3,565 | -4,619 | -4,619 |
| Unobligated balance available, end of year: | | | |
| 24.40 Treasury balance..... | 30 | 30 | 30 |

| | | | |
|--|---------------------------------------|-------|-------|
| 24.40 | U.S. securities (par)..... | 4,619 | 4,619 |
| 40.00 | Budget authority (appropriation)..... | 1,000 | 1,000 |
| Relation of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net..... | 1 | 1,000 |
| 72.40 | Obligated balance, start of year..... | | 1 |
| 74.40 | Obligated balance, end of year..... | -1 | -1 |
| 90.00 | Outlays..... | 1 | 1,000 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 e |
|---|-------------|---------------|--------|
| Enacted/requested: | | | |
| Budget authority..... | 1,000 | 1,000 | |
| Outlays..... | 1 | 1,000 | |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | | -43 |
| Outlays..... | | | -24 |
| Total: | | | |
| Budget authority..... | 1,000 | 957 | |
| Outlays..... | 1 | 976 | |

The Deepwater Port Liability Fund was established to provide a system for determination and settlement without fault, of claims for all cleanup costs and damages incurred, but not otherwise compensated, as a result of discharges of oil into the marine environment from deepwater port activities (33 U.S.C. 1517(f)).

Object Classification (in thousands of dollars)

| Identification code 69-5170-0-2-304 | 1985 actual | 1986 est. | 1987 |
|-------------------------------------|---|-----------|-------|
| 21.0 | Travel and transportation of persons..... | | 5 |
| 22.0 | Transportation of things..... | | 2 |
| 23.3 | Communications, utilities, and miscellaneous charges..... | | 5 |
| 25.0 | Other services..... | 1 | 183 |
| 26.0 | Supplies and materials..... | | 5 |
| 42.0 | Insurance claims and indemnities..... | | 800 |
| 99.9 | Total obligations..... | 1 | 1,000 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-5170-6-2-304 | 1985 actual | 1986 est. | 1987 |
|--|---------------------------------------|-----------|------|
| Program by activities: | | | |
| 10.00 | Total obligations..... | | -43 |
| Financing: | | | |
| 40.00 | Budget authority (appropriation)..... | | -43 |
| Relation of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net..... | | -43 |
| 72.40 | Obligated balance, start of year..... | | |
| 74.40 | Obligated balance, end of year..... | | 19 |
| 90.00 | Outlays..... | | -24 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of (Public Law 99-177).

Intragovernmental funds:

COAST GUARD SUPPLY FUND

Program and Financing (in thousands of dollars)

| Identification code 69-4535-0-4-403 | 1985 actual | 1986 est. | 1987 |
|-------------------------------------|--|-----------|--------|
| Program by activities: | | | |
| 10.00 | Total obligations (object class 26.0)..... | 84,059 | 94,035 |

| Financing: | | | | |
|-------------------------------------|--|---------|---------|---------|
| Offsetting collections from: | | | | |
| 11.00 | Federal funds | -79,267 | -88,174 | -90,538 |
| 14.00 | Non-Federal sources | -4,723 | -5,756 | -5,820 |
| 71.98 | Unobligated balance available, start of year: Fund balance | -440 | -371 | -266 |
| 74.98 | Unobligated balance available, end of year: Fund balance | 371 | 266 | 174 |
| 99.00 | Budget authority | | | |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net | 69 | 105 | 92 |
| 72.98 | Obligated balance, start of year: Fund balance | 8,376 | 9,923 | 9,928 |
| 74.98 | Obligated balance, end of year: Fund balance | -9,923 | -9,928 | -9,959 |
| 99.00 | Outlays | -1,478 | 100 | 61 |

The Coast Guard supply fund, in accordance with 14 U.S.C. 650, finances the procurement of uniform clothing; commissary provisions; general stores; technical material; and fuel for vessels over 180 feet in length. The fund is normally financed by reimbursements from sale of goods.

COAST GUARD YARD FUND

Program and Financing (in thousands of dollars)

| Identification code: 69-4743-0-4-403 | | | | |
|--------------------------------------|--|-------------|-----------|-----------|
| | | 1985 actual | 1986 est. | 1987 est. |
| Program by activities: | | | | |
| Operating expenses: | | | | |
| 00.01 | Cost of goods sold | 9,700 | 9,795 | 11,532 |
| 00.02 | Other | 29,694 | 15,938 | 19,671 |
| 00.01 | Total operating expenses | 39,394 | 25,733 | 31,203 |
| 01.01 | Capital investment: Purchase of equipment | 201 | 1,133 | 1,250 |
| 10.00 | Total obligations | 39,595 | 26,866 | 32,453 |
| Financing: | | | | |
| Offsetting collections from: | | | | |
| 11.00 | Federal funds | -33,130 | -28,674 | -14,217 |
| 14.00 | Non-Federal sources | -258 | -75 | -75 |
| 71.98 | Unobligated balance available, start of year: Fund balance | -51,371 | -45,164 | -47,047 |
| 74.98 | Unobligated balance available, end of year: Fund balance | 45,164 | 47,047 | 28,886 |
| 99.00 | Budget authority | | | |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net | 6,207 | -1,883 | 18,161 |
| 72.98 | Obligated balance, start of year: Fund balance | 16,297 | 10,313 | 10,123 |
| 74.98 | Obligated balance, end of year: Fund balance | -10,313 | -10,123 | -7,772 |
| 99.00 | Outlays | 12,191 | -1,693 | 20,512 |

This fund finances industrial operation of the Coast Guard Yard, Curtis Bay, Md. (14 U.S.C.). The yard finances its operations out of advances received from Coast Guard appropriations and other agencies for all direct and indirect costs.

ANALYSIS BY TYPE OF WORK

| | (Percent) | | |
|--|-------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Vessel repairs and alterations | 81 | 72 | 71 |
| Dock repairs and construction | 1 | 1 | 1 |
| Buoy fabrication | 6 | 7 | 7 |
| Fabrication of special and miscellaneous items | 12 | 20 | 21 |
| Total | 100 | 100 | 100 |

Object Classification (in thousands of dollars)

| Identification code: 69-4743-0-4-403 | | | | |
|--------------------------------------|--|-----------|-----------|--------|
| | 1985 actual | 1986 est. | 1987 est. | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent | 14,783 | 15,125 | 15,687 |
| 11.3 | Other than full-time permanent | 590 | | |
| 11.5 | Other personnel compensation | 2,148 | 1,862 | 2,058 |
| 11.7 | Military personnel | 606 | 689 | 675 |
| 11.9 | Total personnel compensation | 18,127 | 17,676 | 18,420 |
| Personnel benefits: | | | | |
| 12.1 | Civilian | 2,051 | 1,875 | 2,297 |
| 12.2 | Military personnel | 31 | 36 | 35 |
| 21.0 | Travel and transportation of persons | 45 | 88 | 88 |
| 22.0 | Transportation of things | 95 | 77 | 77 |
| 23.3 | Communications, utilities, and miscellaneous charges | 2,074 | 2,086 | 2,086 |
| 24.0 | Printing and reproduction | 6 | 13 | 13 |
| 25.0 | Other services | 2,581 | 2,425 | 2,551 |
| 26.0 | Supplies and materials | 14,383 | 2,173 | 5,835 |
| 31.0 | Equipment | 201 | 417 | 1,051 |
| 43.0 | Interest and dividends | 1 | | |
| 99.9 | Total obligations | 39,595 | 26,866 | 32,453 |

Personnel Summary

| | | | |
|--|-----|-----|-----|
| Military: | | | |
| Total number of full-time permanent positions | 24 | 24 | 24 |
| Total compensable workyears: Full-time equivalent employment | 24 | 24 | 24 |
| Civilian: | | | |
| Total number of full-time permanent positions | 654 | 654 | 654 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 628 | 632 | 632 |
| Full-time equivalent of overtime and holiday hours | 56 | 73 | 73 |

AQUATIC RESOURCES TRUST FUND

Amounts Available for Appropriation (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Unappropriated balance, start of year | 32,500 | 127,632 | 163,400 |
| Collections (receipts): | | | |
| Motorboat fuels tax | 66,882 | 72,000 | 72,000 |
| Excise taxes on sport fishing equipment | 38,000 | 70,000 | 70,000 |
| Import duties on tackle and yachts | 20,000 | 60,000 | 41,000 |
| Total available for appropriation | 157,382 | 329,632 | 346,400 |
| Appropriation: | | | |
| National Park Service (Department of the Interior): | | | |
| Land and Water Conservation Fund | -1,000 | -1,000 | -1,000 |
| U.S. Coast Guard (Department of Transportation): | | | |
| Boat Safety | -13,750 | -28,710 | -15,000 |
| Operating Expenses | -15,000 | -14,355 | -15,000 |
| Operating Expenses (proposed legislation) | | | -15,000 |
| United States Fish and Wildlife Service (Department of the Interior): | | | |
| Sport Fish Restoration Account | | -122,167 | -161,465 |
| Unappropriated balance, end of year | 127,632 | 163,400 | 138,935 |

The Internal Revenue Code of 1954, as amended, and the Federal Boat Safety Act of 1971 (Public Law 92-75), as amended, provide for the transfer of Highway Trust Fund revenue derived from the motor boat fuel tax and certain other taxes to the Aquatic Resources Trust

Intragovernmental funds—Continued

AQUATIC RESOURCES TRUST FUND—Continued

Fund. The Secretary of the Treasury estimates the amounts to be so transferred. In turn, appropriations are authorized from this fund to meet expenditures for recreational boating safety assistance and other programs specified by law.

Trust Funds
BOAT SAFETY

[(LIQUIDATION OF CONTRACT AUTHORIZATION)]

For payment of obligations incurred for recreational boating safety assistance under Public Law 92-75, as amended, **[\$30,000,000]** \$15,000,000, to be derived from the Boat Safety Account and to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the planning or execution of programs the obligations for which are in excess of **[\$30,000,000]** \$15,000,000 in fiscal year **[1986]** 1987 for recreational boating safety assistance: *Provided further*, That no obligations may be incurred for the improvement of recreational boating facilities. (26 U.S.C. 9503-9504; 46 U.S.C. 13106; Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-8149-0-7-403 | | | |
| Program by activities: | | | |
| 00.01 State recreational boating safety programs..... | 13,750 | 30,000 | 15,000 |
| 00.02 Operating expenses: Coast Guard..... | 15,000 | 15,000 | 15,000 |
| 10.00 Total obligations..... | 28,750 | 45,000 | 30,000 |
| Financing: | | | |
| 17.00 Recovery of prior year obligations..... | -40 | | |
| 21.40 Unobligated balance available, start of year..... | -250 | -165 | |
| 24.40 Unobligated balance available, end of year.. | 165 | | |
| 40.00 Budget authority (appropriation) .. | 28,625 | 44,835 | 30,000 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 28,750 | 45,000 | 30,000 |
| 72.40 Obligated balance, start of year..... | 8,925 | 10,755 | 20,755 |
| 74.40 Obligated balance, end of year..... | -10,755 | -20,755 | -10,755 |
| 78.00 Adjustments in unexpired accounts..... | -40 | | |
| 90.00 Outlays..... | 26,880 | 35,000 | 40,000 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|--|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | 28,625 | 44,835 | 30,000 |
| Outlays..... | 26,880 | 35,000 | 40,000 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -1,935 | |
| Outlays..... | | -1,263 | -672 |
| Proposed for later transmittal under proposed legislation: | | | |
| Budget authority..... | | | 15,000 |
| Outlays..... | | | 15,000 |
| Total: | | | |
| Budget authority..... | 28,625 | 42,900 | 45,000 |
| Outlays..... | 26,880 | 33,737 | 54,328 |

This account provides financial assistance for the development and implementation of a coordinated national recreational boating safety program, as provided for in Public Law 92-75, as amended, and Public Law 98-369. In addition, in 1987 \$15 million will be transferred to Operating Expenses in accordance with Public Law 98-369. Legislation will be proposed to increase the

amount authorized for transfer to Operating Expenses to \$30 million.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8149-0-7-403 | | | |
| 25.0 Other services..... | 15,000 | 15,000 | 15, |
| 41.0 Grants, subsidies, and contributions..... | 13,750 | 30,000 | 15, |
| 99.9 Total obligations..... | 28,750 | 45,000 | 30, |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-8149-6-7-403 | | | |
| Program by activities: | | | |
| 10.00 Total obligations..... | | -1,935 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -1,935 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -1,935 | |
| 72.40 Obligated balance, start of year..... | | | |
| 74.40 Obligated balance, end of year..... | | 672 | |
| 90.00 Outlays..... | | -1,263 | |

These reductions are required by the Balance Budget and Emergency Deficit Control Act of 19 (Public Law 99-177).

BOAT SAFETY

(Proposed for later transmittal, proposed legislation)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-8149-2-7-403 | | | |
| Program by activities: | | | |
| 10.00 Total obligations (object class 25.0)..... | | | 15, |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | | 15, |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | | 15, |
| 90.00 Outlays..... | | | 15, |

Legislation will be proposed for the Boat Safety Account to authorize expenditure of an additional \$15 million from the Boat Safety Account to be used to offset Coast Guard Operating Expenses in 1987 for a total \$30 million.

COAST GUARD GENERAL GIFT FUND

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-8533-0-7-403 | | | |
| Program by activities: | | | |
| 10.00 Total obligations..... | 26 | 80 | |
| Financing: | | | |
| Unobligated balance available, start of year: | | | |
| 21.40 Treasury balance..... | -6 | -12 | |
| 21.40 U.S. securities (par)..... | -150 | -140 | |
| Unobligated balance available, end of year: | | | |
| 24.40 Treasury balance..... | 12 | 12 | |

| | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 74.40 U.S. securities (par)..... | 140 | 140 | 140 |
| 80.00 Budget authority (appropriation) (permanent, indefinite)..... | 22 | 80 | 80 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 26 | 80 | 80 |
| 72.40 Obligated balance, start of year..... | 2 | 4 | 34 |
| 74.40 Obligated balance, end of year..... | -4 | -34 | -64 |
| 80.00 Outlays..... | 24 | 50 | 50 |

This trust fund, maintained from gifts and bequests, is used for purposes as specified by the donor in connection with the Coast Guard training program (10 U.S.C. 2801).

| Object Classification (in thousands of dollars) | | | |
|---|-------------|-----------|-----------|
| Identification code 69-8533-0-7-403 | 1985 actual | 1986 est. | 1987 est. |
| 25.0 Other services..... | 19 | 62 | 62 |
| 26.0 Supplies and materials..... | 4 | 10 | 10 |
| 31.0 Equipment..... | 3 | 8 | 8 |
| 99.9 Total obligations..... | 26 | 80 | 80 |

MISCELLANEOUS TRUST REVOLVING FUNDS

| Program and Financing (in thousands of dollars) | | | |
|---|--------------|--------------|--------------|
| Identification code 69-9981-0-8-403 | 1985 actual | 1986 est. | 1987 est. |
| Program by activities: | | | |
| 80.01 Cadet activities..... | 4,192 | 4,231 | 4,277 |
| 80.02 Surcharge collections, sales of commissary stores..... | 288 | 288 | 297 |
| 10.00 Total obligations..... | 4,480 | 4,519 | 4,574 |
| Financing: | | | |
| Offsetting collections from: | | | |
| 11.00 Federal funds..... | -4,192 | -4,231 | -4,277 |
| 14.00 Non-Federal sources..... | -339 | -236 | -245 |
| 71.98 Unobligated balance available, start of year: Fund balance..... | -201 | -252 | -200 |
| 74.98 Unobligated balance available, end of year: Fund balance..... | 252 | 200 | 148 |
| 39.00 Budget authority..... | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | -52 | 52 | 52 |
| 72.98 Obligated balance, start of year: Fund balance..... | 170 | 212 | 239 |
| 74.98 Obligated balance, end of year: Fund balance..... | -212 | -239 | -266 |
| 80.00 Outlays..... | -94 | 25 | 25 |
| Distribution of outlays by account: | | | |
| Cadet activities..... | -42 | | |
| Surcharge: collections, sales of commissary stores..... | -52 | 25 | 25 |

The Coast Guard cadet fund is utilized by the Superintendent of the Coast Guard Academy to receive, plan, control, and expend funds for personal expenses and obligations of Coast Guard cadets.

The Coast Guard surcharge collections, sales of commissary stores fund is used to finance expenses incurred in connection with the operation of the Coast Guard commissary stores. Revenue is derived from a surcharge placed on sales (14 U.S.C. 487).

Object Classification (in thousands of dollars)

| Identification code 69-9981-0-8-403 | 1985 actual | 1986 est. | 1987 est. |
|--|--------------|--------------|--------------|
| 23.3 Communications, utilities, and miscellaneous charges..... | 17 | 16 | 16 |
| 25.0 Other services..... | 2,957 | 2,988 | 3,025 |
| 26.0 Supplies and materials..... | 1,478 | 1,495 | 1,513 |
| 31.0 Equipment..... | 28 | 20 | 20 |
| 99.9 Total obligations..... | 4,480 | 4,519 | 4,574 |

MARITIME ADMINISTRATION

The programs administered by the Maritime Administration (MarAd) are authorized by the Merchant Marine Act, 1936, and other related acts. The Agency promotes the development and maintenance of an American merchant marine for commercial and defense needs; grants subsidy aid for operation of vessels by American flag operators; conducts maritime research and development; insures construction loans and mortgages for construction or conversion of vessels; maintains in a standby condition Government-owned fleets; and trains cadets to become licensed merchant ship officers.

The following table shows the funding for the MarAd programs, including proposed supplementals, for which detail is furnished in the budget schedules:

| (in thousands of dollars) | | | |
|--|----------------|----------------|----------------|
| Budget authority: | 1985 actual | 1986 estimate | 1987 estimate |
| Operating-differential subsidies..... | 338,057 | 299,500 | 320,000 |
| (Appropriation to liquidate contract authority)..... | 377,750 | 299,500 | 320,000 |
| Ocean freight differential..... | | | |
| Research and development..... | 2,900 | 9,474 | 5,500 |
| Operations and training..... | 78,019 | 66,703 | 53,343 |
| Federal ship financing fund..... | 130,000 | 499,867 | 99,915 |
| Special studies, services, and projects..... | 424 | 385 | 385 |
| Gifts and bequests..... | 4 | 15 | 15 |
| Total budget authority..... | 549,404 | 875,944 | 479,158 |
| Program level (obligations): | | | |
| Ship construction..... | 4,170 | 3,596 | |
| Operating-differential subsidies..... | 338,057 | 299,500 | 320,000 |
| Ocean freight differential..... | | | |
| Research and development..... | 12,808 | 9,652 | 5,500 |
| Operations and training..... | 81,280 | 70,301 | 62,693 |
| Federal ship financing fund: | | | |
| Revolving fund..... | 255,878 | 509,961 | 99,915 |
| New loan guarantee commitments..... | 37,883 | 66,990 | |
| Vessel operations revolving fund..... | -7,912 | 6,683 | |
| War risk insurance revolving fund..... | -1,278 | -937 | -779 |
| Special studies, services, and projects..... | 312 | 385 | 385 |
| Gifts and bequests..... | 3 | 15 | 15 |
| Total program level..... | 721,201 | 966,146 | 487,729 |
| Outlays: | | | |
| Ship construction..... | 4,692 | 4,894 | |
| Operating-differential subsidies..... | 351,731 | 311,501 | 320,000 |
| Ocean freight differential..... | | | |
| Research and development..... | 10,174 | 11,928 | 11,252 |
| Operations and training..... | 78,900 | 78,085 | 65,328 |
| Federal ship financing fund..... | 266,319 | 509,164 | 99,915 |
| Vessel operations revolving fund..... | -23,158 | | |
| War risk insurance revolving fund..... | -1,082 | -900 | -900 |
| Special studies, services, and projects..... | 20 | 385 | 385 |
| Gifts and bequests..... | 11 | 15 | 15 |
| Total outlays..... | 685,607 | 915,072 | 495,995 |

Federal Funds

General and special funds:

SHIP CONSTRUCTION

Program and Financing (in thousands of dollars)

| Identification code 69-1708-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations (object class 41.0) | 4,170 | 3,596 | |
| Financing: | | | |
| 17.00 Recovery of prior year obligations | -47 | | |
| 21.40 Unobligated balance available, start of year | -14,718 | -3,596 | |
| 22.40 Unobligated balance transferred, net | 7,000 | | |
| 24.40 Unobligated balance available, end of year | 3,596 | | |
| 39.00 Budget authority | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 4,170 | 3,596 | |
| 72.40 Obligated balance, start of year | 1,867 | 1,298 | |
| 74.40 Obligated balance, end of year | -1,298 | | |
| 78.00 Adjustments in unexpired accounts | -47 | | |
| 90.00 Outlays | 4,692 | 4,894 | |

The remaining unobligated balances in Ship Construction are being reserved for settlement of outstanding claims. No funds are requested for 1987.

OPERATING-DIFFERENTIAL SUBSIDIES (LIQUIDATION OF CONTRACT AUTHORITY)

For the payment of obligations incurred for operating-differential subsidies as authorized by the Merchant Marine Act, 1936, as amended, [**\$299,500,000**] **\$320,000,000**, to remain available until expended. (46 U.S.C. 1171-1185; *The Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1986; additional authorizing legislation to be proposed.*)

Program and Financing (in thousands of dollars)

| Identification code 69-1709-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|--|----------------|----------------|----------------|
| Program by activities: | | | |
| 10.00 Total obligations (object class 41.0) | 338,057 | 299,500 | 320,000 |
| Financing: | | | |
| 39.00 Budget authority | 338,057 | 299,500 | 320,000 |
| Budget authority: | | | |
| Current: | | | |
| 40.00 Appropriation | 377,750 | 299,500 | 320,000 |
| 40.49 Portion applied to liquidate contract authority | -338,057 | -299,500 | -320,000 |
| 40.50 Balance of appropriation to liquidate contract authority withdrawn | -39,693 | | |
| 43.00 Appropriation (adjusted) | | | |
| Permanent: | | | |
| 69.10 Contract authority (Public Law 91-469) (indefinite) | 338,057 | 299,500 | 320,000 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 338,057 | 299,500 | 320,000 |
| 72.40 Obligated balance, start of year | 25,674 | 12,001 | |
| 74.40 Obligated balance, end of year | -12,001 | | |
| 90.00 Outlays | 351,731 | 311,501 | 320,000 |

Status of Unfunded Contract Authority (in thousands of dollars)

| | | | |
|--|---------|---------|---------|
| Unfunded balance, start of year | | | |
| Contract authority (permanent, indefinite) | 338,057 | 299,500 | 320,000 |

| | | | |
|---|----------|----------|----|
| Appropriation to liquidate contract authority | -338,057 | -299,500 | -3 |
| Unfunded balance, end of year | | | |

The Merchant Marine Act of 1936, as amended, provides that operating subsidies shall be paid to qualified operators of U.S.-flag vessels in order to promote maintenance of a U.S. Merchant Marine capable of providing essential ocean-shipping services. A strong Merchant Marine is important to national security to the development of foreign commerce. Subsidies designed to achieve a parity between certain U.S. foreign ship operating costs. The 1987 request provides funds for existing operating-differential subsidy contracts. No new contracts are included. The administration will continue to seek elimination of the "double subsidy" associated with the carriage of military premium rated cargo. Estimates will be adjusted upon enactment of required legislation.

OCEAN FREIGHT DIFFERENTIAL*

*See Part II for additional information.

None of the funds provided in this Act or any other Act shall be available to the Secretary of Transportation to issue any obligation under the Secretary of Treasury pursuant to Sections 901b, and 901d, Merchant Marine Act, 1936, as amended by Public Law 99-198.

Program and Financing (in thousands of dollars)

| Identification code 69-1751-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations (object class 22.0) | | | 48,000 |
| Financing: | | | |
| 47.10 Budget authority (authority to borrow) (Public Law 98-78) | | | 48,000 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | | 48,000 |
| 90.00 Outlays | | | 48,000 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 est. |
|---|-------------|---------------|-----------|
| Enacted/requested: | | | |
| Budget authority | | 48,000 | |
| Outlays | | 48,000 | |
| Supplemental under existing legislation: | | | |
| Budget authority | | -48,000 | |
| Outlays | | -48,000 | |
| Total: | | | |
| Budget authority | | | |
| Outlays | | | |

Public Law 99-198 amends section 901 of the Merchant Marine Act to increase from 50 to 75 percent the amount of agricultural commodities under specified programs that must be carried on U.S.-flag vessels. The increased cost associated with this expanded U.S.-flag shipping requirement, which stems from the high rates charged by U.S.-flag carriers compared with foreign-flag carriers, must be financed by the Secretary of Transportation through borrowings from the Treasury.

Administration policy has consistently opposed expanded requirements to ship commodities on U.S.-flag carriers. Therefore, the budget proposes language

1986 and 1987 that prohibits the Secretary of Transportation from issuing obligations to finance the increased costs pursuant to Public Law 99-198. Separately, the Administration is proposing authorization legislation to repeal the expanded cargo preference requirement added to the Merchant Marine Act by Public Law 99-198. If Congress does not act on the administration's proposals, the Secretary of Transportation will be required to borrow an estimated \$48 million in 1986 and \$120 million in 1987.

RESEARCH AND DEVELOPMENT

For necessary expenses for research and development activities, as authorized by law, **[\$9,900,000]** \$5,500,000, to remain available until expended. (46 U.S.C. 1119, 1121, 1122, 1205; The Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriation Act, 1986; additional authorizing legislation to be proposed.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1716-0-1-403 | | | |
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 | 2,308 | 2,965 | |
| 00.02 | 4,243 | 2,525 | 1,900 |
| 00.03 | 4,320 | 3,038 | 2,975 |
| 00.04 | 1,937 | 1,550 | 625 |
| 00.01 | 12,808 | 10,078 | 5,500 |
| 01.01 | 3,399 | 4,600 | 2,300 |
| 10.00 | 16,207 | 14,678 | 7,800 |
| Financing: | | | |
| 11.00 | -3,399 | -4,600 | -2,300 |
| 17.00 | -166 | | |
| 21.40 | -2,919 | -178 | |
| 22.40 | -7,000 | | |
| 24.40 | 178 | | |
| 40.00 | 2,900 | 9,900 | 5,500 |
| Relation of obligations to outlays: | | | |
| 71.00 | 12,808 | 10,078 | 5,500 |
| 72.40 | 13,955 | 16,423 | 14,445 |
| 74.40 | -16,423 | -14,445 | -8,395 |
| 78.00 | -166 | | |
| 90.00 | 10,174 | 12,056 | 11,550 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Incurred/requested: | | | |
| Budget authority | 2,900 | 9,900 | 5,500 |
| Outlays | 10,174 | 12,056 | 11,550 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -426 | |
| Outlays | | -128 | -298 |
| Total: | | | |
| Budget authority | 2,900 | 9,474 | 5,500 |
| Outlays | 10,174 | 11,928 | 11,252 |

The budget proposes 1987 as the final year of funding for maritime research and development activities, which the administration views as a private sector funding responsibility. In that regard, 1987 activities will emphasize movement toward greater private sector initiative in maritime research and development and efforts to "privatize" the Computer Aided Operations Research Facility.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|----------------------------|-------------|-----------|-----------|
| 69-1716-0-1-403 | | | |
| Direct obligations: | | | |
| 25.0 | 9,580 | 7,898 | 5,320 |
| 26.0 | 138 | 150 | 150 |
| 31.0 | 21 | 30 | 30 |
| 41.0 | 3,069 | 2,000 | |
| 99.0 | 12,808 | 10,078 | 5,500 |
| 99.0 | 3,399 | 4,600 | 2,300 |
| 99.9 | 16,207 | 14,678 | 7,800 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-1716-6-1-403 | | | |
| Program by activities: | | | |
| 10.00 | | -426 | |
| Financing: | | | |
| 40.00 | | -426 | |
| Relation of obligations to outlays: | | | |
| 71.00 | | -426 | |
| 72.40 | | | -298 |
| 74.40 | | 298 | |
| 90.00 | | -128 | -298 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

OPERATIONS AND TRAINING

For necessary expenses of operations and training activities authorized by law, **[\$69,700,000]** \$53,243,000, to remain available until expended: *Provided*, That reimbursements may be made to this appropriation from receipts to the "Federal Ship Financing Fund" for administrative expenses in support of that program: *Provided further*, That \$8,500,000 made available in Public Law 98-396 for the acquisition and preconversion costs for a training vessel shall be available until expended for expenses of operations and training activities: (46 U.S.C. 867, 1111, 1114, 1119, 1151, 1161, 1171, 1177, 1241; 50 U.S.C. App. 1744; The Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriation Act, 1986; additional authorizing legislation to be proposed.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-1750-0-1-403 | | | |
| Program by activities: | | | |
| Direct program: | | | |
| Maritime education and training: | | | |
| 00.01 | 19,898 | 21,496 | 20,278 |
| 00.02 | 19,777 | 12,935 | 1,035 |
| 00.03 | 1,135 | 1,235 | 1,135 |
| 00.10 | 9,338 | 9,673 | 13,421 |
| Operating programs: | | | |
| 00.20 | 2,183 | 2,212 | 1,905 |
| 00.21 | 6,843 | 6,344 | 6,036 |
| 00.22 | 22,106 | 19,403 | 18,883 |
| 00.91 | 81,280 | 73,298 | 62,693 |
| 01.01 | 13,471 | 20,000 | 20,000 |
| 10.00 | 94,751 | 93,298 | 82,693 |
| Financing: | | | |
| 11.00 | -13,471 | -20,000 | -20,000 |
| 17.00 | -984 | | |
| 21.40 | -15,225 | -12,948 | -9,350 |

General and special funds—Continued
OPERATIONS AND TRAINING—Continued

Program and Financing (in thousands of dollars)—Continued

| Identification code | 69-1750-0-1-403 | 1985 actual | 1986 est. | 1987 est. |
|---------------------|--|---------------|---------------|---------------|
| 24.40 | Unobligated balance available, end of year.. | 12,948 | 9,350 | |
| 39.00 | Budget authority | 78,019 | 69,700 | 53,343 |
| | Budget authority: | | | |
| 40.00 | Appropriation | 77,467 | 69,700 | 53,343 |
| 42.00 | Transferred from other accounts | 552 | | |
| 43.00 | Appropriation (adjusted) | 78,019 | 69,700 | 53,343 |
| | Relation of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net | 81,280 | 73,298 | 62,693 |
| 72.40 | Obligated balance, start of year | 13,175 | 16,570 | 9,228 |
| 74.40 | Obligated balance, end of year | -16,570 | -9,228 | -6,151 |
| 78.00 | Adjustments in unexpired accounts | -984 | | |
| 90.00 | Outlays | 76,900 | 80,640 | 65,770 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

[In thousands of dollars]

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 78,019 | 69,700 | 53,343 |
| Outlays | 76,900 | 80,640 | 65,770 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -2,997 | |
| Outlays | | -2,555 | -442 |
| Total: | | | |
| Budget authority | 78,019 | 66,703 | 53,343 |
| Outlays | 76,900 | 78,085 | 65,328 |

The specific activities funded by the operations and training appropriation are as follows:

Maritime education and training—

Merchant Marine Academy.—This activity provides for operating the Merchant Marine Academy at Kings Point, NY. The objective of the Academy is to instruct and prepare selected personnel for service as officers in the merchant marine. Approximately 200 officers will graduate in 1987.

State marine schools.—Due to projections of a continuing oversupply of merchant marine officers, Federal support for this program will be limited in 1987 and subsequent years to providing student incentive payments to cadets currently receiving such payments. An estimated 650 merchant marine officers will graduate from the State marine schools in 1987.

Additional training.—This activity provides for costs of administration of the Merchant Marine Academy and State marine school programs at the bureau level, and for the conduct of supplementary training courses required for merchant marine personnel.

National security support capability.—This activity provides for maintaining U.S. merchant ships in the National Defense Reserve Fleet for service as naval and military transport auxiliaries in time of war and national emergency and for emergency preparedness activities. Additional funds are provided in 1987 for initiation of a multi-year program for capital investment needs including dredging, facility alterations and maintenance, and replacement of support craft.

Operating programs—

Development of waterborne transportation systems.—This activity provides for direct technical and

administrative costs of programs for development construction of cost-competitive U.S. merchant ships in sufficient quantity to meet national maritime objectives.

Use of waterborne transportation systems.—This activity provides for the direct technical and administrative costs of promoting the effective development and use of merchant ships and ship systems. Program objectives involve increasing U.S.-flag participation in U.S. foreign trade and reducing or eliminating operating subsidies when no longer required to sustain viable shipping operation.

General administration.—This activity provides overall executive direction and general administrative functions of the Maritime Administration.

Object Classification (in thousands of dollars)

| Identification code | 69-1750-0-1-403 | 1985 actual | 1986 est. | 1987 |
|---------------------|--|-------------|-----------|-------|
| | Direct obligations: | | | |
| | Personnel compensation: | | | |
| 11.1 | Full-time permanent | 25,202 | 24,541 | |
| 11.3 | Other than full-time permanent | 1,879 | 2,329 | |
| 11.5 | Other personnel compensation | 645 | 608 | |
| 11.8 | Special personal services payments | 455 | 559 | |
| 11.9 | Total personnel compensation | 28,181 | 28,037 | |
| 12.1 | Personnel benefits: Civilian | 3,723 | 3,809 | |
| 13.0 | Benefits for former personnel | 92 | 220 | |
| 21.0 | Travel and transportation of persons | 781 | 921 | |
| 22.0 | Transportation of things | 71 | 59 | |
| 23.1 | Standard level user charges | 2,397 | 2,143 | |
| 23.3 | Communications, utilities, and miscellaneous charges | 4,791 | 4,600 | |
| 24.0 | Printing and reproduction | 302 | 349 | |
| 25.0 | Other services | 25,844 | 22,688 | |
| 26.0 | Supplies and materials | 3,527 | 3,299 | |
| 31.0 | Equipment | 3,123 | 1,556 | |
| 32.0 | Lands and structures | 740 | 1,700 | |
| 41.0 | Grants, subsidies, and contributions | 7,700 | 3,915 | |
| 42.0 | Insurance claims and indemnities | 8 | 2 | |
| 99.0 | Subtotal, direct obligations | 81,280 | 73,298 | |
| 99.0 | Reimbursable obligations | 13,471 | 20,000 | |
| 99.9 | Total obligations | 94,751 | 93,298 | |

Personnel Summary

| | | | |
|--|-----|-----|-------|
| Direct: | | | |
| Total number of full-time permanent positions | 764 | 728 | |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 803 | 792 | |
| Full-time equivalent of overtime and holiday hours | 9 | 9 | |
| Reimbursable: | | | |
| Total number of full-time permanent positions | 236 | 288 | |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 210 | 286 | |
| Full-time equivalent of overtime and holiday hours | 1 | 2 | |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 69-1750-6-1-403 | 1985 actual | 1986 est. | 1987 |
|---------------------|--|-------------|-----------|-------|
| | Program by activities: | | | |
| 10.00 | Total obligations | | -2,997 | |
| | Financing: | | | |
| 40.00 | Budget authority (appropriation) | | -2,997 | |

| | |
|--|--------|
| Balance of obligations to outlays: | |
| Obligations incurred, net | —2,997 |
| Unobligated balance, start of year | —442 |
| Unobligated balance, end of year | 442 |
| Outlays | —2,555 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

Enterprise funds:

FEDERAL SHIP FINANCING FUND

During 1987, no commitments for guaranteed loans will be made.

Program and Financing (in thousands of dollars)

| Account number 49-4301-0-3-403 | 1985 actual | 1986 est. | 1987 est. |
|---|----------------|----------------|----------------|
| Program by activities: | | | |
| Operating expenses | 4,684 | 8,000 | 9,500 |
| Payments to Treasury: Interest | 1,420 | 55,421 | 70,515 |
| Capital investment | 336,377 | 522,200 | 116,900 |
| Total obligations | 342,481 | 585,621 | 196,915 |
| Financing: | | | |
| Amounting collections from: | | | |
| Federal Funds | —10,632 | | |
| Non-Federal sources: | | | |
| Insurance premiums and fees | —30,474 | —35,660 | —32,000 |
| Sale of assets | —5,298 | —25,000 | —50,000 |
| Interest and other income | —10,226 | —10,000 | —10,000 |
| Accounting change | —25,318 | | |
| Repayment of loans | —4,655 | —5,000 | —5,000 |
| Unobligated balance available, start of year: Fund balance: U.S. securities (par) | —135,838 | —9,961 | |
| Unobligated balance available, end of year: Fund balance: U.S. securities (par) | 9,961 | | |
| Authority to borrow | 130,000 | 500,000 | 99,915 |
| Balance of obligations to outlays: | | | |
| Obligations incurred, net | 255,878 | 509,961 | 99,915 |
| Unobligated balance, start of year: Fund balance | 11,777 | 1,336 | 2,000 |
| Unobligated balance, end of year: Fund balance | —1,336 | —2,000 | —2,000 |
| Outlays | 266,319 | 509,297 | 99,915 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---------------------------|-------------|---------------|---------------|
| Authority: | | | |
| Total authority | 130,000 | 500,000 | 99,915 |
| Amounting to P.L. 99-177: | | | |
| Total authority | 266,319 | 509,297 | 99,915 |
| Less authority | | —133 | |
| Total authority | | —133 | |
| Total authority | 130,000 | 499,867 | 99,915 |
| Outlays | 266,319 | 509,164 | 99,915 |

Status of Direct Loans (in thousands of dollars)

| Account number 49-4301-0-3-403 | 1985 actual | 1986 est. | 1987 est. |
|---|----------------|----------------|----------------|
| Position with respect to appropriations act limitation on obligations: | | | |
| Limitation on direct loans to the public | | | |
| Obligations exempt from limitation: | | | |
| Direct loans to the public | 15,684 | 22,200 | 16,900 |
| Defaulted guaranteed loan claims | 320,693 | 500,000 | 100,000 |
| Total direct loan obligations | 336,377 | 522,200 | 116,900 |

Cumulative balance of direct loans outstanding:

| | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 1210 Outstanding, start of year | 270,005 | 597,271 | 1,109,471 |
| Disbursements: | | | |
| 1231 Direct loan disbursements | 15,684 | 22,200 | 16,900 |
| 1232 Disbursements for guarantee loan claims | 320,693 | 500,000 | 100,000 |
| 1251 Recoveries: Repayments and prepayments | —9,111 | —10,000 | —10,000 |
| 1290 Outstanding, end of year | 597,271 | 1,109,471 | 1,216,371 |

Status of Guaranteed Loans (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Position with respect to appropriations act limitation on commitments: | | | |
| 2111 Limitation on guaranteed loans made by private lenders | | | |
| 2131 Guaranteed loan commitments exempt from limitation ¹ | 37,883 | 70,000 | |
| 2150 Total guaranteed loan commitments | 37,883 | 70,000 | |

Cumulative balance of guaranteed loans outstanding:

| | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 2210 Outstanding, start of year | 7,045,580 | 6,443,617 | 5,633,792 |
| Disbursements: | | | |
| 2231 Disbursements of new guaranteed loans | 174,494 | 90,175 | 30,000 |
| 2251 Repayments: Repayments and prepayments | —455,764 | —400,000 | —400,000 |
| 2261 Adjustments: Termination for default | —320,693 | —500,000 | —100,000 |
| 2290 Outstanding, end of year | 6,443,617 | 5,633,792 | 5,163,792 |

MEMORANDUM

| | | | |
|--|-----------|-----------|-----------|
| 2299 U.S. contingent liability for guaranteed loans outstanding, end of year | 6,443,617 | 5,633,792 | 5,163,792 |
|--|-----------|-----------|-----------|

¹ The 1986 guaranteed loan level is subject to sequestration pursuant to Public Law 99-177. The post-sequestration loan level constitutes a de facto limitation.

The Merchant Marine Act of 1936, as amended, established the Federal ship financing fund to assist in the development of the U.S. merchant marine by guaranteeing construction loans and mortgages on U.S.-flag vessels built in the United States.

The budget proposes that 1986 will be the last year in which new loan guarantee commitments are made. The necessary implementing legislation will be proposed.

COMMITMENTS FOR CONSTRUCTION LOAN AND MORTGAGE GUARANTEES

(In millions of dollars)

| | 1984 actual | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|-------------|---------------|---------------|
| New commitments | 177 | 38 | 70 | |
| Outstanding commitments and guarantees, start of year | 7,841 | 7,303 | 6,534 | 5,704 |
| Less amortization of guarantees, terminations, et cetera | —715 | —807 | —900 | —500 |
| Total outstanding commitments and guarantees, end of year | 7,303 | 6,534 | 5,704 | 5,204 |

Budget program.—Administrative expenses incurred in the operation of the Federal ship financing fund are paid from income to the fund. Direct loans in the form

Public enterprise funds—Continued

FEDERAL SHIP FINANCING FUND—Continued

of advances to operators to forestall possible defaults are estimated at \$16.9 million in 1987. In 1987 the estimate includes \$100 million as a contingency against possible defaults.

Financing.—The fund receives income from insurance premiums on construction loans and mortgages, fees, and interest on mortgages held directly.

Revenue and Expense (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Operating income or loss (—): | | | |
| Revenue..... | 93,394 | 70,660 | 92,000 |
| Expense..... | —354,545 | —114,721 | —93,315 |
| Net operating income or loss (—)..... | —261,151 | —44,061 | —1,315 |
| Nonoperating income: Interest from U.S. securities.. | 10,712 | | |
| Net nonoperating income..... | 10,712 | | |
| Net income for the year..... | —250,439 | —44,061 | —1,315 |

Financial Condition (in thousands of dollars)

| | 1984 actual | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-------------|-----------|-----------|
| Assets: | | | | |
| Selected assets: | | | | |
| Fund balance with Treasury..... | 1,302 | 11,296 | 2,000 | 2,000 |
| U.S. securities (par)..... | 146,313 | | | |
| Accounts receivable (net)..... | 17,250 | 1,644 | 3,504 | 3,454 |
| Loans receivable (net)..... | 202,715 | 217,045 | 606,906 | 224,095 |
| Vessels..... | 34,021 | 21,368 | 21,368 | 19,783 |
| Other assets (net)..... | 962 | 4,336 | 2,391 | 531 |
| Total assets..... | 402,563 | 255,689 | 636,169 | 249,863 |
| Liabilities: | | | | |
| Selected liabilities: | | | | |
| Accounts payable including funded accrued liabilities..... | 3,609 | 2,880 | 57,421 | 72,515 |
| Advances received..... | 25,318 | | | |
| Debt issued under borrowing authority: | | | | |
| Borrowing from Treasury..... | | 130,000 | 500,000 | 99,915 |
| Total liabilities..... | 28,927 | 132,880 | 557,421 | 172,430 |
| Government equity: | | | | |
| Selected equities: | | | | |
| Unexpended budget authority: | | | | |
| Unobligated balance..... | 135,838 | 9,961 | | |
| Undelivered orders..... | 100 | 100 | 100 | 100 |
| Invested capital..... | 237,698 | 112,748 | 78,648 | 77,333 |
| Total Government equity..... | 373,636 | 122,809 | 78,748 | 77,433 |
| Analysis of changes in Government equity: | | | | |
| Retained income: Opening balance..... | 373,636 | 122,809 | 78,748 | |
| Transactions: | | | | |
| Net operating income or loss (—)..... | —261,151 | —44,061 | —1,315 | |
| Net nonoperating income..... | 10,712 | | | |
| Adjustments for prior periods..... | —388 | | | |
| Closing balance..... | 122,809 | 78,748 | 77,433 | |
| Total Government equity (end of year)..... | 122,809 | 78,748 | 77,433 | |

Note.—The status of contingent liabilities for guarantees, insured mortgages, and commitments to guarantee as of the end of the fiscal periods are as follows: 1984, \$7,303,204 thousand; 1985, \$6,533,791 thousand; 1986, 5,704,000 thousand; and 1987, \$5,204,000 thousand.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---------------------------------|-------------|-----------|-----------|
| 69-4301-0-3-403 | | | |
| 25.0 Other services..... | 5,920 | 63,421 | 80,0 |
| 31.0 Equipment..... | 184 | | |
| 33.0 Investments and loans..... | 336,377 | 522,200 | 116,9 |
| 99.9 Total obligations..... | 342,481 | 585,621 | 196,9 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-4301-6-3-403 | | | |
| Program by activities: | | | |
| 10.00 Total obligations..... | | —133 | |
| Financing: | | | |
| 47.10 Authority to borrow..... | | —133 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | —133 | |
| 90.00 Outlays..... | | —133 | |

Status of Guaranteed Loans (in thousands of dollars)

| | | | | |
|---|--|--|--------|------|
| Position with respect to appropriations act limitation on commitments: | | | | |
| 2111 | Limitation on guaranteed loans made by private lenders..... | | | |
| 2131 | Guaranteed loan commitments exempt from limitation..... | | —3,010 | |
| 2150 | Total guaranteed loan commitments..... | | —3,010 | |
| Cumulative balance of guaranteed loans outstanding: | | | | |
| 2231 | Disbursements: Disbursements of new guaranteed loans..... | | | —3,0 |
| 2290 | Outstanding, end of year..... | | | —3,0 |
| MEMORANDUM | | | | |
| 2299 | U.S. contingent liability for guaranteed loans outstanding, end of year..... | | | —3,0 |

These reductions are required by the Balance Budget and Emergency Deficit Control Act of 1986 (Public Law 99-177).

VESSEL OPERATIONS REVOLVING FUND

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-4303-0-3-403 | | | |
| Program by activities: | | | |
| 10.00 Total obligations (object class 25.0)..... | 201,132 | 395,929 | 170,0 |
| Financing: | | | |
| 11.00 Offsetting collections from: Federal funds... | —209,043 | —389,246 | —170,0 |
| 21.98 Unobligated balance available, start of year: Fund balance..... | —7,772 | —15,684 | —9,0 |
| 24.98 Unobligated balance available, end of year: Fund balance..... | 15,684 | 9,000 | 9,0 |
| 39.00 Budget authority | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | —7,912 | 6,683 | |
| 72.98 Obligated balance, start of year: Fund balance..... | 20,457 | 35,704 | 42,0 |

| | | | | |
|-------|---|---------|---------|---------|
| 74.98 | Obligated balance, end of year: Fund balance..... | -35,704 | -42,386 | -42,386 |
| 90.00 | Outlays..... | -23,158 | | |

The Maritime Administration is authorized to reactivate, operate, deactivate, and charter merchant vessels. These operations are financed by the Vessel operations revolving fund. In addition, the fund is available to finance the necessary expenses to protect, maintain, preserve, acquire, and use vessels involved in mortgage foreclosure or forfeiture proceedings instituted by the United States; and to process advances received from Federal agencies.

Budget program.—The 1987 program anticipates an increased level of maintenance and upgrading of the Ready Reserve Fleet and activation and operation of ships as directed by the Navy.

Financing.—Expenses are principally financed by reimbursements from Federal agencies.

Revenue and Expense (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Operating income: Vessel operations: | | | |
| Revenue..... | 221,122 | 389,246 | 170,090 |
| Expense..... | -126,724 | -395,929 | -170,090 |
| Net income or loss (-)..... | 94,398 | -6,683 | |

Financial Condition (in thousands of dollars)

| | 1984 actual | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-------------|-----------|-----------|
| Assets: | | | | |
| Selected assets: | | | | |
| Fund balance with Treasury..... | 28,229 | 51,387 | 51,387 | 51,387 |
| Accounts receivable (net)..... | 66 | 48,383 | 36,251 | 36,251 |
| Other assets (net)..... | 30,319 | 44,503 | 44,503 | 44,503 |
| Total assets..... | 58,614 | 144,273 | 132,141 | 132,141 |
| Liabilities: | | | | |
| Selected liabilities: | | | | |
| Accounts payable including funded accrued liabilities..... | 5,492 | 6,449 | 1,000 | 1,000 |
| Advances received..... | 9,696 | | | |
| Total liabilities..... | 15,188 | 6,449 | 1,000 | 1,000 |
| Government equity: | | | | |
| Selected equities: | | | | |
| Unexpended budget authority: | | | | |
| Unobligated balance..... | 7,772 | 15,683 | 9,000 | 9,000 |
| Undelivered orders..... | 45,754 | 97,613 | 77,638 | 77,638 |
| Unfinanced budget authority: | | | | |
| Unfilled customer orders..... | -40,419 | -19,975 | | |
| Invested capital..... | 30,319 | 44,503 | 44,503 | 44,503 |
| Total Government equity..... | 43,426 | 137,824 | 131,141 | 131,141 |

Analysis of changes in Government equity:

| | | | |
|--|---------|---------|---------|
| Retained income: | | | |
| Opening balance..... | 43,426 | 137,824 | 131,141 |
| Transactions: Net income or loss (-)..... | 94,398 | -6,683 | |
| Closing balance..... | 137,824 | 131,141 | 131,141 |
| Total Government equity (end of year)..... | 137,824 | 131,141 | 131,141 |

WAR RISK INSURANCE REVOLVING FUND

Program and Financing (in thousands of dollars)

| Identification code | 69-4302-0-3-403 | 1985 actual | 1986 est. | 1987 est. |
|-------------------------------------|---|-------------|-----------|-----------|
| Program by activities: | | | | |
| 00.01 | Underwriting agents' fees..... | | 30 | 30 |
| 00.02 | Appraisal contractors' fees..... | | 220 | 220 |
| 00.03 | Insurance claims..... | | 200 | 200 |
| 10.00 | Total obligations..... | | 450 | 450 |
| Financing: | | | | |
| Offsetting collections from: | | | | |
| 11.00 | Federal funds..... | -1,278 | -1,372 | -1,214 |
| 14.00 | Non-Federal sources..... | | -15 | -15 |
| 21.98 | Unobligated balance available, start of year: Fund balance..... | -12,268 | -13,546 | -14,483 |
| 24.98 | Unobligated balance available, end of year: Fund balance..... | 13,546 | 14,483 | 15,262 |
| 39.00 | Budget authority..... | | | |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net..... | -1,278 | -937 | -779 |
| 72.10 | Receivables in excess of obligations, start of year..... | -126 | -322 | -359 |
| 74.10 | Receivables in excess of obligations, end of year..... | 322 | 359 | 238 |
| 90.00 | Outlays..... | -1,082 | -900 | -900 |

The Maritime Administration is authorized to insure against loss or damage from marine war risks until commercial insurance can be obtained on reasonable terms and conditions. This insurance includes war risk hull and disbursements interim insurance, war risk protection and indemnity interim insurance, second seamen's war risk interim insurance, and war risk cargo insurance standby program. Authority to underwrite insurance was extended to June 30, 1990, under Public Law 99-59.

Budget program.—It is estimated that during 1987 insurance coverage will be provided for approximately 448 U.S.-flag ships and 55 foreign-flag ships owned by U.S. citizens. The program also includes provision for second seamen's war risk insurance covering the crews of 10 vessels under contract with the Military Sealift Command.

Financing.—The War risk insurance revolving fund was established under 46 U.S.C. 1288. It receives income from binder fees, insurance premiums, interest from investments and claim reimbursements from other Federal agencies. Underwriting agents' fees and expenses, appraisal contractors' fees, and insurance claims are paid from this income.

Revenue and Expense (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Operating income or loss: | | | |
| Revenue..... | | 215 | 215 |
| Expense..... | | -450 | -450 |
| Net operating loss..... | | -235 | -235 |
| Nonoperating income: Interest from U.S. securities.. | 1,278 | 1,172 | 1,014 |
| Net income for the year..... | 1,278 | 937 | 779 |

Public enterprise funds—Continued

WAR RISK INSURANCE REVOLVING FUND—Continued

Financial Condition (in thousands of dollars)

| | 1984 actual | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-------------|-----------|-----------|
| Assets: | | | | |
| Selected assets: | | | | |
| Fund balance with Treasury..... | 63 | 54 | 45 | 41 |
| U.S. securities (par)..... | 12,080 | 13,170 | 14,080 | 14,984 |
| Accounts receivable (net)..... | 133 | 322 | 358 | 237 |
| Total assets..... | 12,276 | 13,546 | 14,483 | 15,262 |
| Liabilities: | | | | |
| Selected liabilities: | | | | |
| Accounts payable including funded accrued liabilities..... | 8 | | | |
| Government equity: | | | | |
| Selected equities: | | | | |
| Unexpended budget authority: | | | | |
| Unobligated balance..... | 12,268 | 13,546 | 14,483 | 15,262 |
| Total Government equity..... | 12,268 | 13,546 | 14,483 | 15,262 |
| Analysis of changes in Government equity: | | | | |
| Retained income: | | | | |
| Opening balance..... | | 12,268 | 13,546 | 14,483 |
| Transactions: | | | | |
| Net operating loss..... | | | -235 | -235 |
| Net nonoperating income..... | | 1,278 | 1,172 | 1,014 |
| Closing balance..... | | 13,546 | 14,483 | 15,262 |
| Total Government equity (end of year)..... | | 13,546 | 14,483 | 15,262 |

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-4302-0-3-403 | | | |
| 25.0 Other services..... | | 250 | 250 |
| 42.0 Insurance claims and indemnities..... | | 200 | 200 |
| 99.9 Total obligations..... | | 450 | 450 |

Trust Funds

SPECIAL STUDIES, SERVICES AND PROJECTS

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-8547-0-7-403 | | | |
| Program by activities: | | | |
| 00.01 Advanced ship operation systems..... | 266 | 285 | 285 |
| 00.02 Port and intermodal systems..... | 46 | 100 | 100 |
| 10.00 Total obligations (object class 25.0)..... | 312 | 385 | 385 |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year..... | 44 | 156 | 156 |
| 24.40 Unobligated balance available, end of year..... | 156 | 156 | 156 |
| 60.00 Budget authority (appropriation) (permanent, indefinite)..... | 424 | 385 | 385 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | 312 | 385 |
| 72.40 Obligated balance, start of year..... | | 166 | 458 |
| 74.40 Obligated balance, end of year..... | | -458 | -458 |
| 90.00 Outlays..... | | 20 | 385 |

This trust fund is maintained to finance joint projects with non-Federal organizations.

GIFTS AND BEQUESTS

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-8503-0-7-403 | | | |
| Program by activities: | | | |
| 10.00 Total obligations (object class 25.0)..... | 3 | 15 | 15 |
| Financing: | | | |
| Unobligated balance available, start of year: | | | |
| 21.40 Treasury balance..... | -10 | -6 | -1 |
| 21.40 U.S. securities (par)..... | -35 | -40 | -4 |
| Unobligated balance available, end of year: | | | |
| 24.40 Treasury balance..... | 6 | 6 | 1 |
| 24.40 U.S. securities (par)..... | 40 | 40 | 4 |
| 60.00 Budget authority (appropriation) (permanent, indefinite)..... | 3 | 15 | 15 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligation incurred, net..... | 3 | 15 | 15 |
| 72.40 Obligated balance, start of year..... | 10 | 2 | |
| 74.40 Obligated balance, end of year..... | -2 | -2 | |
| 90.00 Outlays..... | 11 | 15 | 15 |

The Secretary of Transportation is authorized to accept, hold, administer, and utilize gifts and bequests of property. Proceeds are used in accordance with the terms of the gift or bequest.

[GENERAL] ADMINISTRATIVE PROVISIONS—MARITIME ADMINISTRATION

Notwithstanding any other provision of this Act, the Maritime Administration is authorized to furnish utilities and services and make necessary repairs in connection with any lease, contract, or occupancy involving Government property under control of the Maritime Administration and payments received by the Maritime Administration for utilities, services, and repairs so furnished or made shall be credited to the appropriation charged with the cost thereof. *Provided*, That rental payments under any such lease, contract, or occupancy on account of items other than such utilities, services, or repairs shall be covered into the Treasury as miscellaneous receipts.

No obligations shall be incurred during the current fiscal year from the construction fund established by the Merchant Marine Act, 1936 or otherwise, in excess of the appropriations and limitations contained in this Act, or in any prior appropriation Act and all receipts which otherwise would be deposited to the credit of said fund shall be covered into the Treasury as miscellaneous receipts. *(The Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriation Act, 1986.)*

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

Federal Funds

Public enterprise funds:

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

The Saint Lawrence Seaway Development Corporation is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as amended, as may be necessary in carrying out the programs set forth in the Corporation's budget for the current fiscal year except as hereinafter provided. *(Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)*

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Program by activities: | | | |
| Operating expenses: | | | |
| 00.01 | 1,782 | 1,916 | 1,990 |
| 00.02 | 7,126 | 11,484 | 8,510 |
| 00.91 | 8,908 | 13,400 | 10,500 |
| Capital investment: | | | |
| 01.01 | 1,394 | 1,500 | 1,500 |
| 10.00 | 10,302 | 14,900 | 12,000 |
| Financing: | | | |
| 14.00 | | | |
| Offsetting collections from: Non-Federal sources: Revenue..... | | | |
| | -11,059 | -9,900 | -10,600 |
| Unobligated balance available, start of year: | | | |
| 21.47 | -3,200 | -3,200 | -3,200 |
| 21.98 | -11,104 | -11,862 | -6,862 |
| Unobligated balance available, end of year: | | | |
| 24.47 | 3,200 | 3,200 | 3,200 |
| 24.98 | 11,862 | 6,862 | 5,462 |
| 39.00 | | | |
| Budget authority..... | | | |
| Relation of obligations to outlays: | | | |
| 71.00 | -758 | 5,000 | 1,400 |
| 72.98 | 536 | 781 | 781 |
| 74.98 | -781 | -781 | -781 |
| 90.00 | -1,003 | 5,000 | 1,400 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | | | |
| Outlays..... | -1,003 | 5,000 | 1,400 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | | |
| Outlays..... | | -638 | -3 |
| Total: | | | |
| Budget authority..... | | | |
| Outlays..... | -1,003 | 4,362 | 1,397 |

The Saint Lawrence Seaway Development Corporation is a wholly owned Government Corporation responsible for the operation, maintenance and development of the United States portion of the St. Lawrence Seaway between Montreal and Lake Erie. Major priorities are to control Seaway Corporation costs and to encourage increased use of the Seaway system. The Seaway Corporation is focusing on ways to promote and market the Seaway system. The Corporation's 1987 budget reflects the activities to accomplish these objectives as follows:

Administrative expenses (limitation).—This program provides for management and administration of the Corporation.

Operations.—The program provides for operation of all facilities and for planning, engineering and development activities. Operations are conducted on a 24-hour day, 7-day week with maintenance functions being performed throughout the year.

Replacements and improvements.—This program provides for necessary replacement of aging equipment, machinery, and tools in addition to continuing improvements toward a safer and more economical system.

On the basis of current traffic projections and no increase in toll levels, the Corporation estimates its revenues for 1987 to reach \$10.6 million, which it proposes to apply as follows: (1) \$10.5 million for operations consisting of \$2.0 million for administrative expenses and \$8.5 million for operations, maintenance and development, and (2) \$0.1 million together with \$1.4 million in reserve funds for replacements and improvements. There are no new programs budgeted for 1987.

Revenue and Expense (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Operating income or loss: | | | |
| Operating program: | | | |
| Revenue: | | | |
| Shipping tolls..... | 9,717 | 9,000 | 9,800 |
| Other..... | 1,342 | 900 | 800 |
| Total revenue..... | 11,059 | 9,900 | 10,600 |
| Expense: | | | |
| Administrative..... | 1,782 | 1,916 | 1,990 |
| Operations..... | 6,870 | 11,234 | 8,760 |
| Total expense..... | 8,652 | 13,150 | 10,750 |
| Net operating income..... | 2,407 | -3,250 | -150 |
| Nonoperating income or loss: | | | |
| Provision for depreciation and losses..... | 1,975 | 2,000 | 2,000 |
| Net nonoperating loss..... | -1,975 | -2,000 | -2,000 |
| Net income or loss (-) for the year..... | 432 | -5,250 | -2,150 |

Financial Condition (in thousands of dollars)

| | 1984 actual | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-------------|-----------|-----------|
| Assets: | | | | |
| Selected assets: | | | | |
| Fund balance with Treasury..... | 11,641 | 12,644 | 7,644 | 6,244 |
| Accounts receivable, net..... | 1,138 | 718 | 718 | 718 |
| Accrued tolls, receivable unbilled..... | 300 | 328 | 328 | 328 |
| Selected assets, supplies..... | 535 | 601 | 601 | 601 |
| Fixed assets, net..... | 97,043 | 96,996 | 96,746 | 95,996 |
| Total assets..... | 110,657 | 111,287 | 106,037 | 103,887 |
| Liabilities: | | | | |
| Selected liabilities: | | | | |
| Accounts payable including funded accrued liabilities..... | 1,181 | 1,379 | 1,379 | 1,379 |
| Government equity: | | | | |
| Selected equities: | | | | |
| Unexpended budget authority: | | | | |
| Unobligated balance: | | | | |
| Regular..... | 9,304 | 9,361 | 4,361 | 2,961 |
| Reserve..... | 5,000 | 5,700 | 5,700 | 5,700 |
| Undelivered orders..... | 794 | 449 | 449 | 449 |
| Unfinanced budget authority: | | | | |
| Borrowing authority..... | -3,200 | -3,200 | -3,200 | -3,200 |
| Invested capital..... | 97,578 | 97,598 | 97,348 | 96,598 |
| Total Government equity..... | 109,476 | 109,908 | 104,658 | 102,508 |
| Analysis of changes in Government equity: | | | | |
| Paid-in capital: | | | | |
| Opening balance..... | | 109,976 | 109,976 | 109,976 |
| Closing balance..... | | 109,976 | 109,976 | 109,976 |
| Deficit: | | | | |
| Opening balance..... | | -500 | -68 | -5,318 |
| Net income or loss (-)..... | | 432 | -5,250 | -2,150 |

Public enterprise funds—Continued

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION—Continued

Financial Condition (in thousands of dollars)—Continued

| | 1984 actual | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-------------|-----------|-----------|
| Closing balance..... | | —68 | —5,318 | —7,468 |
| Total Government equity (end of year)..... | | 109,908 | 104,658 | 102,508 |

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-4089-0-3-403 | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent..... | 4,007 | 4,254 | 4,426 |
| 11.3 Other than full-time permanent..... | 243 | 254 | 261 |
| 11.5 Other personnel compensation..... | 244 | 253 | 260 |
| 11.8 Special personal services payments..... | 12 | 15 | 20 |
| 11.9 Total personnel compensation..... | 4,506 | 4,776 | 4,967 |
| 12.1 Personnel benefits: Civilian..... | 714 | 755 | 785 |
| 21.0 Travel and transportation of persons..... | 204 | 220 | 230 |
| 23.1 Standard level user charges..... | 40 | 40 | 61 |
| 23.2 Rental payments to others..... | 28 | 28 | 28 |
| 23.3 Communications, utilities, and miscellaneous charges..... | 227 | 236 | 245 |
| 24.0 Printing and reproduction..... | 36 | 38 | 40 |
| 25.0 Other services..... | 635 | 4,526 | 1,258 |
| 26.0 Supplies and materials..... | 736 | 865 | 896 |
| 31.0 Equipment..... | 741 | 593 | 523 |
| 32.0 Lands and structures..... | 653 | 907 | 977 |
| 93.0 Administrative expenses (see separate schedule)..... | 1,782 | 1,916 | 1,990 |
| 99.0 Subtotal, Administrative expenses..... | 10,302 | 14,900 | 12,000 |
| 99.9 Total obligations..... | 10,302 | 14,900 | 12,000 |

Personnel Summary

| | | | |
|---|-----|-----|-----|
| Total number of full-time permanent positions..... | 155 | 155 | 155 |
| Total compensable workyears: | | | |
| Full-time equivalent employment..... | 151 | 157 | 157 |
| Full-time equivalent of overtime and holiday hours..... | 11 | 12 | 12 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-4089-6-3-403 | | | |
| Program by activities: | | | |
| 10.00 Total obligations..... | | —641 | |
| Financing: | | | |
| 25.00 Reduction in new spending authority (offsetting collections)..... | | 641 | |
| 39.00 Budget authority..... | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | —641 | |
| 72.98 Obligated balance, start of year: Fund balance..... | | | —3 |
| 74.98 Obligated balance, end of year: Fund balance..... | | 3 | |
| 90.00 Outlays..... | | —638 | —3 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

LIMITATION ON ADMINISTRATIVE EXPENSES

Not to exceed **[\$1,916,000]** \$1,990,000 shall be available for administrative expenses which shall be computed on an accrual basis, including not to exceed \$3,000 for official entertainment expenses to be expended upon the approval or authority of the Secretary of Transportation: *Provided*, That Corporation funds shall be available for hire of passenger motor vehicles and aircraft, operation and maintenance of aircraft, uniforms or allowances therefor for operation and maintenance personnel, as authorized by law (5 U.S.C. 5901-59 and \$15,000 shall be available for services as authorized by 5 U.S.C. 3109. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 e. |
|--|--------------|--------------|----------|
| Program by activities: | | | |
| Administration (total accrued expenses—costs)..... | 1,782 | 1,916 | 1 |
| Financing: | | | |
| Unobligated balance lapsing..... | 10 | | |
| Limitation..... | 1,792 | 1,916 | 1 |
| Relation of obligations to outlays: | | | |
| Obligations incurred, net..... | 1,782 | 1,916 | 1 |
| Obligated balance, start of year..... | 51 | 53 | |
| Obligated balance, end of year..... | —53 | —55 | |
| Outlays from limitation..... | 1,780 | 1,914 | 1 |

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 e. |
|--|-------------|-----------|---------|
| 69-4089-0-3-403 | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent..... | 1,154 | 1,200 | 1 |
| 11.3 Other than full-time permanent..... | 7 | 10 | |
| 11.8 Special personal services payments..... | 3 | 3 | |
| 11.9 Total personnel compensation..... | 1,164 | 1,213 | 1 |
| 12.1 Personnel benefits: Civilian..... | 151 | 156 | |
| 21.0 Travel and transportation of persons..... | 166 | 175 | |
| 23.1 Standard level user charges..... | 39 | 39 | |
| 23.3 Communications, utilities, and miscellaneous charges..... | 70 | 78 | |
| 24.0 Printing and reproduction..... | 15 | 40 | |
| 25.0 Other services..... | 108 | 125 | |
| 26.0 Supplies and materials..... | 69 | 90 | |
| 93.0 Administrative expenses included in schedule for fund as a whole..... | —1,782 | —1,916 | —1 |
| 99.0 Total obligations..... | | | |

Personnel Summary

| | | |
|---|----|----|
| Total number of full-time permanent positions..... | 37 | 37 |
| Total compensable workyears: Full-time equivalent employment..... | 39 | 39 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| | 1985 actual | 1986 est. | 1987 e. |
|--|-------------|-----------|---------|
| Program by activities: | | | |
| Administration (total accrued expenses—costs)..... | | —82 | |
| Financing: | | | |
| Limitation (reduction)..... | | —82 | |
| Relation of obligations to outlays: | | | |
| Obligations incurred, net..... | | —82 | |
| Outlays from limitation..... | | —82 | |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

OFFICE OF THE INSPECTOR GENERAL

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, [§27,600,000] \$27,630,000. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-180.)

Program and Financing (in thousands of dollars)

| Identification code 69-0130-0-1-407 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | 26,652 | 27,600 | 27,630 |
| Financing: | | | |
| 25.00 Unobligated balance lapsing..... | 1,248 | | |
| 40.00 Budget authority (appropriation) .. | 27,900 | 27,600 | 27,630 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 26,652 | 27,600 | 27,630 |
| 72.40 Obligated balance, start of year..... | 8,134 | 3,679 | 4,379 |
| 74.40 Obligated balance, end of year..... | -3,679 | -4,379 | -5,109 |
| 77.00 Adjustments in expired accounts..... | -3,936 | | |
| 90.00 Outlays..... | 27,171 | 26,900 | 26,900 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(in thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | 27,900 | 27,600 | 27,630 |
| Outlays..... | 27,171 | 26,900 | 26,900 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -1,187 | |
| Outlays..... | | -1,035 | -152 |
| Total: | | | |
| Budget authority..... | 27,900 | 26,413 | 27,630 |
| Outlays..... | 27,171 | 25,865 | 26,748 |

This appropriation finances the cost of conducting and supervising audits and investigations relating to the programs and operations of the Department to promote economy, efficiency and effectiveness and to prevent and detect fraud and abuse in such programs and operations. It covers Headquarters and field operations, general management and administration.

Object Classification (in thousands of dollars)

| Identification code 69-0130-0-1-407 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent..... | 16,742 | 17,255 | 17,196 |
| 11.3 Other than full-time permanent..... | 273 | 280 | 280 |
| 11.5 Other personnel compensation..... | 180 | 330 | 180 |
| 11.9 Total personnel compensation..... | 17,195 | 17,865 | 17,656 |
| 12.1 Personnel benefits: Civilian..... | 2,397 | 2,550 | 2,579 |
| 13.0 Benefits for former personnel..... | 14 | 20 | 20 |
| 21.0 Travel and transportation of persons..... | 1,743 | 1,750 | 1,700 |
| 22.0 Transportation of things..... | 39 | 50 | 50 |
| 23.1 Standard level user charges..... | 1,152 | 1,195 | 1,500 |
| 23.2 Rental payments to others..... | 33 | 33 | 33 |
| 23.3 Communications, utilities, and miscellaneous charges..... | 326 | 400 | 400 |
| 24.0 Printing and reproduction..... | 82 | 90 | 90 |
| 25.0 Other services..... | 2,959 | 3,192 | 3,267 |
| 26.0 Supplies and materials..... | 126 | 152 | 155 |
| 31.0 Equipment..... | 586 | 300 | 177 |

| | | | |
|--|--------|--------|--------|
| 42.0 Insurance claims and indemnities..... | | 3 | 3 |
| 99.9 Total obligations..... | 26,652 | 27,600 | 27,630 |

Personnel Summary

| | | | |
|---|-----|-----|-----|
| Total number of full-time permanent positions..... | 474 | 471 | 459 |
| Total compensable workyears: | | | |
| Full-time equivalent employment..... | 468 | 466 | 462 |
| Full-time equivalent of overtime and holiday hours..... | 1 | 3 | 3 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code 69-0130-6-1-407 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | | -1,187 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -1,187 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -1,187 | |
| 72.40 Obligated balance, start of year..... | | | -152 |
| 74.40 Obligated balance, end of year..... | | 152 | |
| 90.00 Outlays..... | | -1,035 | -152 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

RESEARCH AND SPECIAL PROGRAMS ADMINISTRATION

Federal Funds

General and special funds:

RESEARCH AND SPECIAL PROGRAMS

For expenses necessary to discharge the functions of the Research and Special Programs Administration, for expenses for conducting research and development and for grants-in-aid to carry out a pipeline safety program, as authorized by [section 5 of] the Natural Gas Pipeline Safety Act of 1968 [(49 U.S.C. 1674); \$19,300,000] and the Hazardous Liquid Pipeline Safety Act of 1979, \$20,024,000, of which [\$6,975,000] \$6,555,000 shall remain available until expended: Provided, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190; additional authorizing legislation to be proposed for \$14,782,000.)

Program and Financing (in thousands of dollars)

| Identification code 69-0104-0-1-407 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 Operations..... | 12,723 | 12,325 | 13,469 |
| 00.02 Research and development..... | 2,698 | 2,475 | 2,055 |
| 00.03 Grants..... | 4,522 | 4,570 | 4,500 |
| 00.91 Total direct program..... | 19,943 | 19,370 | 20,024 |
| 01.01 Reimbursable program..... | 613 | 600 | 800 |
| 10.00 Total obligations..... | 20,556 | 19,970 | 20,824 |
| Financing: | | | |
| Offsetting collections from: | | | |
| 11.00 Federal funds..... | -613 | -600 | -600 |
| 14.00 Non-Federal sources..... | | | -200 |
| 17.00 Recovery of prior year obligations..... | -113 | | |
| 21.40 Unobligated balance available, start of year..... | -132 | -70 | |
| 24.40 Unobligated balance available, end of year..... | 70 | | |

General and special funds—Continued

RESEARCH AND SPECIAL PROGRAMS—Continued

Program and Financing (in thousands of dollars)—Continued

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-0104-0-1-407 | | | |
| 25.00 Unobligated balance lapsing | 83 | | |
| 39.00 Budget authority | 19,850 | 19,300 | 20,024 |
| Budget authority: | | | |
| 40.00 Appropriation | 18,900 | 19,300 | 20,024 |
| 42.00 Transferred from other accounts | 950 | | |
| 43.00 Appropriation (adjusted) | 19,850 | 19,300 | 20,024 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | 19,943 | 19,370 | 20,024 |
| 72.40 Obligated balance, start of year | 10,498 | 7,655 | 9,025 |
| 74.40 Obligated balance, end of year | -7,655 | -9,025 | -9,194 |
| 77.00 Adjustments in expired accounts | -167 | | |
| 78.00 Adjustments in unexpired accounts | -113 | | |
| 90.00 Outlays | 22,506 | 18,000 | 19,855 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(In thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|------------------------------------|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority | 19,850 | 19,300 | 20,024 |
| Outlays | 22,506 | 18,000 | 19,855 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -830 | |
| Outlays | | -512 | -187 |
| Total: | | | |
| Budget authority | 19,850 | 18,470 | 20,024 |
| Outlays | 22,506 | 17,488 | 19,668 |

The Research and Special Programs Administration serves as a research, analytical, and technical development arm of the Department for multimodal research and development, as well as special programs. Particular emphasis is given to pipeline safety and transportation of hazardous cargo by all modes of transportation. Responsibilities of the Administration are carried out under the following activities:

Operations.—Provides for salaries and expenses and for central supervisory and management functions, including a management information system necessary for overall planning and direction. Provides for management and execution of transportation programs involving aviation data management, national emergency plans/preparedness, and management of the Transportation Safety Institute. Provides for hazardous materials and pipeline safety regulatory and enforcement programs.

Research and development.—Provides for research to support the hazardous materials and pipeline safety regulatory programs, and to complement research programs of other operating administrations.

Grants.—Provides for grants-in-aid to State agencies that conduct a pipeline safety program.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-0104-0-1-407 | | | |
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 7,004 | 6,594 | 6,668 |
| 11.3 Other than full-time permanent | 483 | 500 | 500 |

| | | | |
|---|--------|--------|------|
| 11.5 Other personnel compensation | 34 | 40 | |
| 11.9 Total personnel compensation | 7,521 | 7,134 | 7,2 |
| 12.1 Personnel benefits: Civilian | 794 | 695 | 7 |
| 13.0 Benefits to former personnel | 4 | | |
| 21.0 Travel and transportation of persons | 438 | 384 | 3 |
| 22.0 Transportation of things | 3 | 8 | |
| 23.1 Standard level user charges | 698 | 698 | 7 |
| 23.3 Communications, utilities, and miscellaneous charges | 358 | 200 | 2 |
| 24.0 Printing and reproduction | 163 | 194 | 1 |
| 25.0 Other services | 5,455 | 5,406 | 5,7 |
| 26.0 Supplies and materials | 46 | 49 | |
| 31.0 Equipment | 13 | 32 | |
| 41.0 Grants, subsidies, and contributions | 4,450 | 4,570 | 4,5 |
| 42.0 Insurance claims and indemnities | | | 1 |
| 99.0 Subtotal, direct obligations | 19,943 | 19,370 | 20,0 |
| 99.0 Reimbursable obligations | 613 | 600 | 8 |
| 99.9 Total obligations | 20,556 | 19,970 | 20,8 |

Personnel Summary

| | | | |
|--|-----|-----|---|
| Total number of full-time permanent positions | 146 | 171 | 1 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 180 | 195 | 1 |
| Full-time equivalent of overtime and holiday hours | 1 | 1 | |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0104-6-1-407 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | -830 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -830 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -830 | |
| 72.40 Obligated balance, start of year | | | -3 |
| 74.40 Obligated balance, end of year | | 318 | 1 |
| 90.00 Outlays | | -512 | -1 |

These reductions are required by the Balance Budget and Emergency Deficit Control Act of 1986 (Public Law 99-177).

COOPERATIVE AUTOMOTIVE RESEARCH

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0107-0-1-401 | | | |
| Financing: | | | |
| 17.00 Recovery of prior year obligations | | -1 | |
| 21.40 Unobligated balance available, start of year | -1 | -1 | |
| 24.40 Unobligated balance available, end of year | 1 | | |
| 25.00 Unobligated balance lapsing | | 2 | |
| 39.00 Budget authority | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | | |
| 72.40 Obligated balance, start of year | 1 | 1 | |
| 74.40 Obligated balance, end of year | -1 | | |
| 78.00 Adjustments in unexpired accounts | | | -1 |
| 90.00 Outlays | | | |

This program has been discontinued.

Intragovernmental funds:

WORKING CAPITAL FUND, TRANSPORTATION SYSTEMS CENTER

Program and Financing (in thousands of dollars)

| Identification code 69-4522-0-4-407 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | 76,065 | 70,000 | 42,900 |
| Financing: | | | |
| Offsetting collections from: | | | |
| 11.00 Federal funds..... | 58,884 | 50,534 | 19,567 |
| 13.00 Trust funds..... | 12,344 | 10,593 | 4,333 |
| 17.00 Recovery of prior year obligations..... | 827 | | |
| 21.98 Unobligated balance available, start of year: Fund balance..... | 31,883 | 27,873 | 19,000 |
| 24.98 Unobligated balance available, end of year: Fund balance..... | 27,873 | 19,000 | |
| 39.00 Budget authority..... | | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 4,837 | 8,873 | 19,000 |
| 72.98 Obligated balance, start of year: Fund balance..... | 24,018 | 27,565 | 21,438 |
| 74.98 Obligated balance, end of year: Fund balance..... | 27,565 | 21,438 | 5,000 |
| 78.00 Adjustments in unexpired accounts..... | 827 | | |
| 90.00 Outlays..... | 463 | 15,000 | 35,438 |

The Working Capital Fund finances multidisciplinary research, evaluation, and analysis activities undertaken at the Transportation Systems Center (TSC) in Cambridge, MA. The fund is financed through negotiated agreements with the Office of the Secretary, Departmental operating administrations, and other governmental elements requiring the Center's capabilities. These agreements also define the activities undertaken at TSC. As part of an administration initiative to shift Federal activities to the private sector, it is proposed that activities of the TSC be carried out by contract with a non-Federal entity after April 1, 1987.

Object Classification (in thousands of dollars)

| Identification code 69-4522-0-4-407 | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| Personnel compensation: | | | |
| 11.1 Full-time permanent..... | 19,291 | 19,036 | 10,179 |
| 11.3 Other than full-time permanent..... | 771 | 780 | 362 |
| 11.5 Other personnel compensation..... | 228 | 233 | 109 |
| 11.9 Total personnel compensation..... | 20,290 | 20,049 | 10,650 |
| 12.1 Personnel benefits: Civilian..... | 2,347 | 2,321 | 1,063 |
| 13.0 Benefits for former personnel..... | 32 | | 8,500 |
| 21.0 Travel and transportation of persons..... | 936 | 1,079 | 595 |
| 22.0 Transportation of things..... | 87 | 90 | 50 |
| 23.3 Communications, utilities, and miscellaneous charges..... | 2,514 | 2,800 | 1,450 |
| 24.0 Printing and reproduction..... | 308 | 310 | 160 |
| 25.0 Other services..... | 43,686 | 37,001 | 17,182 |
| 26.0 Supplies and materials..... | 1,263 | 1,350 | 700 |
| 31.0 Equipment..... | 4,498 | 4,900 | 2,500 |
| 32.0 Lands and structures..... | 104 | 100 | 50 |
| 99.9 Total obligations..... | 76,065 | 70,000 | 42,900 |

Personnel Summary

| | | | |
|---|-----|-----|-----|
| Total number of full-time permanent positions..... | 527 | 527 | |
| Total compensable workyears: | | | |
| Full-time equivalent employment..... | 541 | 525 | 239 |
| Full-time equivalent of overtime and holiday hours..... | 3 | 3 | 2 |

OFFICE OF THE SECRETARY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Office of the Secretary of Transportation, including not to exceed \$30,000 for allocation within the Department of official reception and representation expenses as the Secretary may determine, [\$51,300,000, together with \$500,000 of the unobligated balances available under this head at the beginning of fiscal year 1986, and] \$56,540,000, of which \$2,950,000 shall remain available until expended and shall be available for conducting transportation planning, research and development activities; and of which \$3,500,000 shall remain available until expended and shall be available for the purposes of the Minority Business Resources Center as authorized by 49 U.S.C. 382: *Provided*, That, notwithstanding any other provision of law, funds available for the purposes of the Minority Business Resource Center in this or any other Act may be used for business opportunities related to any mode of transportation: *Provided further*, That the unexpended balance of the appropriation "Office of the Secretary, Transportation Planning, Research, and Development" shall be transferred to and merged with this appropriation and shall remain available until expended.

[TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT]

[For necessary expenses for conducting transportation planning, research, and development activities, including the collection of national transportation statistics, and university research and internships, to remain available until expended, \$3,500,000.] (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code 69-0102-0-1-407 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| Direct program: | | | |
| 00.01 General administration..... | 46,351 | 47,800 | 50,090 |
| 00.02 Minority Business Resource Center..... | 3,429 | 6,571 | 4,000 |
| 00.03 Research and development..... | 4,800 | 4,962 | 2,950 |
| 00.91 Total direct program..... | 54,580 | 59,333 | 57,040 |
| 01.01 Reimbursable program..... | 2,234 | 4,100 | 4,000 |
| 10.00 Total obligations..... | 56,814 | 63,433 | 61,040 |
| Financing: | | | |
| 11.00 Offsetting collections from: Federal funds..... | 2,234 | 4,100 | 4,000 |
| 17.00 Recovery of prior year obligations..... | 1,815 | | |
| 21.40 Unobligated balance available, start of year..... | 2,247 | 5,033 | 500 |
| 24.40 Unobligated balance available, end of year..... | 5,033 | 500 | |
| 25.00 Unobligated balance lapsing..... | 632 | | |
| 39.00 Budget authority..... | 56,184 | 54,800 | 56,540 |
| Budget authority: | | | |
| 40.00 Appropriation..... | 55,700 | 54,800 | 56,540 |
| 41.00 Transferred to other accounts..... | 65 | | |
| 42.00 Transferred from other accounts..... | 549 | | |
| 43.00 Appropriation (adjusted)..... | 56,184 | 54,800 | 56,540 |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 54,580 | 59,333 | 57,040 |
| 72.40 Obligated balance, start of year..... | 20,953 | 21,783 | 19,680 |
| 73.40 Obligated balance transferred, net..... | 2,744 | | |
| 74.40 Obligated balance, end of year..... | 21,783 | 19,680 | 17,675 |
| 77.00 Adjustments in expired accounts..... | 567 | | |
| 78.00 Adjustments in unexpired accounts..... | 1,815 | | |
| 90.00 Outlays..... | 55,247 | 61,436 | 59,045 |
| Distribution of budget authority by account: | | | |
| Salaries and expenses..... | 50,549 | 51,300 | 56,540 |
| Transportation, planning, research and development..... | 5,635 | 3,500 | 2,950 |

General and special funds—Continued

SALARIES AND EXPENSES—Continued

| Program and Financing (in thousands of dollars)—Continued | | | |
|---|-------------|-----------|-----------|
| Identification code | 1985 actual | 1986 est. | 1987 est. |
| 69-0102-0-1-407 | | | |
| Distribution of outlays by account: | | | |
| Salaries and expenses | 50,544 | 54,184 | 56,564 |
| Transportation, planning, research and development | 4,703 | 7,252 | 2,481 |

Note.—The activities previously funded under Office of the Secretary, Transportation Planning, Research and Development in 1985 and 1986 are presented in these schedules and are proposed to be financed in this account in 1987. Budget authority and outlays are distributed by account above.

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

| (In thousands of dollars) | | | |
|------------------------------------|-------------|---------------|---------------|
| | 1985 actual | 1986 estimate | 1987 estimate |
| Enacted/requested: | | | |
| Budget authority | 56,184 | 54,800 | 56,540 |
| Outlays | 55,247 | 61,436 | 59,045 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority | | -2,357 | |
| Outlays | | -2,056 | -301 |
| Total: | | | |
| Budget authority | 56,184 | 52,443 | 56,540 |
| Outlays | 55,247 | 59,380 | 58,744 |

Status of Direct Loans (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-0102-0-1-407 | | | |
| Cumulative balance of direct loans outstanding: | | | |
| 1210 Outstanding, start of year | 11,002 | 11,002 | 11,002 |
| 1290 Outstanding, end of year | 11,002 | 11,002 | 11,002 |

General administration.—This appropriation finances the costs of policy development and central supervisory and coordinating functions necessary for the overall planning and direction of the Department. It covers the immediate secretarial offices as well as those of the assistant secretaries (including staff formerly financed under Transportation Planning, Research and Development) and the general counsel. Also covered are staff assistance and supervision of general management and administration in the Department and execution of functions carried out by the Civil Aeronautics Board prior to January 1, 1985. In addition, the Office of the Secretary provides services on a reimbursable basis for technical assistance to transportation projects, and participation in intergovernmental planning groups. The 1987 budget proposes reductions associated with the termination of the Essential Air Service programs and various administrative reductions.

The Minority Business Resource Center.—This activity provides contractual support for the Center, which now operates in the Office of the Secretary to assist minority business firms, entrepreneurs, and venture groups in securing contracts and subcontracts arising out of projects that involve Federal funding.

Research and Development.—It is proposed that contract support for this activity be financed under this appropriation in 1987. It finances those research activities and studies concerned with planning, analysis, and information development needed to support the Secretary's responsibilities in the formulation of national transportation policies. Included are research, special programs, and university research and internships.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-0102-0-1-407 | | | |
| Direct obligations: | | | |
| Personnel compensation: | | | |
| 11.1 Full-time permanent | 29,261 | 31,292 | 29,350 |
| 11.3 Other than full-time permanent | 1,767 | 1,497 | 1,490 |
| 11.5 Other personnel compensation | 560 | 555 | 550 |
| 11.8 Special personal services payments | 142 | 143 | 250 |
| 11.9 Total personnel compensation | 31,730 | 33,487 | 31,660 |
| 12.1 Personnel benefits: Civilian | 3,737 | 3,755 | 3,700 |
| 21.0 Travel and transportation of persons | 524 | 650 | 600 |
| 22.0 Transportation of things | 47 | 9 | |
| 23.1 Standard level user charges | 3,644 | 3,671 | 5,600 |
| 23.3 Communications, utilities, and miscellaneous charges | 1,181 | 1,278 | 1,250 |
| 24.0 Printing and reproduction | 903 | 962 | 940 |
| 25.0 Other services | 12,190 | 15,076 | 12,920 |
| 26.0 Supplies and materials | 180 | 245 | 240 |
| 31.0 Equipment | 238 | 200 | 100 |
| 41.0 Grants, subsidies, and contributions | 206 | | |
| 99.0 Subtotal, direct obligations | 54,580 | 59,333 | 57,040 |
| 99.0 Reimbursable obligations | 2,234 | 4,100 | 4,000 |
| 99.9 Total obligations | 56,814 | 63,433 | 61,040 |

Personnel Summary

| | | | |
|--|-----|-----|-----|
| Direct: | | | |
| Total number of full-time permanent positions | 809 | 779 | 720 |
| Total compensable workyears: | | | |
| Full-time equivalent employment | 770 | 789 | 740 |
| Full-time equivalent of overtime and holiday hours | 5 | 5 | |
| Reimbursable: | | | |
| Total number of full-time permanent positions | 3 | 4 | |
| Total compensable workyears: Full-time equivalent employment | 18 | 47 | 30 |

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0102-6-1-407 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | -2,357 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation) | | -2,357 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net | | -2,357 | |
| 72.40 Obligated balance, start of year | | | -300 |
| 74.40 Obligated balance, end of year | | 301 | |
| 90.00 Outlays | | -2,056 | -300 |

These reductions are required by the Balance Budget and Emergency Deficit Control Act of 1987 (Public Law 99-177).

ACTIVITIES TRANSFERRED FROM SALARIES AND EXPENSES, INTERSTATE COMMERCE COMMISSION

(Proposed for later transmittal, proposed legislation)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|-------------------------------|-------------|-----------|-----------|
| 69-0110-2-1-401 | | | |
| Program by activities: | | | |
| 10.00 Total obligations | | | 7,800 |

| | |
|---|-------|
| Financing: | |
| 60 00 Budget authority (appropriation)..... | 7,800 |
| Relation of obligations to outlays: | |
| 71 00 Obligations incurred, net..... | 7,800 |
| 90 00 Outlays..... | 7,800 |

Legislation will be proposed to sunset the Interstate Commerce Commission at the end of 1986 and transfer residual functions to the Department of Transportation, except that rail antitrust functions will transfer to the Department of Justice.

| Object Classification (in thousands of dollars) | | | |
|--|-------------|-----------|-----------|
| Identification code | 1985 actual | 1986 est. | 1987 est. |
| 69-0110-2-1-401 | | | |
| Personnel compensation: | | | |
| 11 1 Full-time permanent..... | | | 5,864 |
| 11 3 Other than full-time permanent..... | | | 96 |
| 11 5 Other personnel compensation..... | | | 83 |
| 11 9 Total personnel compensation..... | | | 5,998 |
| 12 1 Personnel benefits: Civilian..... | | | 680 |
| 11 0 Benefits to former personnel..... | | | 122 |
| 21 0 Travel and transportation of persons..... | | | 140 |
| 23 1 Standard level user charges..... | | | 384 |
| 23 3 Communications, utilities, and miscellaneous charges..... | | | 150 |
| 24 0 Printing and reproduction..... | | | 46 |
| 25 0 Other services..... | | | 170 |
| 26 0 Supplies and materials..... | | | 33 |
| 31 0 Equipment..... | | | 42 |
| 99 9 Total obligations..... | | | 7,800 |

Personnel Summary

| | |
|---|-----|
| Total number of full-time permanent positions..... | 160 |
| Total compensable workyears: | |
| Full time equivalent employment..... | 155 |
| Full time equivalent of overtime and holiday hours..... | |

ACTIVITIES TRANSFERRED FROM PAYMENTS FOR DIRECTED RAIL SERVICE, INTERSTATE COMMERCE COMMISSION

(Proposed for later transmittal, proposed legislation)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0111-2-1-401 | | | |
| Financing: | | | |
| 77 40 Unobligated balance transferred, net..... | | | 53 |
| 74 40 Unobligated balance available, end of year.. | | | -53 |
| 99 00 Budget authority..... | | | |
| Relation of obligations to outlays: | | | |
| 71 00 Obligations incurred, net..... | | | |
| 90 00 Outlays..... | | | |

The Directed Rail Service Program reimburses railroads for the costs of services provided over the track of a railroad which ceased operations, at the direction of the Federal Government. Legislation will be proposed to sunset the Interstate Commerce Commission at the end of 1986 and transfer this activity to the Department of Transportation.

[PAYMENTS TO AIR CARRIERS]

[For payments to air carriers of so much of the compensation fixed and determined under section 419 of the Federal Aviation Act of 1958, as amended (49 U.S.C. 1389), as is payable by the Department of Transportation, \$28,000,000, to remain available until expended.] (49 U.S.C. 1551; Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|-------------|-----------|-----------|
| 69-0150-0-1-402 | | | |
| Program by activities: | | | |
| 10.00 Section 419 subsidy (total obligations) (object class 41.0)..... | 34,949 | 36,500 | |
| Financing: | | | |
| 21.40 Unobligated balance available, start of year..... | -11,215 | -16,074 | -7,574 |
| 22.40 Unobligated balance transferred, net..... | 1,611 | | |
| 24.40 Unobligated balance available, end of year.. | 16,074 | 7,574 | 7,574 |
| 39.00 Budget authority..... | 41,419 | 28,000 | |
| Budget authority: | | | |
| 40.00 Appropriation..... | 52,000 | 28,000 | |
| 41.00 Transferred to other accounts..... | -10,581 | | |
| 43.00 Appropriation (adjusted)..... | 41,419 | 28,000 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 34,949 | 36,500 | |
| 72.40 Obligated balance, start of year..... | 3,685 | 4,947 | 2,447 |
| 74.40 Obligated balance, end of year..... | -4,947 | -2,447 | |
| 90.00 Outlays..... | 33,687 | 39,000 | 2,447 |

SUMMARY OF BUDGET AUTHORITY AND OUTLAYS

(in thousands of dollars)

| | 1985 actual | 1986 estimate | 1987 estimate |
|---|-------------|---------------|---------------|
| Enacted/requested: | | | |
| Budget authority..... | 41,419 | 28,000 | |
| Outlays..... | 33,687 | 39,000 | 2,447 |
| Reduction pursuant to P.L. 99-177: | | | |
| Budget authority..... | | -1,204 | |
| Outlays..... | | -1,126 | -78 |
| Total: | | | |
| Budget authority..... | 41,419 | 26,796 | |
| Outlays..... | 33,687 | 37,874 | 2,369 |

The Secretary of Transportation, through powers of delegation and review, now administers the section 419 subsidy program, which was added as part of the Airline Deregulation Act of 1978. Subsidy under this program is paid to airlines—primarily commuter carriers. No funds are requested for this program in 1987.

Reduction Pursuant to Public Law 99-177

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| 69-0150-6-1-402 | | | |
| Program by activities: | | | |
| 10.00 Total obligations..... | | -1,204 | |
| Financing: | | | |
| 40.00 Budget authority (appropriation)..... | | -1,204 | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | | -1,204 | |
| 72.40 Obligated balance, start of year..... | | | -78 |
| 74.40 Obligated balance, end of year..... | | 78 | |
| 90.00 Outlays..... | | -1,126 | -78 |

These reductions are required by the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177).

General and special funds—Continued

TRANSPORTATION RESEARCH ACTIVITIES OVERSEAS
(SPECIAL FOREIGN CURRENCY PROGRAM)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. |
|--|--|-----------|-----------|
| 69-0105-0-1-407 | | | |
| Financing: | | | |
| 21.40 | Unobligated balance available, start of year | -1 | -1 |
| 24.40 | Unobligated balance available, end of year.. | 1 | |
| 25.00 | Unobligated balance lapsing..... | | 1 |
| 39.00 | Budget authority | | |
| Relation of obligations to outlays: | | | |
| 71.00 | Obligations incurred, net..... | | |
| 72.40 | Obligated balance, start of year..... | 19 | 19 |
| 74.40 | Obligated balance, end of year..... | -19 | |
| 90.00 | Outlays | | 19 |

Foreign currencies in excess of the normal requirements of the United States have been made available in prior years for research in foreign countries.

Intragovernmental funds:

WORKING CAPITAL FUND

Necessary expenses for operating costs and capital outlays of the Department of Transportation Working Capital Fund not to exceed **[\$64,500,000]** \$69,640,000 shall be paid, in accordance with law, from appropriations made available by this Act and prior appropriation Acts to the Department of Transportation, together with advances and reimbursements received by the Department of Transportation. (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)

Program and Financing (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. | |
|---|---|---------------|---------------|---------------|
| 69-4520-0-4-407 | | | | |
| Program by activities: | | | | |
| Operating expenses: | | | | |
| Publishing and graphics activities: | | | | |
| 00.01 | Direct operating expenses..... | 13,169 | 12,903 | 13,359 |
| 00.02 | Overhead expenses..... | 470 | 490 | 499 |
| Support services activities: | | | | |
| 00.10 | Direct operating expenses..... | 8,018 | 11,516 | 15,120 |
| 00.11 | Overhead expenses..... | 283 | 438 | 558 |
| Library services: | | | | |
| 00.20 | Direct operating expenses..... | 2,376 | 2,349 | 2,612 |
| 00.21 | Overhead expenses..... | 82 | 89 | 97 |
| Transportation computer activities: | | | | |
| 00.30 | Direct operating expenses..... | 27,992 | 32,112 | 33,020 |
| 00.31 | Overhead expenses..... | 1,000 | 1,218 | 1,231 |
| 00.91 | Total operating expenses | 53,390 | 61,115 | 66,496 |
| Capital investment: Purchase of equipment: | | | | |
| 01.01 | Publishing and graphics activities..... | 284 | 200 | |
| 01.02 | Support services activities..... | 82 | 58 | 35 |
| 01.03 | Library services..... | 5 | | |
| 01.04 | Transportation computer activities..... | 709 | 3,127 | 3,109 |
| 01.91 | Total capital investment | 1,080 | 3,385 | 3,144 |
| 10.00 | Total obligations | 54,470 | 64,500 | 69,640 |
| Financing: | | | | |
| 11.00 | Offsetting collections from: Federal funds... | -41,970 | -65,000 | -70,240 |
| 17.00 | Recovery of prior year obligations..... | -10,840 | | |
| 21.98 | Unobligated balance available, start of year: Fund balance..... | | -632 | -1,132 |

| | | | | |
|--|---|--------------|------------|------------|
| 24.98 | Unobligated balance available, end of year: Fund balance..... | 632 | 1,132 | 1,732 |
| 39.00 | Budget authority | | | |
| Relation of obligations to outlays: | | | | |
| 71.00 | Obligations incurred, net..... | 12,500 | -500 | -600 |
| 72.10 | Receivables in excess of obligations, start of year..... | | -2,295 | -3,000 |
| 72.98 | Obligated balance, start of year: Fund balance..... | 5,607 | | |
| 74.10 | Receivables in excess of obligations, end of year..... | 2,295 | 3,000 | 4,100 |
| 78.00 | Adjustments in unexpired accounts..... | -10,840 | | |
| 90.00 | Outlays | 9,561 | 205 | 500 |

The working capital fund finances common administrative services that are centrally performed in the interest of economy and efficiency in the Department.

Services rendered are charged at rates that return in full all operating expenses, including a normal reserve for accrued annual leave and depreciation of equipment. The fund is reimbursed by the operating administrations and offices being served.

The activities of the working capital fund have been consolidated as follows:

Publishing and graphics activities include publishing and graphic programs; still photographic services; and visuals services.

Support services activities include imprest fund; central employment information; warehouse management; parking management; chauffeur services; mail and messenger service; management information center; facilities and space management; and security service.

Library services include information retrieval.

Transportation computer activities include computer time-sharing services and the transportation computer center.

Object Classification (in thousands of dollars)

| Identification code | 1985 actual | 1986 est. | 1987 est. | |
|--------------------------------|---|---------------|---------------|---------------|
| 69-4520-0-4-407 | | | | |
| Personnel compensation: | | | | |
| 11.1 | Full-time permanent..... | 8,107 | 8,030 | 8,235 |
| 11.3 | Other than full-time permanent..... | 286 | 250 | 250 |
| 11.5 | Other personnel compensation..... | 276 | 200 | 200 |
| 11.9 | Total personnel compensation | 8,669 | 8,480 | 8,685 |
| 12.1 | Personnel benefits: Civilian..... | 1,032 | 1,022 | 1,047 |
| 13.0 | Benefits for former personnel..... | 2 | | |
| 21.0 | Travel and transportation of persons..... | 101 | 115 | 115 |
| 22.0 | Transportation of things..... | 5 | 14 | 14 |
| 23.1 | Standard level user charges..... | 2,444 | 3,529 | 5,522 |
| 23.3 | Communications, utilities, and miscellaneous charges..... | 4,569 | 7,198 | 10,117 |
| 24.0 | Printing and reproduction..... | 37 | 63 | 67 |
| 25.0 | Other services..... | 34,717 | 38,838 | 39,018 |
| 26.0 | Supplies and materials..... | 1,814 | 1,856 | 1,911 |
| 31.0 | Equipment..... | 1,080 | 3,385 | 3,144 |
| 99.9 | Total obligations | 54,470 | 64,500 | 69,640 |

Personnel Summary

| | | | |
|---|-----|-----|-----|
| Total number of full-time permanent positions..... | 346 | 318 | 309 |
| Total compensable workyears: | | | |
| Full-time equivalent employment..... | 321 | 325 | 304 |
| Full-time equivalent of overtime and holiday hours..... | 2 | 2 | 2 |

Trust Funds
GIFTS AND [DONATIONS] BEQUESTS

Program and Financing (in thousands of dollars)

| Maintenance code 69-8548-D-7-407 | 1985 actual | 1986 est. | 1987 est. |
|---|-------------|-----------|-----------|
| Program by activities: | | | |
| 10.00 Total obligations..... | 3 | | |
| Financing: | | | |
| Unobligated balance available, start of year: | | | |
| 21.40 Treasury balance..... | -31 | -27 | -27 |
| 21.40 U.S. securities (par)..... | -1 | -1 | -1 |
| Unobligated balance available, end of year: | | | |
| 24.40 Treasury balance..... | 27 | 27 | 27 |
| 24.40 U.S. securities (par)..... | 1 | 1 | 1 |
| 60.00 Budget authority (appropriation) (permanent, indefinite)..... | -3 | | |
| Relation of obligations to outlays: | | | |
| 71.00 Obligations incurred, net..... | 3 | | |
| 72.40 Obligated balance, start of year..... | | 3 | |
| 74.40 Obligated balance, end of year..... | -3 | | |
| 90.00 Outlays..... | | 3 | |

Donations received are available for transportation activities.

TITLE III—GENERAL PROVISIONS

Sec. 301. During the current fiscal year applicable appropriations to the Department of Transportation shall be available for maintenance and operation of aircraft; hire of passenger motor vehicles and aircraft; purchase of liability insurance for motor vehicles operating in foreign countries on official department business; and uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902).

Sec. 302. Funds appropriated for the Panama Canal Commission may be apportioned notwithstanding section 3679 of the Revised Statutes, as amended (31 U.S.C. 1341), to the extent necessary to permit payment of such pay increases for officers or employees as may be authorized by administrative action pursuant to law which are not in excess of statutory increases granted for the same period in corresponding rates of compensation for other employees of the Government in comparable positions.

Sec. 303. Funds appropriated under this Act for expenditures by the Federal Aviation Administration shall be available (1) except as otherwise authorized by the Act of September 30, 1950 (20 U.S.C. 236-244), for expenses of primary and secondary schooling for dependents of Federal Aviation Administration personnel stationed outside the continental United States at costs for any given area not in excess of those of the Department of Defense for the same area, when it is determined by the Secretary that the schools, if any, available in the locality are unable to provide adequately for the education of such dependents and (2) for transportation of said dependents between schools serving the area which they attend and their places of residence when the Secretary, under such regulations as may be prescribed, determines that such schools are not accessible by public means of transportation on a regular basis.

Sec. 304. Appropriations contained in this Act for the Department of Transportation shall be available for services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-18.

Sec. 305. None of the funds appropriated in this Act for the Panama Canal Commission may be expended unless in conformance with the Panama Canal Treaties of 1977 and any law implementing those treaties.

Sec. 306. None of the funds provided in this Act may be used for planning or construction of rail-highway crossings under section 322(a) of title 23, United States Code, or under section 701(a)(5) or section 703(1)(A) of the Railroad Revitalization and Regulatory Reform Act of 1976 at the—

- (1) School Street crossing in Groton, Connecticut; and
- (2) Broadway Extension crossing in Stonington, Connecticut.]

Sec. [307] 306. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

Sec. 308. None of the funds in this Act shall be used to assist, directly or indirectly, any State in imposing mandatory State inspection fees or sticker requirements on vehicles which are lawfully registered in another State, including vehicles engaged in interstate commercial transportation which are in compliance with Part 396—Inspection and Maintenance of the Federal Motor Carrier Safety Regulations of the United States Department of Transportation.]

Sec. [309] 307. None of the funds contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

Sec. 310. Notwithstanding any other provision of law, total amounts of contract authority authorized for fiscal year 1986 in section 21(a)(2)(B) of the Urban Mass Transportation Act of 1964, as amended, shall be available for obligation through fiscal year 1989.]

Sec. 311. None of the funds in this or any other Act shall be available for the planning or implementation of any change in the current federal status of the Transportation Systems Center.]

Sec. [312] 308. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive Order issued pursuant to existing law.

Sec. [313] 309. (a) For fiscal year [1986] 1987 the Secretary of Transportation shall distribute the obligation limitation for Federal-aid highways by allocation in the ratio which sums authorized to be appropriated for Federal-aid highways and highway safety construction which are apportioned or allocated to each State for such fiscal year bear to the total of the sums authorized to be appropriated for Federal-aid highways and highway safety construction which are apportioned or allocated to all the States for such fiscal year.

(b) During the period October 1 through December 31, [1985] 1986, no State shall obligate more than 40 per centum of the amount distributed to such State under subsection (a), and the total of all State obligations during such period shall not exceed 25 per centum of the total amount distributed to all States under such subsection.

(c) Notwithstanding subsection (a) and (b), the Secretary shall—

(1) provide all States with authority sufficient to prevent lapses of sums authorized to be appropriated for Federal-aid highways and highway safety construction which have been apportioned to a State, except in those instances in which a State indicates its intention to lapse sums apportioned under section 104(b)(5)(A) of title 23, United States Code;

(2) after August 1, [1986] 1987, revise a distribution of the funds made available under subsection (a) if a State will not obligate the amount distributed during that fiscal year and redistribute sufficient amounts to those States able to obligate amounts in addition to those previously distributed during that fiscal year giving priority to those States having large unobligated balances of funds apportioned under section 104 of title 23, United States Code, and giving priority to those States which, because of statutory changes made by the Surface Transportation Assistance Act of 1982 and the Federal-Aid Highway Act of 1981, have experienced substantial proportional reductions in their apportionments and allocations; and

(3) not distribute amounts authorized for administrative expenses, [and] the Federal Lands Highway [Programs.] Program, the Block Grant Program, the Strategic Highway Research Program, and the Motor Carrier Safety Grants Program.

Sec. 314. None of the funds in this Act shall be available for salaries and expenses of more than one hundred thirty-eight political appointees in the Department of Transportation.]

Sec. 315. Not to exceed \$1,700,000 of the funds provided in this Act for the Department of Transportation shall be available for the necessary expenses of advisory committees.]

Sec. 316. The limitation on obligations for Federal-aid highways and highway safety construction programs for fiscal year 1986 shall not apply to obligations for the remaining approach and bridge removal work necessary to complete the new bridge alignment for the Zilwaukee Bridge.]

Sec. 317. (a) Section 5(b)(2) of the Urban Mass Transportation Act of 1964 is amended by inserting after the first sentence the following

new sentence: "Any funds apportioned for fiscal year 1982 or 1983 under subsection (a) for expenditure in an urbanized area with a population of less than 200,000 may be expended in an urbanized area with a population of 200,000 or more."]

[(b) Section 5(c)(4) of the Urban Mass Transportation Act of 1964 is amended by striking the period at the end of the first sentence, and inserting the following: "except that any fiscal year 1982 funds made available to a Governor under section 5(b)(2) of the Urban Mass Transportation Act of 1964, as amended, that are unobligated as of October 1, 1985, or become unobligated thereafter, shall remain available for expenditure under section 5 until October 1, 1986."]

[Sec. 318. Notwithstanding any other provision of law, within 60 days of the effective date of this Act the Urban Mass Transportation Administration shall reapportion under section 9 of the Urban Mass Transportation Act of 1964, as amended, those funds available for reapportionment pursuant to subsection (c)(4) of section 5 of that Act.]

[Sec. 319. None of the funds in this or any other Act shall be made available for the proposed Woodward light rail line in the Detroit, Michigan, area until a source of operating funds has been approved in accordance with Michigan law: *Provided*, That this limitation shall not apply to alternatives analysis studies under section 21(a)(2)(B) of the Urban Mass Transportation Act of 1964, as amended.]

[Sec. 320. The Secretary of Transportation shall enter into negotiations for full funding contracts with the appropriate local governmental authorities to construct (1) the minimum operable segment, MOS-1, of the downtown Los Angeles to San Fernando Valley Metro Rail project; (2) the north and south legs of the downtown component of metrorail in Dade County, Florida; and (3) the downtown transit project (bus tunnel) in Seattle, Washington: *Provided*, That the Secretary shall commence negotiations with appropriate local authorities to enter into such contracts no later than 30 days after enactment and shall conclude such negotiations no later than 90 days after enactment: *Provided further*, That such contracts shall cover total project costs including federal financial participation consisting of fiscal year 1984 and fiscal year 1985 discretionary grants funding made available pursuant to section 331 of this Act, fiscal year 1986 discretionary grants funding in accordance with the accompanying Joint Explanatory Statement of the Managers, and future funding as made available by the Congress.]

[Sec. 321. The Urban Mass Transportation Administration shall enter into a contract with the Southern California Rapid Transit District to conduct a study of the potential methane gas risks relating to the proposed alignment of the Metro Rail project beyond the Minimum Operable Segment, MOS-1. None of the funds described in section 320 may be made available for any segment of the downtown Los Angeles to San Fernando Valley Metro Rail project unless and until the Southern California Rapid Transit District officially notifies and commits to the Urban Mass Transportation Administration that no part of the Metro Rail project will tunnel into or through any zone designated as a potential risk zone or high potential risk zone in the report of the City of Los Angeles dated June 10, 1985, entitled "Task Force Report on the March 24, 1985 Methane Gas Explosion and Fire in the Fairfax Area". Funds for this study, in an amount not to exceed \$1,000,000, shall be made available from funds previously allocated to the MOS-1 project, commencing within 30 days of enactment.]

[Sec. 322. The limitation on obligations for the Discretionary Grants Program of the Urban Mass Transportation Administration shall not apply to any authority under section 21(a)(2)(B) of the Urban Mass Transportation Act of 1964, as amended, previously made available for obligation.]

[Sec. 323. (a) Notwithstanding any other provision of law, the Secretary of Transportation may use not to exceed one-half of 1 percent of—

(1) the funds made available for fiscal year 1986 by section 21(a)(2)(B) of the Urban Mass Transportation Act of 1964, as amended, to carry out section 3 of such Act to contract with any person to oversee the construction of any major project under such section;

(2) the funds appropriated for fiscal year 1986 pursuant to section 21(a)(1) of the Urban Mass Transportation Act of 1964, as amended, to carry out section 9 of such Act to contract with any person to oversee the construction of any major project under such section;

(3) the funds appropriated for fiscal year 1986 pursuant to section 21(a)(1) of the Urban Mass Transportation Act of 1964, as

amended, to carry out section 18 of such Act to contract with an person to oversee the construction of any major project under such section;

(4) the funds appropriated for fiscal year 1986 pursuant to section 4(g) of the Urban Mass Transportation Act of 1964, as amended, to contract with any person to oversee the construction of any major public transportation project substituted for a Interstate segment withdrawn under section 108(e)(4) of title 23, United States Code; and

(5) the funds appropriated for fiscal year 1986 pursuant to the National Capital Transportation Act of 1969 to contract with an person to oversee the construction of any major project under such Act.]

[(b) Any contract entered into under subsection (a) shall provide for the payment by the Secretary of Transportation of 100 percent of the cost of carrying out the contract.]

[(c) This section shall take effect on October 1, 1985, and shall cease to be in effect at the close of September 30, 1986.]

[Sec. 324. (a) GENERAL RULE.—Tolls collected for motor vehicles on any bridge connecting the borough of Brooklyn, New York, and Staten Island, New York, shall only be collected for those vehicles exiting from such bridge in Staten Island.]

[(b) ENFORCEMENT.—The Secretary shall withhold 1 percent of the amount required to be apportioned to the State of New York under sections 104 and 144 of title 23, United States Code, on the first day of the fiscal year succeeding any fiscal year in which tolls collected for motor vehicles on the bridge referred to in subsection (a) are collected for those vehicles exiting from such bridge in the borough of Brooklyn.]

[(c) PERIOD OF APPLICABILITY.—This section shall apply on and after the 90th day following the date of enactment of this section except that this section shall not apply after the date on which the Secretary publishes in the Federal Register a determination under subsection (d).]

[(d) REMOVAL OF LIMITATION.—

(1) DETERMINATION OF SECRETARY.—Subsections (a) and (b) shall cease to be in effect if, upon petition by the Governor of New York under paragraph (2), the Secretary determines that—

(A) a substantial loss of revenues has resulted from the limitation imposed by subsection (a), or

(B) such limitation has resulted in significant traffic problems,

and the Secretary publishes such determination in the Federal Register.

(2) PETITION.—The Governor of New York may petition the Secretary for a determination under paragraph (1) at any time after a period of six consecutive months in which tolls collected for motor vehicles on the bridge referred to in subsection (a) have been collected only for those vehicles exiting from such bridge in Staten Island.]

[Sec. 325. Notwithstanding section 127 of title 23, United States Code, the State of Wyoming may conduct a demonstration project for a period not to exceed two years in order to determine the effects of the National System of Interstate and Defense Highways located in Wyoming of the use of such highways by vehicles in excess of 80,000 pounds gross weight but meeting axle and bridge formula specifications in section 127 of title 23, United States Code.]

[Sec. 326. Section 18(e) of the Urban Mass Transportation Act of 1964 is amended by adding at the end thereof the following: "For the purpose of this subsection, the term 'Federal funds or revenues' does not include funds received by a recipient of funds under this section pursuant to a service agreement with a State or local social service agency or a private social service organization."]

[Sec. 327. Section 119(d), 23 U.S.C. is amended by adding at the end of such section: "Notwithstanding any other provision of law, and for the purposes of this subsection, the phrase 'segments of the interstate system open to traffic' shall include a proposed four-lane, limited access highway, 6.4 miles in length, the construction of which will relocate to a southern alignment a portion of an existing interstate highway which was originally built without the aid of funds authorized by section 108(b) of the Federal-Aid Highway Act of 1956, as amended, and which connects the east with an interstate highway on which tolls are charged. The construction of the proposed highway shall include a bridge over the Monongahela River."]

SEC. 328. (a) Title XI of the Federal Aviation Act of 1958 (49 App. U.S.C. 1401 et seq.) is amended by adding at the end thereof the following:

"AERONAUTICAL CHARTS AND MAPS

SEC. 1118. Notwithstanding the provisions of section 1341 of title 49, United States Code, or any other provision of law, the United States Government shall enter into agreements to indemnify any person who publishes a chart or map for use in aeronautics from any claim or portion of a claim, which arises out of such person's depiction on such chart or map of any defective or deficient flight procedure or airway, if such flight procedure or airway was—

- (1) promulgated by the Federal Aviation Administration;
- (2) accurately depicted on such chart or map; and
- (3) not obviously defective or deficient."

The table of contents of the Federal Aviation Act of 1958 is amended by inserting immediately after the item relating to section 1118 the following:

SEC. 1118. Aeronautical charts and maps."

SEC. 329. Notwithstanding section 108(b) of the Federal-Aid Highway Act of 1956, sums appropriated to the State of New York under 23 U.S.C. 104(b)(5)(A) during the fiscal year ending September 30, 1985, may be obligated for Interstate construction projects under section 108(b) of the Federal-Aid Highway Act of 1956 or for Interstate substitute highway projects under 23 U.S.C. 103(e)(4). *Provided*, That the withdrawal value for New York under 23 U.S.C. 103(e)(4) shall be reduced by the amounts obligated hereunder for Interstate highway substitute projects. The federal share of the cost to complete any such Interstate substitute highway projects to which this provision applies shall be 85 per centum. In carrying out this provision the State of New York and the Secretary of Transportation shall assign highest priority to the completion of Interstate construction projects. This section shall expire on October 1, 1986.]

SEC. 330. Notwithstanding any other provision of law, none of the sums in this Act shall be available for the construction of the Central Business District Transit System (Downtown People Mover) in Detroit, Michigan. *Provided*, That the immediately preceding provision shall not apply to \$10,000,000 apportioned to the Detroit Department of Transportation.]

SEC. 331. The Congress disapproves the proposed deferral D86-21, relating to the Urban Mass Transportation Administration, as set forth in the message of October 1, 1985, which was transmitted to the President by the President. This disapproval shall be effective upon the date of the message into law of this Act and the amount of the proposed deferral disapproved herein shall be made available for obligation.]

SEC. 332. Section 201 of the Regional Rail Reorganization Act of 1973 (45 U.S.C. 711) is amended—

(1) in the first sentence of paragraph (2) of subsection (d) by inserting "freight" before "railroad"; and

(2) in the first sentence of subsection (e) by striking out "1985" and inserting in lieu thereof "1987".]

[SEC. 333. The Act approved July 28, 1937 (50 Stat. 535), is amended by striking out in the first paragraph thereof, "and approaches thereto" and by inserting at the end thereof "The States of Maine and New Hampshire are authorized to assume all construction, maintenance, and operational authority over the approach roads and grade separation structures in their respective areas. As provided in Maine Private and Special Law, Chapter 38, 1985, and New Hampshire Statutes, Chapter 415, 1985, the respective States shall require the Authority to provide Authority funds for capital improvements.".]

[SEC. 334. Notwithstanding any other provision of law, the first sentence of section 125(b) of title 23, United States Code, is amended by inserting after "\$30,000,000" the following: "\$55,000,000 for projects in connection with disasters or failures occurring in calendar year 1985".]

[SEC. 335. Notwithstanding any other provision of law or regulation, the Secretary of Transportation shall, within 30 days after enactment of this section, issue in the Federal Register a Notice of Intent to prepare an environmental impact statement for the construction of the north and south legs of the downtown component of metrorail in Dade County, Florida: *Provided*, That the absence of a federally-approved environmental impact statement for this project shall not preclude or delay the negotiations required under section 320 of this Act.]

SEC. 310. The National Visitor Center Facilities Act of 1968 (40 U.S.C. 801 et seq.), as amended by the Union Station Redevelopment Act of 1981 (Pub. L. 97-125), is amended by (1) deleting from section 115(d) the phrase "except any agreement or contract to sell property rights at the Union Station complex"; (2) deleting from section 118 (b) the following sentence: "Such project agreement shall provide that all right, title, and interest in such parking facility shall remain in the United States"; and (3) by adding the following subsection to section 112: "(e) the Secretary of Transportation is authorized, after acquiring title to the Union Station complex, to sell all rights, interests and title of the United States to the Union Station complex. Any receipts from the sale of the Union Station complex shall be deposited into the miscellaneous receipts of the Treasury. The sale documents shall contain appropriate protections for the public interest in the Union Station complex. Nothing in this subsection shall be construed to affect any existing lease, sublease, easement or other encumbrance on or right in the Union Station complex". (Department of Transportation and Related Agencies Appropriations Act, 1986, as included in Public Law 99-190.)